#### **INFECTIOUS DISEASES**

# A mathematical model to estimate global hepatitis B disease burden and vaccination impact

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Background Limited data are available regarding global hepatitis B virus (HBV)-related

morbidity and mortality and potential reduction in disease burden from hepatitis B

vaccination.

Methods A model was developed to calculate the age-specific risk of acquiring HBV

infection, acute hepatitis B (illness and death), and progression to chronic HBV infection. HBV-related deaths among chronically infected persons were determined from HBV-related cirrhosis and hepatocellular carcinoma (HCC) mortality curves, adjusted for background mortality. The effect of hepatitis B vaccination was calculated from vaccine efficacy and vaccination series coverage, with and without administration of the first dose of vaccine within 24 h of birth (i.e. birth dose) to

prevent perinatal HBV infection.

Results For the year 2000, the model estimated 620 000 persons died worldwide from

HBV-related causes: 580 000 (94%) from chronic infection-related cirrhosis and HCC and 40 000 (6%) from acute hepatitis B. In the surviving birth cohort for the year 2000, the model estimated that without vaccination, 64.8 million would become HBV-infected and 1.4 million would die from HBV-related disease. Infections acquired during the perinatal period, in early childhood (<5 years old), and ≥5 years of age accounted for 21, 48, and 31% of deaths, respectively. Routine infant hepatitis B vaccination, with 90% coverage and the first dose administered

at birth would prevent 84% of global HBV-related deaths.

Conclusion Globally, most HBV-related deaths result from the chronic sequelae of infection

acquired in the perinatal and early childhood periods. Inclusion of hepatitis B vaccine into national infant immunization programs could prevent >80% of HBV-

related deaths.

Keywords Hepatitis B, chronic hepatitis B, chronic liver disease, cirrhosis, hepatocellular

carcinoma, hepatitis B vaccine

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#### Introduction

Safe and effective vaccines have been available to prevent hepatitis B virus (HBV) infection since 1981, and the cost-effectiveness of hepatitis B vaccination has been well-documented. In 1992, the World Health Organization (WHO) recommended that childhood hepatitis B vaccination be included in immunization programs of all countries. Over a decade later, in 2003, 151 (79%) of 192 countries had adopted universal childhood hepatitis B vaccination policies. However, many

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countries, including those with high prevalences of HBV infection and presumably high disease burden, had not introduced hepatitis B vaccine into their national immunization programs. In addition, vaccination coverage was low in many countries that had introduced the vaccine.<sup>3</sup>

A barrier to the introduction of routine childhood hepatitis B immunization has been a lack of appreciation of the disease burden attributable to HBV infection. Although most new HBV infections occur among infants and young children, HBV-related morbidity and mortality is not immediately apparent. Acute symptomatic hepatitis B is infrequent among infected infants and children, but the likelihood of progression to chronic infection, which accounts for most HBV-related morbidity and mortality, is highest in these age groups.<sup>4,5</sup> Chronic HBV infection usually remains asymptomatic until cirrhosis and hepatocellular carcinoma (HCC) become clinically apparent, usually among adults. Although chronic liver disease accounts for a high proportion of deaths worldwide, 6 liver disease and its etiology often go unrecognized, especially in less-developed countries.

While hepatitis B mathematical models have been developed, most focus on models of disease burden in a single country or economic evaluations of hepatitis B vaccination, but do not include estimates of global disease burden or vaccination impact. To define the disease burden associated with HBV infection, we developed a mathematical model to estimate HBVrelated morbidity and mortality. This model can be used to estimate country, regional and global hepatitis B disease burden and potential reduction in disease burden with different hepatitis B vaccination strategies.

#### Methods

#### Model overview

A model was developed to estimate population-based HBVrelated morbidity (i.e. total and chronic HBV infections) and mortality (i.e. deaths from acute hepatitis B and the chronic sequelae of HBV infection—cirrhosis and HCC) and the effect of hepatitis B vaccination on these outcomes using the age-specific risk of acquiring HBV infection, development of acute hepatitis B (illness and death), and progression to chronic infection (Figure 1a and b). Because the natural history of HBV infection is related to age, infection was assumed to occur in one of three age periods: perinatal (at birth); early childhood (after birth through 5 years), and late (>5 years). Cirrhosis and HCC deaths among persons with chronic HBV infection were presumed to be HBV-related, and were estimated from age-specific HBV-related cirrhosis and HCC mortality curves (Figure 2a and b), adjusted for background mortality.

#### Risk of infection

Perinatal period: The number of HBV infections acquired in the perinatal period among the surviving birth cohort was calculated from the prevalence of hepatitis B surface antigen (HBsAg) and hepatitis B e antigen (HBeAg) among women of childbearing age. We assumed 90% of infants born to HBsAg-positive/ HBeAg-positive women, and 10% of infants born to HBsAgpositive/HBeAg-negative women would become infected (Appendix 1).  $^{8-14}$ 

Early childhood period: The number of infections acquired after the perinatal period through 5 years of age (i.e. early childhood infections) was determined from the prevalence of HBV infection among the 5 year old population by excluding perinatal infections (Appendix 1).

