

# Treatment non-response in OCD: methodological issues and operational definitions

Stefano Pallanti<sup>1,2</sup>, Eric Hollander<sup>1</sup>, Carol Bienstock<sup>1</sup>, Lorrin Koran<sup>3</sup>, James Leckman<sup>4</sup>,  
Donatella Marazziti<sup>5</sup>, Michele Pato<sup>6</sup>, Dan Stein<sup>7</sup>, Joseph Zohar<sup>8</sup> and the International  
Treatment Refractory OCD Consortium

<sup>1</sup> Mount Sinai School of Medicine, New York, NY, USA

<sup>2</sup> Institute of Neuroscience, Florence, Italy

<sup>3</sup> Stanford University Medical Center, Stanford, CA, USA

<sup>4</sup> Yale University Child Study Center, New Haven, CT, USA

<sup>5</sup> University of Pisa, Italy

<sup>6</sup> State University of New York at Buffalo, USA

<sup>7</sup> University of Stellenbosch MRC Research Unit on Anxiety Disorders, Capetown, South Africa

<sup>8</sup> Chaim Sheba Medical Center, Ramat Gan, Israel

## Abstract

While controlled trials with SRIs have demonstrated a selective efficacy in obsessive–compulsive disorder (OCD), up to 40–60% of patients do not have a satisfactory outcome. Non-response to treatment in OCD is associated with serious social disability. There are a large number of non-responsive patients, and they are difficult to cluster due to ambiguities in the diagnostic criteria, possibility of subtypes, and a high rate of comorbidity. Moreover, the findings of current studies of so-called ‘non-responsive’ cases are currently non-generalizable because of the lack of an operational definition of non-response. The result has been that a cumulative body of data on a reasonably homogeneous sample of non-responders has not been developed. The aims of this paper are to clarify some of the obstacles in defining stages of response and levels of non-response and, through a comprehensive analysis, to propose a systematic nosology for this rather common condition. Better characterization of which patients respond and do not respond to various treatments will enable more accurate clustering of patients, and help facilitate multi-site data collection for future research trials.

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## Introduction: non-response is a clinical challenge and theoretical puzzle

While controlled trials with SRIs have demonstrated a selective efficacy in obsessive–compulsive disorder (OCD), up to 40–60% of patients do not have a satisfactory outcome (CMI, 1991; Goodman et al., 1992; Jenike and Rauch, 1994; McDougle et al., 1993b; Piccinelli et al., 1995; Pigott and Seay, 1999; Rasmussen et al., 1993) and these patients have significant disability and morbidity (Hollander et al., 1996). Since there is no operational definition for the concept of ‘non-response’, the labels ‘non-responder’, ‘treatment-resistant’, and ‘treatment-refractory’ are often used idiosyncratically and synonymously, and all of these terms lack established content validity.

Notwithstanding the lack of precise definitions of response and non-response, several different ‘next-step’ therapeutic strategies and even more complex treatment algorithms have been proposed (Dominguez, 1992; Dominguez and Mestre, 1994; Goodman et al., 1993; Hollander and Pallanti, 2002; Jefferson et al., 1995; Jenike 1992; March et al., 1997; Rasmussen and Eisen 1997; Rasmussen et al., 1993). An evidence-based medicine approach would recommend that clinicians integrate their individual clinical expertise with the best available evidence from systematic research (Guyatt et al., 1993, 1994, 1999). A clear definition and limits of the different clinical phases of the disorder represent a basic requirement to trace any therapeutic algorithm. However, in OCD treatment studies, the lack of operational criteria for non-response has prevented the development of a cumulative body of data on a reasonably homogeneous sample of ‘non-responsive’ patients, which has created significant limits on the generalizability of the few existing studies and remains a significant obstacle in the development of useful new studies.

Address for correspondence: Dr S. Pallanti, Istituto di Neuroscienze, Viale Ugo Bassi 1, Florence, Italy 50137.

Tel.: +39 (055) 587-889 Fax: +39 (055) 581-051

E-mail: s.pallanti@agora.stm.it

By establishing 'stages' of response, a clinician may reliably determine the type of treatment response, and thereby be guided towards a next-step strategy (e.g. continue with the treatment, augment the treatment, change the treatment). By establishing 'levels of non-response', clinicians and researchers may better characterize the subset of patients according to therapeutic history. With standardized criteria in place, patients previously thought to be totally unresponsive (i.e. 'refractory') to treatment may become re-categorized, and patients with well-defined treatment will become more homogenous and comparable across sites.

