

# Association of a functional –1019C > G 5-HT1A receptor gene polymorphism with panic disorder with agoraphobia

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## Abstract

Panic disorder is a common anxiety disorder which frequently co-occurs with agoraphobia. A functional promoter polymorphism in the serotonin receptor 1A (5-HT1A) gene has been found to be associated with major depression as well as anxiety- and depression-related personality traits. We investigated a possible association between this 5-HT1A gene promoter polymorphism and panic disorder by genotyping the –1019C > G single nucleotide polymorphism in 134 panic-disorder patients with and without agoraphobia and matched 134 controls. In our sample no significant evidence of allelic association in the combined panic-disorder group was found. However, our results show a significant association with the G allele in patients with panic disorder with agoraphobia ( $p=0.03$ ,  $n=101$ ). In conclusion, our findings do not support a major contribution of this polymorphism to the pathogenesis of panic disorder, but provide evidence for a possible role in the subgroup with agoraphobia.

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**Key words:** Agoraphobia, association, panic disorder, polymorphism, 5-HT1A gene promoter.

## Introduction

Panic disorder is a severe mental disorder, which is defined by recurrent panic attacks and anticipatory anxiety. It is often accompanied by agoraphobia. The worldwide prevalence of panic disorder is 1–3% (Weissman et al., 1997). Psychosocial as well as genetic factors contribute to the aetiology of panic disorder.

Several preclinical and clinical studies implicate the serotonin receptor 1A (5-HT1A) in the pathogenesis of panic disorder. The 5-HT1A receptor is expressed in two distinct neuronal populations in the brain: as a presynaptic autoreceptor in the raphe nuclei, and as a post-synaptic heteroreceptor on neurons of the fore-brain, mainly the hippocampus, septum and cortex

(Kent et al., 2002). Activation of the presynaptic 5-HT1A receptor by selective agonists leads to a reduction in the firing rate of serotonergic neurons and causes the suppression of 5-HT synthesis, 5-HT turnover, and 5-HT release in serotonergic projection areas (Handley, 1995). 5-HT1A receptor responsivity is reduced in patients with panic disorder (Broocks et al., 2003; Lesch et al., 1992). 5-HT1A gene knockout mice display increased anxiety-like behaviour in various conflict tests (Heisler et al., 1998; Parks et al., 1998).

These observations suggest that allelic variations in the 5-HT1A receptor gene on chromosome 5q12.3 (Erdmann et al., 1995; Fargin et al., 1988; Kawanishi et al., 1998) could be predisposing factors for panic disorder. Functional relevance has recently been described for a –C1019G promoter polymorphism (Lemondé et al., 2003; Wu and Comings, 1999). It was not associated with major depression in a Spanish population (Arias et al., 2002), but has been found associated with major depression and suicidality in two

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**Table 1.** Genotype and allele frequencies of the 5-HT1A promoter polymorphism in panic-disorder patients and controls

Sample	Genotypes			Armitage Trend test	Alleles		$\chi^2$ test
	G/G	G/C	C/C		G	C	
<b>Panic disorder</b>							
Males ( <i>n</i> =49)	16	23	10	$Z=-0.9083, p=0.3637$	55	43	$\chi^2=0.7375, p=0.3905$
Females ( <i>n</i> =84)	26	36	22	$Z=-1.4420, p=0.1493$	88	80	$\chi^2=2.3108, p=0.1285$
Total ( <i>n</i> =133)	42	59	32	$Z=-1.7025, p=0.0887$	143	123	$\chi^2=2.9963, p=0.0835$
<b>Control</b>							
Males ( <i>n</i> =49)	9	31	9		49	49	
Females ( <i>n</i> =85)	18	39	28		75	95	
Total ( <i>n</i> =134)	27	70	37		124	144	
<b>Panic disorder with agoraphobia</b>							
Males ( <i>n</i> =33)	13	16	4	$Z=-1.8805, p=0.0600$	42	24	$\chi^2=2.9692, p=0.0849$
Females ( <i>n</i> =68)	21	30	17	$Z=-1.4659, p=0.1427$	72	64	$\chi^2=2.3566, p=0.1248$
Total ( <i>n</i> =101)	34	46	21	$Z=-2.1689, p=0.0301$	114	88	$\chi^2=4.7633, p=0.0291$

Canadian samples (Lemondé et al., 2003). While another 5-HT1A receptor gene polymorphism (294 G/A) has been investigated in a Japanese panic-disorder sample with negative results (Inada et al., 2003), this functionally relevant promoter polymorphism has not yet been studied in panic disorder.

In the present study we therefore investigated whether the  $-1019C>G$  polymorphism may play a role in the pathogenesis of panic disorder by means of an association analysis in 134 German panic-disorder patients.

## Methods

We investigated a sample of unrelated patients with panic disorder (85 female, 49 male) diagnosed by experienced psychiatrists according to DSM-III-R/DSM-IV on the basis of structured interviews (SADS-LA, Mannuzza et al., 1986; CIDI, Robins et al., 1988; IDCL, Hiller, 1997) and medical records. Only patients with predominant panic disorder were included. The controls were unrelated, anonymous blood donors. All patients and controls were of German descent. They were matched for gender and age (average age of patients  $37.3 \pm 10.8$  yr and of controls  $41.0 \pm 11.0$  yr, mean  $\pm$  standard error). The study design was approved by the local Ethics Committees. All participating subjects had given their informed written consent.