Late period: The number of infections acquired after 5 years of age (i.e. late infections) was determined from the prevalence of HBV infection among persons ≥30 years old in the population by excluding infections acquired in the perinatal and early childhood periods (Appendix 1). The prevalence of infection among persons ≥30 years old was taken to represent the lifetime risk of HBV infection.

#### **Outcome of infection**

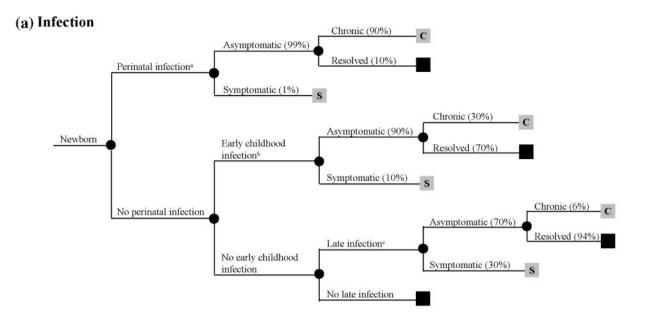
Acute hepatitis B: The risk of acute hepatitis B is age dependent and occurs in ~1% of perinatal, 10% of early childhood, and 30% of late infections (Figure 1a). 11,15–18 Risk of fulminant hepatitis is also age dependent and occurs in 0.1–0.6% of acute hepatitis B cases (Figure 1b). 19,20 Mortality from fulminant hepatitis B was assumed to be 70%, regardless of age. 20-22 To estimate the current deaths from acute hepatitis B (see Model output section), age-specific mortality was derived from United States surveillance data for a period (1983-89) prior to the widespread use of hepatitis B vaccine.<sup>23</sup>

Chronic HBV infection: Development of chronic HBV infection is inversely related to age and occurs in ~90% of persons infected perinatally, 30% infected in early childhood, and 6% infected after 5 years of age (Figure 1a). 4,5,8–18 The likelihood of progression to chronic infection did not differ among persons with symptomatic or asymptomatic infection.<sup>4,15,24</sup> The model assumed loss of chronic infection (i.e. loss of HBsAg) of 0.5% per year beginning at 20 years of age. 25-27 Persons in whom infection resolved were assumed not to die from HBV-related cirrhosis or HCC.

#### Mortality from chronic HBV infection

Cirrhosis mortality: A composite age-specific mortality curve was derived from cirrhosis mortality data (ICD-9 code 571) from Taiwan (1990–96)<sup>28</sup> and the United States (1985–93; Multiple Cause of Death computer records, National Center for Health Statistics, Department of Health and Human Services) by fitting a polynomial function of age to country data using Chi-square goodness of fit (Figure 2a) (Appendix 2A). HBV-specific cirrhosis mortality data were not available for either country and this parameter was estimated from studies of the attributable fraction of chronic HBV infection among persons with chronic liver diseases: 64% in Taiwan<sup>29</sup> and 7% in the United States.<sup>30–32</sup> To calculate HBV-related cirrhosis mortality among HBsAg-positive persons, each curve was adjusted for the prevalence of HBsAg in the population: 15.2% in Taiwan<sup>33</sup> and 0.39% in the United States.34

HCC mortality: Composite age- and sex-specific mortality curves were derived from HCC mortality data from populations with a high endemicity of HBV infection and HCC incidence (Alaska Natives, China, the Gambia, Taiwan) by fitting a polynomial function of age to population data using Chi-square goodness of fit (Figure 2b) (Appendix 2B). 35–40 HCC incidence and mortality were assumed to be equal since most people die of HCC within 1 year of diagnosis. 41 For populations without HBV-specific HCC mortality data, we estimated this parameter from studies of the attributable fraction of chronic HBV infection among persons with HCC: 53% in the Gambia 42 and 70% in Taiwan. 43-45 To



#### (b) Symptomatic acute hepatitis B

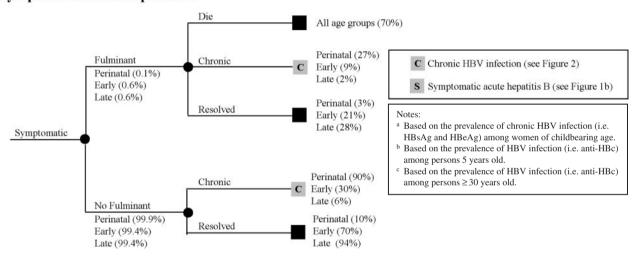


Figure 1 (a) Outcome of hepatitis B virus infection. (b) Outcome of symptomatic acute hepatitis B

calculate HBV-related HCC mortality among HBsAg-positive persons, the curves were adjusted for the prevalence of HBsAg in the population: 12.0% in The Gambia 46 and 15.2% in Taiwan. 33 The mortality curves were adjusted to account for the six-times higher risk of HCC among HBeAg-positive compared to HBeAgnegative persons (Appendix 2B).4

Background mortality: Country-specific background mortality from 1999 (United Nations Development Program) was used to estimate the surviving number of HBsAg-positive persons who remained at risk of death from HBV-related cirrhosis or HCC.