The aims of this paper are to clarify some of the obstacles in defining stages of response and levels of non-response and, through a comprehensive analysis, to propose a systematic nosology for this rather common condition.

### Measures of treatment response: impact on definition of non-response

Response criteria markedly impact the percentage of subjects considered responders in various trials and studies that utilize different response criteria and yield very different response rates. The importance of using standardized clinical rating scales in clinical practice as well as in research studies must be stressed. Treatment response should be assessed qualitatively via periodic clinical interviews and the regular use of validated scales. The Yale–Brown Obsessive Compulsive Scale (Y-BOCS) is the most widely and frequently used instrument to quantify the ongoing severity of OCD symptoms. As approx. 60% of patients treated with SRIs experience at least a 25–35% decrease in symptoms on the Y-BOCS (Goodman et al., 1992), one of these cut-off points has typically been operationalized as the criterion for non-response. In an adequate trial of an SRI, a less than 25% decrease in the Y-BOCS score in patients with at least moderate obsessive–compulsive symptom severity (Goodman et al., 1990) is usually considered partial response or non-response.

Despite the value of the Y-BOCS in measuring symptom severity, it may not be sensitive to subtle changes, such as a decrease from 5 h to 3 h per day of rituals. The Clinical Global Impression (CGI) scale is considered effective in capturing both the larger clinical picture of psychopathology and subtle changes, though it lacks specificity. However, patients with a CGI improvement score of 1 'very much improved' or 2 'much improved' are usually considered responders.

However, when the presence of symptoms does not directly correlate to the severity of disability, it seems questionable to base clinical assessment solely on these

two instruments. For instance, no direct correlation exists between the severity of obsessive and compulsive symptoms and severity of distress, especially in the young, where only 1 out of 10 subjects report symptoms to be disturbing (Apter et al., 1996). Subjective well-being is a neglected dimension of assessment, only partially considered in the patient's CGI score.

Quality of life has been a recent focus for OCD studies, using measurement instruments such as the Health Related Quality of Life (HRQL) scale; currently, however, only five studies are available (Koran, 2000). Although no consensus exists on how to conceptualize the HRQL, the importance of considering this dimension of patient suffering is evident. If the presence of symptoms has a substantial negative effect on the HRQL score, this score may be a crucial tool for evaluating the degree of recovery following treatment, and its assessment should be included in the characterization of non-responsive cases. Psychoeducation might play an important role in improving HRQL in resistant OCD patients and needs to be included in the treatment planning.

### Goals, terminology, and staging

In considering the definition of non-response, we must first examine our expectations for treatment. Is recovery a reasonable goal of treatment in OCD patients? Some follow-up studies have reported that after many years some individuals with OCD improve independently of the adequacy of treatment (Orloff et al., 1994; Skoog and Skoog, 1999). Currently, the majority of research consists of short-term clinical trials. Leonard et al. (1993) showed that many children and adolescents with OCD no longer meet criteria for the disorder at follow-up. Long-term studies indicate a range of outcomes from full-blown illness to complete remission. For several other disorders, including major depression, a full response in a clinical trial indicates a return to a condition substantially indistinguishable from a healthy control. In OCD, a return to a state of no illness is a rare clinical event.

Episodic course, with a return to a clinical state of no illness, has also been reported in adults (Perugi et al., 1998; Ravizza et al., 1997). An estimated 5% of OCD cases have an episodic course (Rasmussen and Eisen, 1997). Therefore, including 'recovery' and 'remission' in the staging terminology seems reasonable.

