

## Research Letter

# The reproductive number of COVID-19 is higher compared to SARS coronavirus

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**Teaser:** Our review found the average  $R_0$  for COVID-19 to be 3.28, which exceeds WHO estimates from 1.4 to 2.5.

**Key words:** Coronavirus, Wuhan, China, SARS, Public health emergency of international concern, COVID-19, Epidemic potential,  $R_0$

## Introduction

In Wuhan, China, a novel and alarmingly contagious primary atypical (viral) pneumonia broke out in December 2019. It has since been identified as a zoonotic coronavirus, similar to SARS coronavirus and MERS coronavirus and named COVID-19. As of 8 February 2020, 33 738 confirmed cases and 811 deaths have been reported in China.

Here we review the basic reproduction number ( $R_0$ ) of the COVID-19 virus.  $R_0$  is an indication of the transmissibility of a virus, representing the average number of new infections generated by an infectious person in a totally naïve population. For  $R_0 > 1$ , the number infected is likely to increase, and for  $R_0 < 1$ , transmission is likely to die out. The basic reproduction number is a central concept in infectious disease epidemiology, indicating the risk of an infectious agent with respect to epidemic spread.

## Methods and Results

PubMed, bioRxiv and Google Scholar were accessed to search for eligible studies. The term ‘coronavirus & basic reproduction number’ was used. The time period covered was from 1 January 2020 to 7 February 2020. For this time period, we identified 12 studies which estimated the basic reproductive number for COVID-19 from China and overseas. Table 1 shows that the estimates ranged from 1.4 to 6.49, with a mean of 3.28, a median of 2.79 and interquartile range (IQR) of 1.16.

The first studies initially reported estimates of  $R_0$  with lower values. Estimations subsequently increased and then again returned in the most recent estimates to the levels initially reported (Figure 1). A closer look reveals that the estimation method used played a role.

The two studies using stochastic methods to estimate  $R_0$ , reported a range of 2.2–2.68 with an average of 2.44.<sup>1,9</sup> The six studies using mathematical methods to estimate  $R_0$  produced a range from 1.5 to 6.49, with an average of 4.2.<sup>2,4–6,8,10</sup> The three studies using statistical methods such as exponential growth estimated an  $R_0$  ranging from 2.2 to 3.58, with an average of 2.67.<sup>3,7,11</sup>

## Discussion

Our review found the average  $R_0$  to be 3.28 and median to be 2.79, which exceed WHO estimates from 1.4 to 2.5. The studies using stochastic and statistical methods for deriving  $R_0$  provide estimates that are reasonably comparable. However, the studies using mathematical methods produce estimates that are, on average, higher. Some of the mathematically derived estimates fall within the range produced the statistical and stochastic estimates. It is important to further assess the reason for the higher  $R_0$  values estimated by some the mathematical studies. For example, modelling assumptions may have played a role. In more recent studies,  $R_0$  seems to have stabilized at around 2–3.  $R_0$  estimations produced at later stages can be expected to be more reliable, as they build upon more case data and include the effect of awareness and intervention. It is worthy to note that the WHO point estimates are consistently below all published estimates, although the higher end of the WHO range includes the lower end of the estimates reviewed here.

$R_0$  estimates for SARS have been reported to range between 2 and 5, which is within the range of the mean  $R_0$  for COVID-19 found in this review. Due to similarities of both pathogen and region of exposure, this is expected. On the other hand,