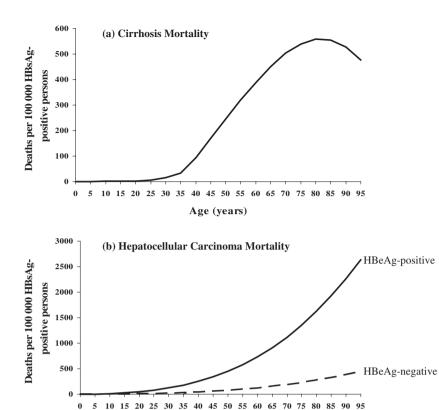
#### Hepatitis B vaccine efficacy and effectiveness

The efficacy of a complete hepatitis B vaccination series (i.e.  $\geq 3$ doses of hepatitis B vaccine) in preventing perinatal HBV infection (post-exposure immunization) and early childhood and late infection (pre-exposure immunization) was estimated to be

 $95\%.^{48}$  A complete vaccination series was assumed to provide lifelong protection from clinical acute hepatitis B and chronic HBV infection. <sup>49</sup> To prevent perinatal infection, the first dose of vaccine had to be administered within the first 24 h after birth. 48 Infants who received the complete vaccination series, but the first dose of vaccine >24 h of after birth were considered susceptible to perinatal infection, but protected from early childhood and late infection. Vaccination program effectiveness was calculated from vaccination coverage (complete series and birth dose) and vaccine efficacy (Appendix 3).

#### Model input

The model requires the following population-specific data to calculate disease burden: (i) birth cohort surviving past the first year of life, (ii) prevalence of HBsAg and HBeAg among women of child bearing age, and (iii) prevalence of HBV infection (resolved and chronic) among 5 year olds and ≥30 year



Age (vears)

Figure 2 Age-specific hepatitis B-related cirrhosis and hepatocellular carcinoma mortality

olds (i.e. antibody to hepatitis B core antigen [anti-HBc]). To calculate the reduction in disease burden from vaccination the following parameters are needed: (i) proportion of the surviving birth cohort expected to receive the birth dose of vaccine and (ii) proportion expected to complete the vaccination series.

#### Model output

The model calculates hepatitis B disease burden in a specified year (designated as current burden) and in a specified birth cohort over the course of the birth cohort's lifetime (designated as future or lifetime burden).

Current burden: The model calculates the total number of deaths from acute hepatitis B, cirrhosis, and HCC in a specified year by applying the age-specific mortality curves for acute hepatitis B and HBV-related cirrhosis and HCC to the population structure for that year.

Future or lifetime burden: The model calculates the expected number of HBV infections, chronic infections, and deaths from acute hepatitis B, cirrhosis and HCC over the lifetime of a birth cohort. The output includes the proportion of infections and deaths according to age at acquisition (perinatal, early childhood, or late period) and potential reduction in infections and death with hepatitis B vaccination.

#### Global and regional estimates

Global and regional estimates of current and lifetime HBVrelated deaths were calculated for the year 2000 (current burden) and the 2000 birth cohort (lifetime burden) for each of the six WHO-defined regions: Africa, Americas, Eastern Mediterranean. Europe, Southeast Asia, and the Western Pacific. For these calculations, countries were divided into 15 country groups based on similarities of estimated HBV infection prevalence (Appendix 4). The model was run for each country in the country group, using the same estimates for each of the four input parameters, and then countries were re-aggregated into their appropriate WHO region.

#### Sensitivity analysis

Disease burden sensitivity analyses: A sensitivity analysis was performed to determine the effect of modifying the four input parameters (HBsAg, HBeAg, anti-HBc at 5 years old, anti-HBc at ≥30 years old), the cirrhosis and HCC mortality curves, the risk of perinatal infection, and all parameters related to the natural history of infection (Figure 1a and b) on current HBVrelated deaths. For each of these parameters, values 20% below and 20% above the base case estimates were used. For the cirrhosis and HCC mortality curves, values 20% lower and 20% higher than the composite estimate for each age was used. Each parameter was changed, one at a time, while the others were held constant.