Recovery might therefore be considered a realistic target in some patients. Table 1 offers operationalized categories of response to treatment. Since this finding is incompatible with the short-term nature of controlled clinical trials, the duration of both study observations and treatment courses in OCD studies must be re-considered. Additionally, as Orloff et al. (1994) and Skoog and Skoog

**Table 1.** Stages of response

Stage of response	Stage	Description
I	Recovery	Not at all ill; less than 8 on Y-BOCS
II	Remission	Less than 16 on Y-BOCS
III	Full response	35% or greater reduction of Y-BOCS and CGI 1 or 2
IV	Partial response	Greater than 25% but less than 35% Y-BOCS reduction
V	Non-response	Less than 25% Y-BOCS reduction, CGI 4
VI	Relapse	Symptoms return (CGI 6 or 25% increase in Y-BOCS from remission score) after 3+ months of 'adequate' treatment
VII	Refractory	No change or worsening with all available therapies

(1999) are the only two long-term studies of OCD that have been conducted, new long-term prospective and follow-up studies are needed to better guide our expectations for response.

The authors propose establishment of definitions for treatment response in OCD differentiating between 'recovery' and 'remission', as is proposed by Frank et al. (1991) for depression. We propose *recovery* to indicate an almost complete and objective disappearance of symptoms, corresponding to a Y-BOCS value of 8 or below. *Remission*, on the other hand, can indicate a response that reduces symptoms to a minimal level, a Y-BOCS score of 16 or less, based on this the value is below the minimum threshold value to be included in a clinical trial. Because recovery is supposed to occur only in the episodic course, remission should be considered an adequate term to define the most successful outcome in non-episodic course. Both recovery and remission should be considered the highest levels of response to treatment. Such levels of response are fairly rare, and a lesser response is the more frequent phenomenon. Currently, values of both a 25 and 35% decrease in symptoms in the Y-BOCS total score are typically defined as the criterion for *response* (Goodman and Price, 1982). However, one must address the so-called 'response to recovery issue' (Angst et al., 1996; Fava et al., 1994; Shea et al., 1996; Stahl et al., 2000) that hinges on the definition of the 'appropriate threshold' for response. Stahl (2000) asks, 'Who would accept a 50% reduction of infectious organism for antibiotics, or a 50% reduction of tumour cells in malignancies, as appropriate outcome targets in these other areas of medicine?' As a partial justification for the modest percentage reduction of the Y-BOCS score accepted as 'response', the low placebo response rate (3–5% decrease in Y-BOCS and NIMH global scale scores) has been invoked (Mavissakalian et al., 1985).

We suggest that at least for the purposes of research, a 35% Y-BOCS reduction could reasonably be considered a

full response, between 25 and 35% a partial response, and less than 25% a non-response.

Recovery and remission may have to be defined normatively (normative staging), while all the other stages are defined according to the clinical evaluation of the subjective and symptomatic percentage of amelioration in the patient's own context of living (contextual staging).

Furthermore, a recurrence of symptoms should be judged contextually in relation to an individual's previous clinical condition. Considering the peculiarity of OCD, a disorder where the correlation between symptoms and disability is not that strict, an operational definition of episode is required. As with the definition of an episode in depressive disorder (Frank et al., 1991), that of OCD should be defined as a period lasting for at least 2 wk during which a patient is consistently within the fully symptomatic range on a sufficient number of symptoms to meet syndromal criteria for the disorder (Y-BOCS score of 16 or above) and clinical impairment.

A drop in CGI improvement score to '6' ('much worse' or a 25% increase in Y-BOCS from the patient's Y-BOCS score during response) should guide the practitioner toward defining a *relapse*, a term that corresponds with the return of symptoms satisfying the full syndrome during a remission period (return of the symptoms on an ongoing but sub-clinical disorder). *Recurrence* describes an entirely new episode; it thus can occur only during a recovery phase and therefore should apply only to the episodic course presentation of the syndrome.

Maintenance and discontinuation studies (Leonard et al., 1991; Mundo et al., 1997; Pato et al., 1988, 1990) show a high rate of relapse (65–90%) after acute discontinuation of SRI treatment and a lower degree of response to the same treatment effective for the previous episode (Maina et al., 2001). Both the prevalence of partial response and the high percentage of relapse after drug discontinuation make the OCD clinical course similar to that of psychotic disorders (Emsley, 1999). In determining

whether a relapse, exacerbation, or new episode has occurred, the timing of the return of symptoms, during treatment or after discontinuation, is a relevant consideration. It is unclear whether a relapsed OCD patient, following a previous good response to SRI, but with a subsequent non-response, should be considered a 'non-responder'. Negative and partial responses to treatment are operationalized in Table 1. Perhaps a distinction between chronic non-response vs. episodic non-response should also be considered.

