



K2: Background Survey – the search for undiscovered transients in *Kepler*/K2 data

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Accepted 2020 June 24. Received 2020 June 24; in original form 2019 August 16

ABSTRACT

The *K2* mission of the *Kepler Space Telescope* offers a unique possibility to examine sources of both Galactic and extragalactic origin with high-cadence photometry. Alongside the multitude of supernovae and quasars detected within targeted galaxies, it is likely that *Kepler* has serendipitously observed many transients throughout *K2*. Such events will likely have occurred in background pixels, coincidentally surrounding science targets. Analysing the background pixels presents the possibility to conduct a high-cadence survey with areas of a few square degrees per campaign. We demonstrate the capacity to independently recover key *K2* transients such as KSN 2015K and SN 2018oh. With this survey, we expect to detect numerous transients and determine the first comprehensive rates for transients with lifetimes of ≤ 1 d.

Key words: surveys – gamma-ray burst: general – supernovae: general.

1 INTRODUCTION

Launched in 2009, the *Kepler Space Telescope* (*Kepler*) allowed for high-precision and high-cadence stellar photometry (Basri, Borucki & Koch 2005), with the goal of detecting thousands of exoplanets (Batalha 2014), until the failure of two reaction wheels led to a new mission profile – *K2*. The *K2* mission extended *Kepler* observations along the ecliptic, presenting the opportunity to apply high-precision and high-cadence photometry to a multitude of galactic and extragalactic sources (Howell et al. 2014).

The reduced telescope stability present in *K2* affords it a lower photometric precision than the original *Kepler* mission. Despite the drop in photometric precision, *K2* campaigns C01 to C19 were successful in obtaining unparalleled photometric data that lead to among other things, the detection of new exoplanets (e.g. Pearson, Palafax & Griffith 2018), numerous supernovae (SNe; e.g. Olling et al. 2015; Garnavich et al. 2016; Rest et al. 2018; Dimitriadis et al. 2019; Li et al. 2019; Shappee et al. 2019), and variability in quasars (Aranzana et al. 2018).

The high-cadence *K2* observations make it possible to study short-duration structures in SN light curves and detect short-duration transients. Analysis of *K2* data has shown potential evidence for SN Ia shock interaction, as described in Kasen (2010), in at least one SN Ia, SN 2018oh (Dimitriadis et al. 2019), however, not in

some other SN Ia (Olling et al. 2015). *K2* has also shown evidence of shock breakouts in core-collapse supernovae (CCSNe; Garnavich et al. 2016), and lead to the discovery of KSN 2015K, a rapid transient that is thought to be powered by stellar ejecta producing a shock in the circumstellar medium (Rest et al. 2018). Short-cadence observations were crucial to these results and offer the possibility to analyse a time domain that has previously been technically infeasible.

All known *K2* transients were detected through targeted observations of pre-selected galaxy targets; however, this may not be all the transient events detected with *Kepler*. As seen in Barclay et al. (2012) and Brown et al. (2015), it is possible that *Kepler* has serendipitously detected numerous events in background or sky pixels, such as superoutbursts of dwarf novae. Such background events will likely be faint and will require an analysis that will be heavily influenced by detector noise and telescope stability.

The high-cadence observations of *Kepler* present a unique possibility to probe a new parameter space unavailable to previous transient surveys. Current transient surveys cover larger areas than *Kepler*; however, they have longer cadences. The Pan-STARRS Medium Deep Survey had a nominal cadence of 3 d in any given filter to a depth of ~ 23.3 mag (Rest et al. 2014; Chambers et al. 2016); ZTF surveys the sky north of $\delta = -31^\circ$ every three nights to ~ 20.4 mag, through the public survey (Bellm et al. 2019); ASAS-SN covers the entire sky to ~ 17 mag, at a nominal cadence of a few days (Kochanek et al. 2017); and ATLAS covers the sky north of $\delta = -30$ every two nights to ~ 20.2 mag (Tonry et al. 2018). Previous surveys, such as the SNLS, ESSENCE, and SDSS-II, also

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had a cadence of a few days, before taking into consideration bad weather, so would typically miss transients that evolve on time-scales of \sim days (Miknaitis et al. 2007; Kessler et al. 2009; Sullivan et al. 2011). Although these surveys sample volumes much larger, the comparatively high cadence observations of *Kepler/K2* mean that its legacy data can provide a unique wide-field transient survey.