Effect of incomplete vaccination: The effect of an incomplete vaccination series on the reduction in HBV disease burden was determined based on 30% efficacy of one dose of hepatitis B vaccine and 70% for two doses.<sup>50</sup> At each level of complete coverage (i.e. 10-90% in 10% increments), it was assumed an additional number of children equal to 5% of the

Table 1 Regional and global estimates of current and future hepatitis B disease burden without vaccination, hepatitis B disease burden model

	Current	burden (2000)	Future burde	uture burden (2000 Birth Cohort)			
Region	Deaths (n)	Deaths from chronic infection (%)	Total infections (n)	Chronic infections ( <i>n</i> )	Deaths (n)	Deaths from chronic infection (%)	Increase in future deaths <sup>a</sup> (%)
Africa	69 000	90	18 541 000	2 915 000	276 000	93	301
Americas	12 000	92	1 350 000	174 000	28 000	95	140
Eastern Mediterranean	21 000	90	5 283 000	663 000	96 000	94	365
Europe	51 000	94	2893000	365 000	56 000	95	10
Southeast Asia	143 000	92	17410000	2 386 000	368 000	95	158
Western Pacific	325 000	95	19 289 000	3 230 000	581 000	97	79
Global	620 000	94	64 766 000	9733000	1 405 000	95	127

<sup>&</sup>lt;sup>a</sup> Compared with deaths in 2000.

fully vaccinated cohort received one dose of vaccine and an additional 5% received two doses.

#### Results

#### Global and regional estimates of hepatitis B disease burden

Global and regional estimates of current hepatitis B-related deaths and lifetime HBV infections and deaths are shown in Table 1. In the year 2000, an estimated 620 000 HBV-related deaths occurred globally: 580 000 (94%) from chronic HBV infection and 40 000 (6%) from acute hepatitis B. Regionally, almost all (90-95%) deaths were attributable to chronic HBV infection; the proportion of deaths due to acute hepatitis B varied from 5 to 10%.

Over the lifetime of the year 2000 global birth cohort, without vaccination, there would be an estimated 64766000 HBV infections, 9733000 chronic infections, and 1405000 HBV-related deaths. This number of deaths is 127% greater than current number of deaths, primarily because of population increase and longer life expectancy. Similar to estimates of current HBV-related deaths, the majority (95%) of future deaths would result from chronic infection and only 5% from acute hepatitis B. Overall, 1.1% of the 2000 global birth cohort would be expected to die prematurely from HBV-related causes: 1.2% in Africa, 0.2% in the Americas, 0.7% in Eastern Mediterranean, 0.5% in Europe, 1.1% in Southeast Asia, and 2.2% in the Western Pacific.

Without vaccination, 21% of all HBV-related deaths in the 2000 birth cohort would result from perinatal HBV infection, 48% from infection acquired in the early childhood period, and 31% from infection acquired in the late period (Table 2). Regionally, the proportion of deaths from perinatal infection would range from 13 to 26%, deaths from early childhood infection from 37 to 52%, and deaths from late infection from 26 to 40%. The proportion of deaths resulting from the combination of perinatal and early childhood infection would be 69% globally, and ranged from 60 to 74% by region.

#### Disease burden sensitivity analysis

In the sensitivity analysis, current HBV-related deaths ranged from 563 000 to 677 000, 9.2% below and 9.2% above the base case estimate of 620 000 (Table 3). The model was most sensitive to changes in the prevalence of anti-HBc (at both 5 and >30 years

Table 2 Proportion of future hepatitis B-related deaths without vaccination by age at acquisition of infection, hepatitis B disease burden model

	Age at acquisition of HBV infection				
Region	Perinatal (%)	Early childhood (%)	Late (%)		
Africa	18	52	30		
Americas	23	37	40		
Eastern Mediterranean	13	47	40		
Europe	16	40	40		
Southeast Asia	17	48	35		
Western Pacific	26	47	26		
Global	21	48	31		

old), HCC and cirrhosis mortality curves, and risk of progression to chronic infection. Changes in parameters related to the outcome of acute hepatitis (Figure 2b) had minimal (<1%) effect on mortality (data not shown).

#### Reduction in disease burden with hepatitis B vaccination

Routine hepatitis B vaccination of infants, without administration of a birth dose of vaccine to prevent perinatal HBV infection. would prevent up to 75% of global deaths from HBV-related causes depending on vaccination coverage for the complete series (Figure 3). As coverage increased from 50 to 80 to 90%, the proportion of deaths prevented increased from 38% to 60 to 68%. Administration of a birth dose of vaccine had a substantial impact on the proportion of HBV-related deaths prevented. With 90% complete vaccine series coverage, administration of a birth dose to 50 and 90% of the vaccinated birth cohort increased the proportion of deaths prevented to 77 and 84%, respectively. With 100% complete vaccine series coverage and 100% of infants receiving a birth dose of vaccine, it would be theoretically possible to prevent 95% of all HBVrelated deaths.