### **Methodological considerations: diagnosis, subtypes, and comorbidity**

There are numerous theoretical problems implicit in defining response. Among them are issues centring on the complex relationship between what we assume to be the diagnostic core of a disorder, the limits or boundaries of the disorder, and the impact of treatment outcomes on the evolution of diagnostic classifications. Clearly subtype comorbidity impacts on treatment response and influences our operational definition.

### **Diagnosis**

The concept of non-response suggests an implicit match between a diagnostic classification and a treatment. This match presupposes the validity of diagnostic instruments and categories. While we rely upon the current diagnostic instruments to define clinical entities, these classifications are often treatment-oriented, correlating with the results of 'field trials'. When faced with groups of non-responsive patients, we are forced to question whether the current diagnostic categories hold firm or whether a different constellation should be proposed.

According to conventional traditions of psychopathology, the diagnosis of OCD includes the presence of two clinically distinguishable items: obsession and compulsion. This implies a clear delimitation of both the internal and external boundaries of the terms of definition (Castle and Groves, 2000). The components that make the obsession and compulsion dimensions psychopathological and clearly distinguishable need, however, to be clarified (Leckmann et al., 1997). The distinction between obsessions and other psychopathological entities such as worries (Abramowitz and Foa, 1998) and restricted interests, especially in children (Baron-Cohen and Wheelwright, 1999), needs to be explored further. The boundaries between belief, delusional belief and delusion also present some overlap (Abramowitz and Foa, 1998) and need clarification, as does the importance in the OCD construct of awareness, insight, and the subjective

experience of ego-dystonia, which have been marginalized from the diagnosis in the DSM-IV.

These diagnostic issues affect the limits and range of the categorical diagnosis of OCD. As we clarify true non-responders through our exploration of 'matching therapies', current diagnostic boundaries will also need to be reassessed.

### **Subtypes**

Although OCD has long been considered a unitary diagnosis, interest in its potential heterogeneity, as manifested by symptom subgroups, has grown, along with evidence for multidimensionality of OCD symptoms (Summerfeldt et al., 1999).

Onset of illness, particularly with respect to gender differences and age of onset may also be important distinctions in terms of appropriate treatment.

Because reproductive hormones could have specific roles, at least in some specific subtypes such as post-partive onset OCD (Camarena et al., 2001), gender has been suggested as a predictive variable to treatment (Mundo et al., 1999) because brain mechanism in OCD may differ depending on the age at which symptoms are first expressed (Busatto et al., 2001).

Furthermore, late onset could be related to neurological degenerative processes (Weiss and Jenike, 2000), particularly in some at-risk categories of patients, and early onset could be related to a neurodevelopmental process. It also seems reasonable that neuroimaging could be conducted in order to rule out organic aetiology (i.e. post-stroke OCD) before considering an older patient non-responsive to the treatment (Scicutella, 2000).

Another issue concerns the distinction between idiopathic and the so-called 'acquired' OCD (Chacko et al., 2000) with neurological comorbidity such as Huntington's chorea (Scicutella, 2000) and Sydenham's chorea, rheumatic fever, bacterial and viral infection, and encephalitis. This has implications for the possible inclusion of diagnostic or serological examinations in the assessment of suspected cases. Positive findings would also require treatment trials beyond SSRIs before considering patients to be non-responsive. OCD in Tourette's Syndrome, or accompanied by tics, would not be considered non-responsive to only SSRI treatment, but instead be considered inadequately treated without combined typical or atypical neuroleptic treatment (e.g. pimozide, haloperidol, risperidone) (McDougle et al., 2000).