A worldwide push is being made to extend surveys into high cadences to explore a new time domain for transients. Andreoni et al. (2020) present one such effort, known as Deeper, Wider, and Faster (DWF), which coordinates multimessenger observations from 40+ telescopes for short ~ 1 h campaigns, with a cadence of 1.17 min. From this unique data set, an upper bound on extragalactic fast transients was found to be $R_{\text{eff}} < 1.625 \text{ deg}^{-2} \text{ d}^{-1}$. Although *Kepler* has a longer cadence of 30 min, a field is examined for ~ 74 d. Similarly, the *TESS* mission is an ongoing space-based mission, with a 30 min cadence and a wider field, albeit a lower sensitivity than *Kepler/K2* (Ricker et al. 2015). Sharp et al. (2016) and Ridden-Harper et al. (2017) present a future high-altitude balloon-based telescope system that aims to explore the time domain of days at ultraviolet wavelengths.

Marshall et al. (2017) present the case for high-cadence observations, highlighting the unique short-duration events expected to be seen at *Kepler*-like cadences, such as gamma-ray burst (GRB) afterglows, other relativistic events, and SN shocks. As the time domain at the time-scale of hours is relatively unexplored for transients, there is potential to discover exotic new transients and provide well-defined rates for current and upcoming high-cadence transient surveys. Although *Kepler/K2* data can probe this parameter space, the classification of transients will be particularly challenging, since only observation in the *Kepler* filter will be available for most candidates.

Although challenging, examining every pixel in the *K2* data presents an opportunity to conduct a unique, high-cadence survey over an appreciable volume. With this volume, *Kepler* can be used to examine expected rates of exotic short-duration events, such as binary neutron star mergers, similar to the analysis that Scolnic et al. (2018) conducted on existing survey data.

In this paper, we present the details of the ‘K2: Background Survey (K2:BS)’ along with examples of positive detections. A companion paper Ridden-Harper et al. (2019) presents the analysis of KSN-BS:C11a, the first transient discovered in K2:BS. The analysis method is presented in Section 3, followed by the survey characteristics in Section 4 and example detections of known objects in Section 5. In Section 6, we present the extragalactic volume surveyed and the expected detection rates for an assortment of transients in *K2*.

2 KEPLER/K2 DATA

The *Kepler/K2* data provide high-cadence photometry on a wide range of targets. In each of the 19 campaigns, between 50 and 100 targets were observed in short-cadence mode with frames every ~ 1 min, while between 10 000 and 20 000 targets were observed in long-cadence mode, with frames every 30 min.¹ In this paper, we will focus on data from the long-cadence mode; however, this analysis technique is also applicable to short-cadence data. Observation campaigns nominally lasted ~ 80 d, with some campaigns shortened, due to technical difficulties.

Kepler had a 116 deg^2 field of view (FOV), with a plate scale of $4 \text{ arcsec pixel}^{-1}$. Due to memory constraints, the entire *Kepler* FOV could not be telemetered, so instead, science targets were pre-selected each observing campaign and allocated a pixel mask that extended several pixels around the science target, both for background subtraction and to account for telescope drift. These pixel masks are known as target apertures in the downloaded data cube, the target pixel files (TPFs), and all targets have unique identifiers, known as the EPIC number for *K2* (Huber et al. 2016) and KIC number for *Kepler* (Brown et al. 2011). As the TPFs record both spatial and temporal information, they are the data we analyse in this project.

A key difference between the *K2* data and that of the original *Kepler* mission is the poor telescope stability. Following the failure of two reaction wheels, leaving *Kepler* with only two functional reaction wheels, the *K2* mission was developed, using solar pressure on the solar array, in conjunction with the two remaining reaction wheels (Howell et al. 2014). This configuration was subject to drift up to ~ 1 pixel (4 arcsec) every 6 h, so the pointing was corrected by thruster firings every 6 h, and is recorded as quality flags in the TPF. The drift is recorded in the TPF through two displacement parameters labelled POS_CORR, which give the local image motion, calculated from fitting motion polynomials to the centroids of bright stars in each detector channel (Thompson & Fraquelli 2012). The POS_CORR values give a valuable reference to telescope stability throughout campaigns, with the total image displacement given by $D^2 = \text{POS_CORR1}^2 + \text{POS_CORR2}^2$.