The proportional decrease in HBV-related deaths by region, with 90% complete vaccination series coverage and 0, 50, and 90% birth dose coverage is shown in Table 4. The additional reduction in deaths with 90% birth dose coverage compared to no birth dose ranged from 10% points in the Eastern Mediterranean to 20% points in the Western Pacific. The impact of the birth dose was most pronounced in the regions with the highest proportion of deaths attributable to perinatal infection.

**Table 3** Disease burden sensitivity analysis: estimates of current global hepatitis B-related deaths with changes in model parameters

Parameter	Low Estimate <sup>a</sup>	High Estimate <sup>a</sup>
Input variables <sup>b</sup>		
HBsAg among women of childbearing age	602 000	638 000
HBeAg among women of childbearing age <sup>c</sup>	580 000	661 000
Anti-HBc among persons 5 years old	569 000	671 000
Anti-HBc among persons ≥30 years old	565 000	675 000
Mortality curves		
Cirrhosis mortality curve	564 000	675 000
Hepatocellular carcinoma mortality curve	568 000	672 000
Risk of infection		
Risk perinatal transmission (HBeAg-positive)	607 000	627 000
Risk perinatal transmission (HBeAg-negative)	616 000	624 000
Natural history of infection		
Symptomatic acute hepatitis B		
Perinatal	620 000	620 000
Early childhood	619 000	621 000
Late	613 000	627 000
Chronic infection		
Perinatal	593 000	635 000
Early childhood	563 000	677 000
Late	588 000	652 000

Base case estimate = 620000 deaths.

When efficacy from one and two doses of hepatitis B vaccine were included, an additional 0–3% of global deaths were prevented compared to baseline (i.e. complete vaccination coverage), depending on the level of complete coverage (Table 5). When the first dose of vaccine was administered at birth, an additional 0–4% of deaths were prevented, depending on the level of coverage (data not shown).

#### Discussion

Models to estimate HBV disease burden are by necessity complex because outcomes differ by age at acquisition of infection, there are acute and chronic disease conditions, and most mortality occurs many years after infection. Our model accounted for factors known to influence the natural history of HBV infection, including age-specific risk of acute and chronic infection, resolution of chronic infection over time, and difference in HCC risk among HBeAg-positive and negative persons. The model also accounted for background infant mortality and all-cause mortality, which are responsible for a substantial number of deaths, especially in developing countries. The robustness of the model was demonstrated by the sensitivity analyses which showed relatively small changes in HBV-related deaths with

relatively large changes in all major parameters included in the model.

The model estimated that 620 000 HBV-related deaths occurred in 2000, the overwhelming majority from the chronic sequelae of infection (i.e. cirrhosis and HCC), and that without hepatitis B vaccination, ~1 400 000 deaths would occur over the lifetime of the 2000 birth cohort. Differences in the current and future mortality reflect differences in the size of the birth cohort and life expectancy. The effect of changes in population demographics was reflected in the large proportional increases in future disease burden in the African and Eastern Mediterranean regions. Although early deaths from acquired immunodeficiency syndrome have resulted in shortened life expectancy in many countries of sub-Saharan Africa, the overall increase in the size of the birth cohort and increased life expectancy. especially among non-HIV-infected persons, would result in an overall increase in hepatitis B-related mortality in the region. 51,52 In contrast, in the European region, the estimated future HBV-related mortality was only 10% greater than the current mortality, reflecting a birth cohort that has not increased substantially and life expectancy that is already relatively long. If the global epidemiology of HIV were to change, this could impact the future number of HBV-related deaths.

The estimates and assumptions used in the model were relatively conservative, thus the model is likely to underestimate the burden of HBV infection. Although we assumed that loss of HBsAg among persons with chronic infection did not confer a risk of death from HBV-related cirrhosis or HCC, such deaths have been reported. To account for these deaths, the lowest seroconversion rate from the wide range (0.5–2.5%) reported was used in the model. The model did not include the increased risk of chronic liver disease from co-infection with hepatitis C virus, <sup>29,45</sup> the increased risk of fulminant hepatitis from HDV coinfection, <sup>54</sup> and non-infectious etiologies of liver disease such as alcohol and aflatoxins that may increase the progression of chronic HBV infection to cirrhosis and HCC.

We constructed composite cirrhosis and HCC mortality curves from available country data. While these composite curves are likely to yield accurate estimates for regional and global mortality, country-specific mortality curves would give more refined estimates of country-specific mortality. For example, the Taiwan-specific mortality curves were higher than the Gambia-specific curves, especially among older (>50 years) persons. Similarly, few data were available regarding the attributable fraction of cirrhosis and HCC from HBV. For the Gambia, we used an attributable fraction of 53% for HCC. A2 A recent study from the Gambia estimated that 60% of HCC cases were attributable to HBV infection of 53 and another study estimated that 68% of HCC cases in Africa were attributable to HBV, although country-specific estimates were not reported.