While the predictive negative value of neurological soft signs (Hollander et al., 1990) has been questioned (Thienammen and Koran, 1995), another possible subtype has been suggested from the hypothesis of an immune

**Table 2.** Levels of non-response

Level of non-response	Description
I	SSRI or CBT
II	SSRI plus CBT
III	2 SSRIs tried plus CBT
IV	At least 3 SSRIs tried plus CBT
V	At least 3 SRIs (including clomipramine) plus CBT
VI	At least 3 SRIs including clomipramine augmentation plus CBT
VII	At least 3 SRIs including clomipramine + CBT + psychoeducation and other classes of medication (benzodiazepine, mood stabilizer, neuroleptic, psycho-stimulant)
VIII	At least 3 SRIs including intravenous clomipramine + CBT + psychoeducation
IX	At least 3 SRIs including clomipramine + CBT + psychoeducation and other classes of antidepressant agents (NSRI, MAOI)
X	All above treatments, neurosurgery

reaction to group A  $\beta$ -haemolytic streptococcal infection, involving anti-neuronal antibodies, in OCD (Swedo et al., 1998). It is yet to be decided whether this type of OCD should be considered a specific subtype, a special pattern of comorbidity, or a new disorder. However, it is clear that paediatric autoimmune neuropsychiatric disorder associated with streptococcal infection (PANDAS) should be screened when there is a suspicion of streptococcal infection (Peterson et al., 2000; Singer et al., 1998; Swedo et al., 1998) and may be important in evaluating the adequacy and response to treatments such as plasma exchange (Nicolson et al., 2000).

It is unclear whether a differential response for hypothetical subtypes of OCD should be considered in the definition of non-response. For example, in patients with severe hoarding symptoms, should dopamine blockers or stimulants be included in a patient's treatment before defining non-response (Black, 1998; Stein et al., 1997)? In reporting response rates, perhaps the response of cases of severe hoarding behaviour, which have a poorer outcome following treatment with SRIs, should be reported separately (Black et al., 1998; Mataix-Cols et al., 1999; Winsberg et al., 1999) from the rates of other non-responsive OCD patients. Perhaps treatment for these patients should avoid 'solo' SSRI treatment and start directly with combination with neuroleptics; only after that treatment could the patient be considered non-responsive to adequate treatment. Adequate treatment utilizing other categories of drugs for specific subtypes should also be evaluated: for example, patients with prevalent symmetry and atypical obsessions or high level of anxiety to treatment may warrant the use of an MAOI (monoamine oxidase inhibitor) (Jenike et al., 1997) or

NSRI (norepinephrine serotonin reuptake inhibitor) (Grossman and Hollander, 1996), and/or augmentation with atypical neuroleptics such as risperidone (McDougle et al., 2000) and olanzapine (Bogetto et al., 2000; Koran et al., 2000) before declaring a patient non-responsive. Highly anxious obsessional subjects could also be treated with a combination of benzodiazepines (i.e. clonazepam) and an SSRI (Hewlett et al., 1990, 1992).

### Comorbidity

Another issue in determining non-response to treatment involves the presence of comorbid conditions. While excluding patients with comorbidity from analyses of response to treatment has the advantage of reducing heterogeneity, the results also have less generalizability. Non-responsive patients are more likely to meet criteria for comorbid Axis I or Axis II disorders and the presence of a specific comorbid condition could be a distinguishing feature in OCD, with influence on the treatment adequacy and outcome. While coexisting depression is generally irrelevant to treatment response (Katz and DeVeaugh-Geiss, 1990; Mavissakalian et al., 1985), a lower response rate has been observed with comorbid chronic tic disorder (Goodman et al., 1992; McDougle et al., 1993a), and OCD patients with neurological soft signs (Hollander et al., 1990). A comorbid Axis II diagnosis of schizotypal, borderline, and avoidant personality also seems to predict a poorer treatment outcome (Baer et al., 1992), as does obsessive-compulsive personality disorder (Cavedini et al., 1997). While it is still controversial whether comorbid personality disorders change following treatment (Diaferia et al., 1997; Ricciardi et al., 1992), the definition

of adequate treatment for OCD patients with a comorbid Axis II condition, as well as those without such a condition, should include a cognitive and behavioural therapy (CBT) trial before concluding non-response. While recommendations on treatment choice for OCD (both with and without comorbid disorders) and treatment options for non-responders have been addressed by others, including the Expert Consensus Guideline Series (March et al., 1997), the issue being addressed is whether lack of response to the assortment of treatments should define different levels of non-response. While Table 1 is similar to an algorithm for categorizing the effect of the current treatment approach, Table 2 enables individual clinicians to decide the 'next step' approach. For example, if a partial response is experienced with a treatment, then the current treatment should be reinforced; if there is no response or a negative response to the treatment, then a change of treatment is indicated. Additionally, assigning a category of non-response to patients is important for research. Standardizing the categories of patients enables comparison across studies and in meta-analyses.