**Table 1.** Published estimates of  $R_0$  for 2019-nCoV

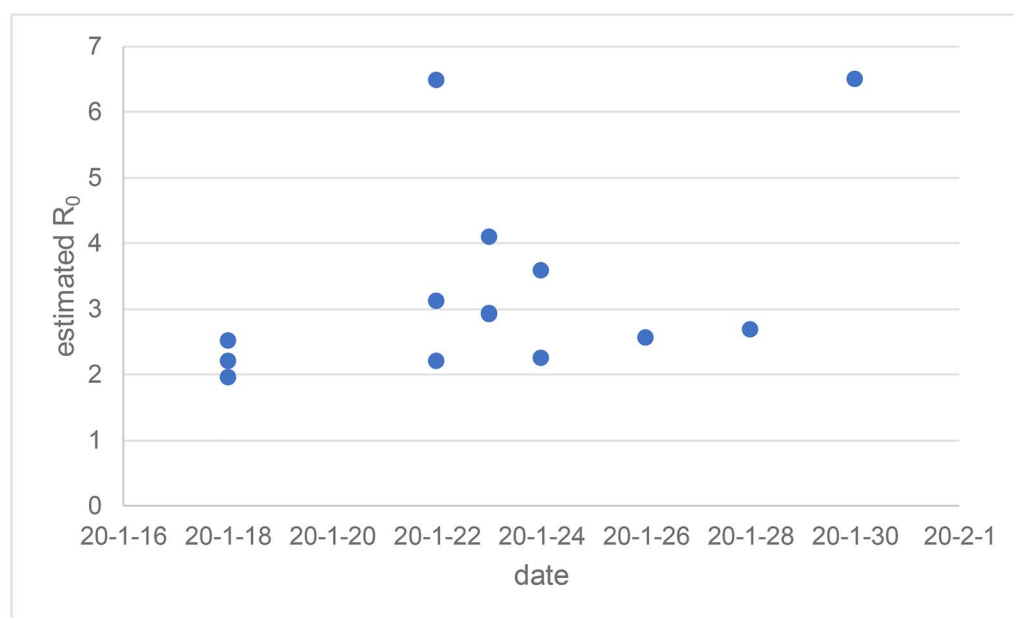
Study (study year)	Location	Study date	Methods	Approaches	$R_0$ estimates (average)	95% CI
Joseph <i>et al.</i> <sup>1</sup>	Wuhan	31 December 2019–28 January 2020	Stochastic Markov Chain Monte Carlo methods (MCMC)	MCMC methods with Gibbs sampling and non-informative flat prior, using posterior distribution	2.68	2.47–2.86
Shen <i>et al.</i> <sup>2</sup>	Hubei province	12–22 January 2020	Mathematical model, dynamic compartmental model with population divided into five compartments: susceptible individuals, asymptomatic individuals during the incubation period, infectious individuals with symptoms, isolated individuals with treatment and recovered individuals	$R_0 = \beta/\alpha$ $\beta$ = mean person-to-person transmission rate/day in the absence of control interventions, using nonlinear least squares method to get its point estimate $\alpha$ = isolation rate = 6	6.49	6.31–6.66
Liu <i>et al.</i> <sup>3</sup>	China and overseas	23 January 2020	Statistical exponential Growth, using SARS generation time = 8.4 days, SD = 3.8 days	Applies Poisson regression to fit the exponential growth rate $R_0 = 1/M(-r)$ $M$ = moment generating function of the generation time distribution $r$ = fitted exponential growth rate	2.90	2.32–3.63
Liu <i>et al.</i> <sup>3</sup>	China and overseas	23 January 2020	Statistical maximum likelihood estimation, using SARS generation time = 8.4 days, SD = 3.8 days	Maximize log-likelihood to estimate $R_0$ by using surveillance data during a disease epidemic, and assuming the secondary case is Poisson distribution with expected value $R_0$	2.92	2.28–3.67
Read <i>et al.</i> <sup>4</sup>	China	1–22 January 2020	Mathematical transmission model assuming latent period = 4 days and near to the incubation period	Assumes daily time increments with Poisson-distribution and apply a deterministic SEIR metapopulation transmission model, transmission rate = 1.94, infectious period = 1.61 days	3.11	2.39–4.13
Majumder <i>et al.</i> <sup>5</sup>	Wuhan	8 December 2019 and 26 January 2020	Mathematical Incidence Decay and Exponential Adjustment (IDEA) model	Adopted mean serial interval lengths from SARS and MERS ranging from 6 to 10 days to fit the IDEA model,	2.0–3.1 (2.55)	/
WHO	China	18 January 2020	/	/	1.4–2.5 (1.95)	/
Cao <i>et al.</i> <sup>6</sup>	China	23 January 2020	Mathematical model including compartments Susceptible-Exposed-Infectious-Recovered-Death-Cumulative (SEIRDC)	$R = K/2(L \times D) + K(L + D) + 1$ $L$ = average latent period = 7, $D$ = average latent infectious period = 9, $K$ = logarithmic growth rate of the case counts	4.08	/
Zhao <i>et al.</i> <sup>7</sup>	China	10–24 January 2020	Statistical exponential growth model method adopting serial interval from SARS (mean = 8.4 days, SD = 3.8 days) and MERS (mean = 7.6 days, SD = 3.4 days)	Corresponding to 8-fold increase in the reporting rate $R_0 = 1/M(-r)$ $r$ = intrinsic growth rate $M$ = moment generating function	2.24	1.96–2.55