All *K2* fields are pointed along the ecliptic, enabling a range of galactic and extragalactic fields to be observed. A consequence of pointing along the ecliptic is that a large number of asteroids cross through the *K2* fields and contaminate data.

2.1 *Kepler/K2* magnitudes and zero-points

We convert Counts, C , observed by *Kepler* to AB magnitudes, or K_p , according to

$$K_p = -2.5 \log(C) + z_p, \quad (1)$$

where z_p is the zero-point. Since we analyse data across the entire *Kepler/K2* FOV, we calculate the zero-point independently for each of the 84 read-out channels. This approach accounts for variability that may occur between the channels.

We calculate z_p for each channel by combining the K_p magnitudes defined in the Ecliptic Plane Input Catalog (Huber et al. 2016) with the de-trended PDC EVEREST light curves (Luger et al. 2016) for all observed stars. We cut all stars with light-curve variability > 1 per cent, and then perform a 3σ clip to remove outliers. Finally, we calculate the zero-point for each channel using equation (1) and average across campaigns C01, C06, C12, C14, C16, and C17. The zero-points for each channel are shown in Fig. 1 with values listed in Table A1.

We find that the zero-points vary up to 0.2 mag across the channels. When compared to zero-points derived by others, we find that our zero-points are consistent, with the exception of Zhu et al. (2017), which defines $z_p = 25$. Ridden-Harper et al. (in preparation) builds on this work to establish *Kepler/K2* zero-points that are comprehensively calibrated using Pan-STARRS photometry.

3 METHODS

The unique data set from *K2* presents a number of challenges for event detection. Spacecraft drift, throughout the *K2* data, presents the largest challenge, requiring special treatment. Conventional methods

¹ <https://keplerscience.arc.nasa.gov/data-products.html>

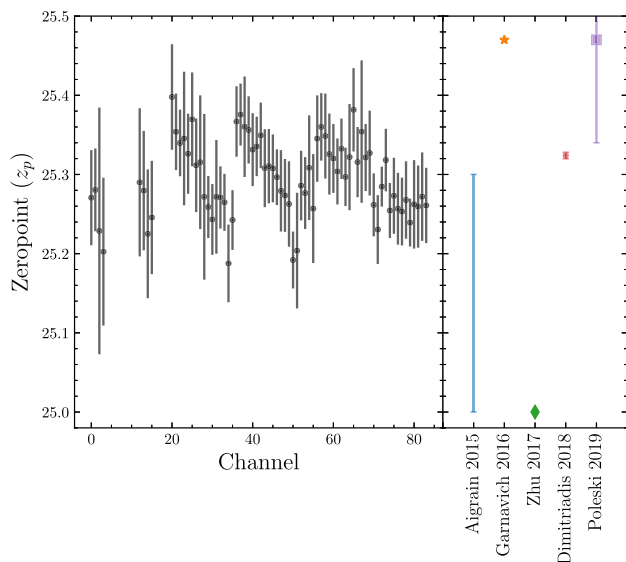


Figure 1. Left: Zero-points we calculate for each *Kepler*/*K2* channel, using stars from campaigns C01, C06, C12, C16, and C17. Right: A selection of *Kepler*/*K2* zero-points taken from Aigrain et al. (2015), Garnavich et al. (2016), Zhu et al. (2017), Dimitriadis et al. (2019), and Poleski et al. (2019). The complete list of zero-points is presented in Tables A1 and A2.

such as image subtraction proved to be ineffective with the *K2* data. The motion of up to ~ 4 arcsec in *K2* images resulted in poor subtractions with a median image. To counteract this, we trialled subtracting images with similar displacements. While it achieved cleaner subtractions, it was not applicable to all images and introduced temporal biases in event detection. As these subtraction methods failed to be generally applicable, we developed new methods for event detection that still contain elements of conventional image subtraction.

We developed two methods to identify events, lasting from 1.5 h to tens of days. One method can detect short events, with lifetimes of < 10 d, by assuming that the transient will be significantly brighter than background scatter, while the other method can detect long events with lifetimes of > 10 d, through heavy smoothing of the light curves and careful reference frame selection. The combination of these two methods successfully recovers short events, like asteroids and stellar flares, and long events such as SNe.

The following section will describe the main data manipulation methods, followed by a detailed description of the detection methods. The analysis presented here is applicable to all *the Kepler*, *K2*, and *TESS* data.

3.1 Science target mask

Each TPF contains science targets, other persistent sources, and background pixels. To assist in event sorting, we identify each source and its extent. Identify the sources with a science target mask allows us to determine if events are associated with known objects, such as stars or galaxies.