#### **Adequate treatment: are SRIs the only adequate trials to define non-response?**

The positive results of placebo-controlled, double-blind studies have led to the designation of several SRIs by the Food and Drug Administration as the only class of drug with an indication to treat OCD. Serotonin dysfunction has been described as playing a role in the pathophysiology of OCD (Zohar, 1992) and strong support for this hypothesis is demonstrated by the selective efficacy of SRIs. To date, adequate trials are considered to be 12-wk trials of at least moderate doses of SRIs, i.e. clomipramine (150 mg/d), fluoxetine (40 mg/d), sertraline (100 mg/d), paroxetine (40 mg/d), fluvoxamine (200 mg/d), citalopram (40 mg/d) and venlafaxine (225 mg/d). On the basis of this somewhat tautological conceptualization (OCD responds to SSRIs, therefore SSRIs are the treatment of choice for OCD; conditions not responsive to SSRIs are not OCD), and because the definition of subtype and the importance of comorbid conditions in the choice of the treatment are not yet accepted, a large portion of treatment strategies follow the line of the 5-HT hypothesis (Goodman, 1999). These include: enhancing the serotonergic action of the drugs through dosage increase (even if the clinical outcome does not correlate with plasma level of SRIs such as sertraline and fluoxetine), switching and combining SRIs (Figueroa, 1998; March et al., 1997; Pallanti et al., 1999). Another strategy that has been used to enhance serotonergic action is the use of alternative routes of administration of

SRIs such as intravenous administration (Fallon et al., 1998; Pallanti and Quercioli, 2000). Intravenous treatment with clomipramine has been reported effective for OCD patients with a history of inadequate response to oral treatment with the same drug (Fallon et al., 1998; Koran et al., 1997), and it is, in a large percentage of cases, the first-line treatment in Italy and other European countries for severe cases. Therefore, the route of administration may have an important impact on resistance to treatment and, as such, intravenous administration should be considered a reasonable treatment choice and used in determining the rate of response of severe cases.

CBT is not only a reasonable first-line therapy, as well as SSRIs, but its application as an augmentation therapy in patients with associated personality disorders (AuBuchon and Malatesta, 1994) or dissociative symptoms (Shusta, 1999) who have been treated with SSRIs but are still symptomatic (Simpson et al., 1999) is particularly indicated. In cases of non-response, CBT must be routinely and consistently integrated with SSRI treatment (Van Noppen et al., 1998), and be used as an augmentation strategy at the various levels of non-response (March et al., 1997) in line with the 5-HT hypothesis (Neziroglu et al., 1990).

Drug strategies have gone beyond the serotonergic hypothesis and started to explore alternative biochemical hypotheses. This is an important approach, especially for OCD patients with subtypes or comorbid conditions. For patients with Axis II sub-threshold or full-blown personality disorders (e.g. schizotypal) neuroleptic augmentation strategies could be indicated. Examples of other possible matching therapies might be:

OCD + tics = SSRI + neuroleptic (typical or atypical) (McDougle et al., 2000),

OCD + anxiety = SSRI + CBT or MAOI (partially supported by Jenike et al., 1997).

Would it not be more reasonable to label a patient a 'non-responder' after a matching therapy has failed?

Use of polypharmacotherapy is becoming common in clinical practice (Laird, 1996) but not in clinical trials. This results in a discrepancy of non-response: monotherapy, for published studies, and polypharmacy for clinical practice. In clinical practice, only polytherapy-treated patients would be included in a sample of non-responders, and not monotherapy-treated patients. However, if that is so, then we must define response for these treatments. According to the Expert Consensus Guideline Series (March et al., 1997), psychiatrists and psychologists recommend starting with CBT or CBT plus an SSRI, depending on the severity and pattern of comorbidity. Experts generally consider CBT a first-line augmentation

strategy and medication augmentation a second-line option. Differences in the chronology of the interventions (if first CBT then SRI or vice versa) should be considered in the definitions of non-response subjects.