The model demonstrated the potential impact of hepatitis B vaccination on HBV-related morbidity and mortality. With routine infant vaccination, up to 95% of future HBV-related deaths could be prevented, depending on complete vaccination series coverage and whether or not the first dose of vaccine was administered at birth dose to prevent perinatal infection. The impact of the birth dose would be greatest in regions with high rates of perinatal HBV transmission, and overall it could prevent an additional 16% of global HBV-related deaths. In addition, beginning the series at birth ensures protection from

<sup>&</sup>lt;sup>a</sup> Low and high estimates were calculated using values for the specific parameter 20% below and 20% above the base case estimate, with an upper bound of 100%.

b HBsAg = hepatitis B surface antigen; HBeAg = hepatitis B e antigen; anti-HBc = hepatitis B core antibody.

<sup>&</sup>lt;sup>c</sup> Calculated as the prevalence of HBeAg among HBsAg-positive women.

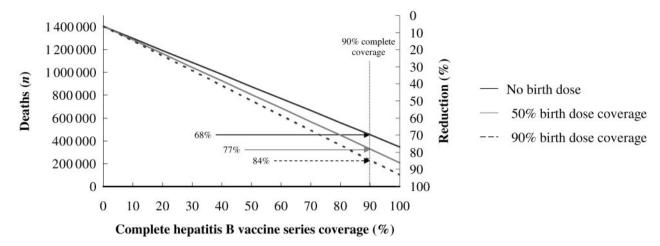


Figure 3 Reduction in future hepatitis B virus-related deaths with increasing hepatitis B vaccination and birth dose coverage, 2000 Global Birth Cohort

Table 4 Reduction in future hepatitis B-related deaths with 90% complete hepatitis B vaccination series coverage, with and without administration of a birth dose of vaccine: hepatitis B disease burden model

	Number of deaths in 2000	Proportional red		
Region	birth cohort without vaccination	no birth dose	50% Birth dose <sup>a</sup>	90% Birth dose <sup>a</sup>
Africa	276 000	70	78	84
Americas	28 000	66	76	84
Eastern Mediterranean	96 000	74	80	84
Europe	56 000	72	79	84
Southeast Asia	368 000	71	78	84
Western Pacific	581 000	63	74	83
Global	1 405 000	68	77	84

<sup>&</sup>lt;sup>a</sup> Proportion of the vaccinated cohort receiving the first dose of vaccine within 24 h of birth.

Table 5 Reduction in future global hepatitis B-related deaths with and without incomplete series coverage, hepatitis B disease burden model

	Proportion of global deaths prevented (%)			
Complete series coverage <sup>a</sup> (%)	Excluding incomplete Series coverage	Including incomplete Series coverage <sup>b</sup>		
10	8	8		
20	15	16		
30	23	24		
40	30	32		
50	38	40		
60	45	47		
70	53	55		
80	60	63		
90	68	71		
100	75	_		

Assumes first dose of vaccine not administered at birth (i.e. no birth

early childhood infection which represents the largest source of chronic infections and HBV-related deaths. If vaccine efficacy was assumed to be <95%, the efficacy used in the model, the impact of vaccination would be equal to the assumed efficacy divided by 95%. For example, assuming 90% vaccine efficacy, the reduction in HBV-related deaths would be 95% (90% divided by 95%) of the current reduction.

The increasing global use of hepatitis B vaccine is already having a substantial impact on future HBV-related mortality. Based on WHO country-specific hepatitis B vaccination coverage data from 2003, we estimate that between 537 000 and 660 000 future deaths will be prevented, depending on birth dose coverage in those countries that administer the birth dose. These latter data are not reported to WHO. This represents a 38-47% reduction in the estimated 1.4 million future HBVrelated deaths in that birth cohort that would occur without vaccination. As more countries incorporate hepatitis B vaccination into their immunization programs and as coverage with the vaccination series and birth dose increase, the proportional decrease in deaths is expected to increase. Declines in HBVrelated morbidity and mortality have already been demonstrated in countries with long-standing routine infant and childhood

Assumes an additional number of children equal to 5% of the fullyvaccinated cohort received one dose of vaccine and an additional 5% received two doses.

hepatitis B immunization programs. A decrease in the prevalence of chronic HBV infection among vaccinated children and adolescents has been documented throughout the world, including China, Hong Kong, Taiwan, the Gambia, Senegal, Alaska, and Italy. <sup>56–64</sup> In the United States, which implemented routine hepatitis B vaccination in 1991, the incidence of acute hepatitis B among children and adolescents has decreased by 89%. <sup>65</sup> In Taiwan, where routine infant hepatitis B vaccination commenced in 1984, there has been a 75% decrease in the incidence of HCC among children. <sup>66</sup>

In the model, infant vaccination was assumed to confer lifelong protection from infection. While protection has been documented to last up to 15 years,  $^{56-64}$  the absolute duration of protection is not yet known. If protection was found to wane with time, new HBV infections could occur later in life. However, the rate of breakthrough infection (i.e. development of anti-HBc) among vaccinated persons observed in long-term studies has been low, and these infections have not resulted in clinically apparent disease and only rarely in the development of chronic infection.  $^{56-64}$  Such outcomes would not be expected to substantially change disease mortality from acute or chronic infection.