Genomic DNA was extracted from EDTA anticoagulated whole blood using QIAamp<sup>®</sup> DNA Mini kit (Qiagen GmbH, Hilden, Germany). A region of 163 bp (position  $-1158$  to  $-996$  from the translation start site ATG) containing the single nucleotide polymorphism

at position  $-1019$  was amplified by polymerase chain reaction (PCR) using the following reaction mix: 20 ng of genomic DNA in 75 mM Tris-HCl (pH 9), 20 mM ammonium sulphate, 0.01% Tween-20, 1.5 mM magnesium chloride, 0.4  $\mu$ M of each of the primers, SNPR1A-nor (5'-GGC TGG ACT GTT AGA TGA TAA CG-3') and SNPR1A-mod (5'-GGA AGA AGA CCG AGT GTG TCA T-3'), 0.4 mM dNTP, and 1 U *Taq* polymerase in a total reaction volume of 21  $\mu$ l. The reverse primer (SNPR1A-mod) was modified ( $-1016A>T$ ) to introduce a variable restriction site dependent on a C or a G in position  $-1019$ . After an initial denaturation step for 5 min at 95 °C, 35 cycles of denaturing at 95 °C for 30 s, annealing at 59.5 °C for 40 s and extension at 72 °C for 50 s were performed, followed by a final extension step at 72 °C for 5 min. Eight  $\mu$ l of the PCR product were digested with 1.5 U of *Bse*GI restriction enzyme in 33 mM Tris-acetate, 10 mM magnesium acetate, 66 mM potassium acetate and 0.1 mg/ml BSA at 55 °C overnight. The alleles were separated on a 5% agarose gel for 2 h and visualized under UV light in the presence of ethidium bromide. The undigested PCR product (163 bp) carries the C allele while the digested product with two fragments of 17 and 146 bp contains the G allele.

For statistical analysis, Armitage trend test for genotype frequency and  $\chi^2$  test for allele frequency (Sasieni, 1997) as well as conditional logistic regression were performed with a significance level set at  $p=0.05$  using the SAS statistical package (SAS/STAT, 1999). Hardy-Weinberg equilibrium was tested by means of an online resource (Christensen, 2003). The statistic analyses were basically explorative and,

therefore, the results were not adjusted for multiple testing.

## Results

The distribution of the genotypes of the C/G polymorphism did not differ significantly from those predicted by Hardy–Weinberg equilibrium in controls as well as patients (all cases with panic disorder,  $p=0.21$ ; cases with panic disorder with agoraphobia,  $p=0.46$ ; controls,  $p=0.56$ ). We observed only a trend towards association with panic disorder in the total panic-disorder sample (genotype frequency,  $p=0.0887$ ; allele frequency,  $p=0.0835$ ;  $n=134$ ). However, a significant association could be observed in the subgroup of panic-disorder patients with agoraphobia comparing the genotype distribution (Armitage trend test 2-sided:  $Z=-2.1689$ ,  $p=0.0301$ ,  $n=101$ ). A similar difference was seen for the allele distribution with a significant excess of the G allele in patients with panic disorder and agoraphobia ( $\chi^2$  test:  $\chi^2=4.763$ ,  $p=0.029$ ,  $n=101$ ). The results are summarized in Table 1.

Exploring the mode of inheritance by means of a conditional logistic regression analysis in patients with panic disorder with agoraphobia, we found an association for the recessive model. The genotype G/G was associated with a higher risk for the disorder ( $p=0.019$ , OR 2.148, 95% CI 1.159–4.188). In all other subgroups of patients no significant associations could be detected.

## Discussion

In our study, an association between the  $-1019C>G$  5-HT1A receptor gene polymorphism and panic disorder with agoraphobia is described for the first time. The results show a significant excess of the G/G genotype and the G allele of the  $-1019C>G$  5-HT1A receptor gene polymorphism in the subgroup of patients with panic disorder with agoraphobia compared to controls.

The presence of the G variant prevents regulatory proteins (both transcriptional repressors and enhancers) from binding and thus contributes to altered 5-HT1A gene expression and 5-HT1A-mediated neurotransmission (Lemondé et al., 2003). It has been reported that the G allele is associated with major depression (Lemondé et al., 2003) as well as with anxiety- and depression-related personality traits such as the phobic trait harm avoidance in healthy volunteers (Strobel et al., 2003). Our observations are consistent with these findings as the association was only observed in the clinically more severely ill subgroup of

patients with agoraphobia. Comorbidity between depression and agoraphobia is a clinically well-described phenomenon. It is considerably higher than between depression and other phobias, and is influenced by both common genetic and individual environmental factors (Kendler et al., 1993). While 27% of our patients had secondary major depression (DSM-III-R/DSM-IV), no association, however, could be observed for this subgroup and thus the observed association with panic disorder with agoraphobia could not be attributed to this subgroup (results not shown).

Since our study used a case-control design and multiple statistical analyses, a false positive result in the agoraphobia subgroup as a consequence of population stratification or multiple testing cannot be excluded. The non-significant result in the overall sample, however, is as likely to be due to an inadequate sample size given the obvious small increase of risk due to the G allele. Further studies with independent, larger, and family-based designs are warranted in particular as the association with major depression is not consistently observed (Arias et al., 2002).

In summary, our findings suggest that the  $-1019C>G$  5-HT1A receptor gene polymorphism is not a major risk factor for panic disorder, but may contribute to the pathogenesis of agoraphobia in panic disorder.

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## Statement of Interest

None.

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