(continued)

Table 1. Continued

Study (study year)	Location	Study date	Methods	Approaches	$R_0$ estimates (average)	95% CI
Zhao <i>et al.</i> <sup>7</sup>	China	10–24 January 2020	Statistical exponential growth model method adopting serial interval from SARS (mean = 8.4 days, SD = 3.8 days) and MERS (mean = 7.6 days, SD = 3.4 days)	Corresponding to 2-fold increase in the reporting rate $R_0 = 1/M(-r)$ $r$ = intrinsic growth rate $M$ = moment generating function	3.58	2.89–4.39
Imai (2020) <sup>8</sup>	Wuhan	January 18, 2020	Mathematical model, computational modelling of potential epidemic trajectories	Assume SARS-like levels of case-to-case variability in the numbers of secondary cases and a SARS-like generation time with 8.4 days, and set number of cases caused by zoonotic exposure and assumed total number of cases to estimate $R_0$ values for best-case, median and worst-case	1.5–3.5 (2.5)	/
Julien and Althaus <sup>9</sup>	China and overseas	18 January 2020	Stochastic simulations of early outbreak trajectories	Stochastic simulations of early outbreak trajectories were performed that are consistent with the epidemiological findings to date	2.2	
Tang <i>et al.</i> <sup>10</sup>	China	22 January 2020	Mathematical SEIR-type epidemiological model incorporates appropriate compartments corresponding to interventions	Method-based method and Likelihood-based method	6.47	5.71–7.23
Qun Li <i>et al.</i> <sup>11</sup>	China	22 January 2020	Statistical exponential growth model	Mean incubation period = 5.2 days, mean serial interval = 7.5 days	2.2	1.4–3.9
Averaged					3.28	

CI, Confidence interval.

Figure 1. Timeline of the  $R_0$  estimates for the 2019-nCoV virus in China

despite the heightened public awareness and impressively strong interventional response, the COVID-19 is already more widespread than SARS, indicating it may be more transmissible.

## Conclusions

This review found that the estimated mean  $R_0$  for COVID-19 is around 3.28, with a median of 2.79 and IQR of 1.16, which is considerably higher than the WHO estimate at 1.95. These estimates of  $R_0$  depend on the estimation method used as well as the validity of the underlying assumptions. Due to insufficient data and short onset time, current estimates of  $R_0$  for COVID-19 are possibly biased. However, as more data are accumulated, estimation error can be expected to decrease and a clearer picture should form. Based on these considerations,  $R_0$  for COVID-19 is expected to be around 2–3, which is broadly consistent with the WHO estimate.

## Author contributions

J.R. and A.W.S. had the idea, and Y.L. did the literature search and created the table and figure. Y.L. and A.W.S. wrote the first draft; A.A.G. drafted the final manuscript. All authors contributed to the final manuscript.

## Conflict of interest

None declared.

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