The model underestimated the effectiveness of hepatitis B vaccination because we did not include any benefit of an incomplete vaccination series. We did demonstrate that additional deaths could be prevented by including one and two doses of vaccine, although at the coverage levels we chose to consider, it was not substantial. Although there are no data on the duration of protection with fewer than three doses of vaccine, one and two doses should provide at least short-term protection during a time when the risk of developing chronic infection is greatest (i.e. infancy and early childhood).

The model also did not take into account the potential impact of herd immunity from infant hepatitis B vaccination. Although no data are available to estimate the proportion of a population that must be immune from HBV infection for there to be an effect from herd immunity, recent experience with routine infant hepatitis B vaccination among Alaska Natives and in Taiwan suggest that once HBV transmission is eliminated among young children there is a reduction in infection pressure in the general population. 61,68

This model provides countries with a practical tool to estimate country-specific HBV-related morbidity and mortality and the potential impact of a national infant hepatitis B vaccination program. The model can be run on a desktop computer and has a user-friendly interface, and is available on the internet (http://aim-e-learning.stanford.edu/en/vaccines/hepb/assessBurden/model/index.html). Results generated by the model can be used to facilitate the introduction of hepatitis B vaccination and improve hepatitis B vaccination coverage in national infant immunization programs, both necessary components of a global strategy to eliminate transmission of HBV.

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## Appendix 1: Derivation of the number of perinatal, early childhood and late HBV infections

The number of HBV infections acquired in the perinatal, early childhood and late periods were calculated using the following equations:

Perinatal infection: 
$$I_P = (a_s a_e \cdot 90\% + a_s(1 - a_e) \cdot 10\%)x$$
,

Early childhood infection:

$$I_E = b_e x - (a_s a_e \cdot 90\% + a_s (1 - a_e) \cdot 10\%) x$$

Late infection: 
$$I_L = b_l x - b_e x$$
,

where  $a_s$  = prevalence of HBsAg among women of child bearing age;  $a_e$  = prevalence of HBeAg among HBsAg-positive women; x = number of the surviving birth cohort;  $b_e$  = prevalence of any marker of HBV infection among 5 year olds; and  $b_l$  = prevalence of any marker of HBV infection among  $\geq$ 30 year olds.

## Appendix 2: Derivations of the cirrhosis and hepatocellular carcinoma mortality curves

#### A. Cirrhosis mortality curve

The mortality curve was derived by best-fitting a cubic-power polynomial function with age (mortality rate at age x years =  $a(x-4) + b(x-4)^2 + c(x-4)^3$ ) to the data using  $\chi^2$  goodness of fit:

For age 
$$\leq 38$$
 years:  $f(x) = 0.015(x-4) - 0.069(x-4)^2 + 0.0028(x-4)^3$ ,

For age > 38 years: 
$$f(x) = -14.436(x - 4)$$
 at birth (birth  $+0.6483(x - 4)^2 - 0.004756(x - 4)^3$ . birth cohort.

#### B. Hepatocellular carcinoma mortality curve

To account for the difference in HBeAg prevalence in each country included in the HCC curve, a combined risk index (CRI) was calculated according to the equation:

$$CRI = (Prevalence \ HBeAg)(6) + (1 - Prevalence \ HBeAg)(1).$$

The prevalence of HBeAg refers to the country-specific HBeAg prevalence. Each curve was adjusted by dividing by the CRI.

Country	Prevalence HBeAg (%)	CRI
China	40	3.0
The Gambia	15	1.75
Taiwan	40	3.0
United States	15	1.75

Combined sex-specific curves were derived by best-fitting a cubic-power relationship with age (mortality rate at age x years =  $a(x-4) + b(x-4)^2 + c(x-4)^3$ ) to the data using  $\chi^2$  goodness of fit:

Males: 
$$f(x) = 0.099383(x - 4) + 0.004356(x - 4)^2 + 0.003179(x - 4)^3$$
,

Females: 
$$f(x) = 3.316492(x - 4) - 0.204495(x - 4)^2 + 0.003615(x - 4)^3$$
.