### Number, type, duration of failed trials

A good response to a tricyclic such as clomipramine in patients with a diagnosis of depression is in 95% of cases a predictor of a good response to another primarily serotonergic agent such as amitriptyline (Mattes, 1994; Sacchetti et al., 1994). A few studies comparing different SRIs have shown that we cannot consider the SRIs as a homogenous category, such as tricyclics, but as a team with different players; within the category of the so-called SSRIs the percentage of concordance in treating depression is less than 75% (Sacchetti et al., 1994; Salzman, 1996). Because a first-line SSRI treatment in OCD has not been established, the choice of first treatment is currently based only on the clinician's judgement. However, this choice may have a clear effect on the number of trials adopted, and later, on the designation of a patient as resistant. If an OCD patient does not respond to the first SRI chosen, such as fluoxetine, is that patient a SRI non-responder? Or could the patient have been a full-responder to fluvoxamine as first treatment (Mattes, 1994)? Unfortunately, patients who failed to respond to one or more SRI trials may be less likely than naive patients to respond to further SRI trials (Ackerman et al., 1998). While it is currently unclear whether the sequence of treatment choice truly affects subsequent outcome, motivation to treat with another agent of the same class is typically reduced in exponential progression with each one that fails to elicit a response. Further clarification of the definition of response/non-response, together with subsequent support from clinical trials, should ultimately help to address the question of the number, type, and sequence of treatments for patients with OCD.

With regard to the duration of treatment, especially for preventing relapse, adequate studies have not been conducted. Prolonged trials should be studied, since naturalistic observation suggests that longer treatment prevents relapse and there is evidence that higher doses of prolonged duration (6 months) have turned 50% of non-responders into responders. Table 1 is a model of suggested stages of response. Through a methodical progression of research based on definitions of non-response, we may ultimately be able to characterize levels of response, as seen in Table 2. This is based on the expert consensus of our group, that parallel those proposed by the group of Michael Thase (Ninan et al., 2001) in respect of the same staging for depression.

### Discussion

There are various reasons to create operational criteria for non-response in OCD. Non-response to treatment in OCD is associated with serious social disability: patient suffering, family suffering, and an elevated suicide rate (Hollander et al., 1996). Non-responsive patients are numerous, and their profiles are difficult to cluster due to ambiguities in diagnostic criteria, the possibility of subtypes, and high rates of comorbidity. Moreover, the findings of current studies of 'so-called' non-responsive cases, which guide the evolution of treatment, are currently non-generalizable because of the lack of an operational definition. Furthermore, there is a significant discrepancy in treatment strategies between academic research (with its general acceptance of linear, monotherapeutic strategies, primarily focused on understanding the disease and treatment process and avoiding interference from too many variables) and general psychiatric clinical practice (in which the clinicians try to maximize response by using as many 'variables' as they believe may help), which creates a dichotomy in communication and the direction of research.

These are compelling reasons to clarify the concept of OCD non-response. Our practical objectives, with this paper, are:

- (1) To enhance the attention of the clinician to non-responsive cases.
- (2) To encourage the use of instruments in clinical practice and research (such as the Y-BOCS) in order to better characterize response/non-response.
- (3) To advocate the use of measurements of quality of life and subjective experience of severity and change (e.g. CGI, HRQL) in patient assessment in order to share the therapeutic process with the patient and in order to capture the full clinical picture.
- (4) To enable clustering of patients based on reliable and valid conceptual criteria.
- (5) To establish a template for non-response 'stages' in OCD, thereby increasing the possibility for communication between researchers and clinicians, both for patient care and research purposes.
- (6) To facilitate data collection across multiple sites, crossing both cultural and ethnic boundaries, and explore potential biases that may affect diagnostic or treatment criteria.
- (7) To encourage the participation of those with expertise from other backgrounds (such as advocacy associations, psychologists, GPs, etc.) (Sniderman, 1999) in consensus conferences, as diversity in membership is necessary to improve agreement between different points of view on quality-of-life issues.

One of the primary aims is the adoption of the 'staging of response' as an attempt to define chronological milestones to guide drug changes, dose increase, shifts to other SRIs or to another medication class or augmentation agent (Quitkin et al., 1996), and the search for more refined treatment algorithms.

This purpose is not an end, but a starting point towards moving past anecdotal case reports and implementing treatment strategies developed from evidence-based medicine for partial and non-responsive OCD patients.

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