### Appendix 3: Vaccination program effectiveness

The number of HBV infections prevented by vaccination program (vaccination program effectiveness) was calculated according to the following equation:

$$\alpha c_3 c_b (a_s a_e \cdot 90\% + a_s (1 - a_e) \cdot 10\%) x + \alpha c_3 [b_1 x - (a_s a_e \cdot 90\% + a_s (1 - a_e) \cdot 10\%) x],$$

where  $b_l$  = prevalence of any marker of HBV infection among 5 year olds and  $\geq$ 30 year olds;  $a_{\rm s}$  = prevalence of HBsAg among women of child bearing age;  $a_{\rm e}$  = prevalence of HBeAg among HBsAg-positive women;  $\alpha$  = efficacy of three doses of hepatitis B vaccine in preventing perinatal HBV infection (post-exposure immunization) and early childhood and late infection (pre-exposure immunization),  $c_3$  = proportion of infants completing three dose series;  $c_{\rm b}$  = proportion of infants who completed three doses who received the first dose of vaccine at birth (birth dose); and x = number of infants in the surviving birth cohort.

Appendix 4: Estimated prevalence of hepatitis B virus infection by country in the pre-vaccination Era

Country group		Prevalence of hepatitis B virus marker <sup>a</sup>			
	Countries	HBsAg among women of	HBeAg among	Anti-HBc at	Anti-HBc at ≥30 years old
1	Algeria, Angola, Benin, Burkina Faso, Cameroon, Cape Verde, Chad, Comoros, Djibouti <sup>I</sup> , Equatorial Guinea, Gabon, Gambia, Ghana, Guinea, Guinea-Bissau, Liberia, Madagascar, Mali, Mauritania, Mauritius, Niger, Nigeria, Senegal, Sierra Leone, Somalia, Sudan, Togo	11.50	15.00	25.00	77.50
2	Botswana, Burundi, Central African Republic, Congo (Democratic Republic of the), Congo (The Peoples Republic of), Cote d'Ivoire, Eritrea, Ethiopia, Kenya, Lesotho, Malawi, Mozambique, Namibia, Rwanda, South Africa, Swaziland, Tanzania, United Republic of, Uganda, Zambia, Zimbabwe	11.84	15.00	25.00	77.50
3	Canada, United States of America	0.57	15.00	0.64	5.50
4	Argentina, Bahamas, Barbados, Belize, Chile, Costa Rica, Cuba, El Salvador, Guyana, Honduras, Jamaica, Mexico, Panama, Paraguay, Suriname, Trinidad and Tobago, Uruguay	1.61	15.00	1.00	4.00
5	Brazil, Colombia, Dominican Republic, Venezuela	1.61	15.00	3.00	14.00
6	Bolivia, Ecuador, Guatemala, Haiti, Nicaragua, Peru	2.01	15.00	3.00	14.00
7	Bahrain, Cyprus, Iran, Islamic Republic of, Jordan, Kuwait, Lebanon, Libyan Arab Jamahiriya, Oman, Qatar, Saudi Arabia, Syria, Tunisia, United Arab Emirates	2.50	10.00	6.00	25.00
8	Egypt, Iraq, Morocco, Yemen	4.32	10.00	10.00	45.00
9	Austria, Belgium, Croatia, Czech Republic, Denmark, Finland, France, Germany, Greece, Iceland, Ireland, Israel, Italy, Luxembourg, Malta, Netherlands, Norway, Portugal, Slovenia, Spain, Sweden, Switzerland, United Kingdom	0.38	15.00	0.43	3.70
10	Albania, Armenia, Azerbaijan, Bosnia & Herzegovina, Bulgaria, Georgia, Kyrgyzstan, Macedonia (The Former Yugoslav Republic of), Poland, Romania, Slovakia, Tajikistan, Turkmenistan, Turkey, Uzbekistan, Yugoslavia,	5.51	15.00	10.00	45.00
11	Belarus, Estonia, Hungary, Kazakhstan, Latvia, Lithuania, Moldova, Russian Federation, Ukraine	3.84	15.00	10.00	45.00
12	Brunei, Indonesia, Malaysia, Philippines, Singapore, Sri Lanka, Thailand	9.00	20.00	25.00	77.50
13	Afghanistan, Bangladesh, Bhutan, India, Maldives, Nepal, Pakistan	3.59	20.00	10.00	45.00
14	Australia, Japan, New Zealand	1.10	15.00	0.64	5.50
15	Cambodia, China, Fiji, Hong Kong, Korea (North), Korea (South), Laos, Mongolia, Myanmar, Papua New Guinea, Solomon Islands, Taiwan, Vanuatu, Viet Nam, Western Samoa	11.83	30.00	25.00	77.50

<sup>&</sup>lt;sup>a</sup> HBsAg = hepatitis B surface antigen; HBeAg = hepatitis B e antigen; anti-HBc = antibody to hepatitis B core antigen.