We create the science target mask using a median frame with the following method. The median frame is generated by averaging a set of successive frames that have a total displacement of less than 0.2 pixels from nominal telescope pointing. A sigma cut is performed on the median frame, where all pixels that are brighter than median + σ of all pixels in the median frame are added to the science target mask. The previous sigma cut is repeated with the

newly defined science target mask applied to the median frame, all pixels identified in this sigma cut are added to the science target mask.

To prevent transients from being included in the science target mask, we create two masks, one at the start and another at the end of the campaign. All science target mask pixels that appear in both the start and end masks are included in a final science target mask. Transients that can be identified by *K2*:BS must be shorter than the *K2* campaign duration (~ 80 d), so this method ensures that detectable events are not included in the science mask.

The large (4 arcsec) pixels of *Kepler* make it possible that multiple sources blend together into a single mask. In an effort to separate these possible science targets, we separate the science target mask into multiple masks, through a watershed algorithm (Barnes, Lehman & Mulla 2014). All of the science targets are checked against NASA/IPAC Extragalactic Database (NED)² and the Simbad (Wenger et al. 2000) data base, to identify the object within each science target.

3.2 Telescope drift correction

During *K2*, the telescope drift introduced significant instrumental artefacts to the data. During campaigns, *Kepler* drifts to a maximum of ~ 1 pixel from nominal telescope pointing, over ~ 6 h. For bright targets, this effect is often negligible and can be offset with the motion correction tools present in Pyke (Still & Barclay 2012; Vinícius et al. 2017), Lightcurve (Lightcurve Collaboration 2018), K2SFF (Vanderburg & Johnson 2014), and EVEREST (Luger et al. 2016).

The techniques used with K2SFF and EVEREST were developed to primarily correct stellar light curves, which do not feature strong variability. As a result, these techniques fail to correct the background motion, without removing the transient signal. The Lightcurve PRF photometry tool can correct for telescope motion, while preserving transient signal; however, PRF photometry currently requires tailoring priors, such as position and flux, for each object in the TPF, and has a long computation time. These issues make PRF photometry infeasible for this analysis. As no existing detrending methods are suitable for a transient search, we develop a method to fit and remove motion-induced noise for each pixel.

The telescope drift results in bright sources to periodically contaminating neighbouring pixels, creating discontinuous light curves. The impact that the telescope drift has on a light curve can be seen with the blue points in Fig. 2. These motion-induced signals increase the detection threshold and can masquerade as real transient signals, so it is critical that they are corrected.

Our data reduction method relies on thruster resets, which are identified through the quality flag 1048576. Utilizing the thruster resets, we employ a data-correction method that analyses each segment between thruster resets. The procedure acts on every pixel individually, altering the data with the following steps:

- (i) All images/frames that have < 0.3 pixel displacement in a campaign are identified.³
- (ii) A 1D spline is fitted to the flux values of the image with the least displacement between thruster resets of the previously identified frames for each pixel.

²The NASA/IPAC Extragalactic Database (NED) is operated by the Jet Propulsion Laboratory, California Institute of Technology, under contract with the National Aeronautics and Space Administration.

³This choice is made based on data quality and the number of observations available at high precision.

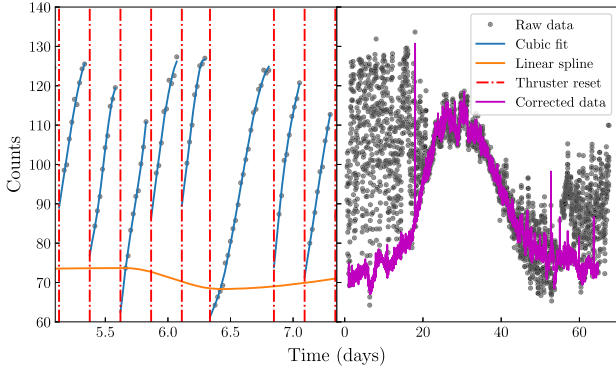


Figure 2. An example of the motion-correction procedure on a pixel containing SN 2018ajj (Smith et al. 2018). Left: The components of the fitting procedure are shown: The thruster resets (red dashed line) divide the light curve into segments; a linear spline (orange line) is fitted to the most stable points in each light curve segment; cubic polynomials (blue lines) are fitted to the raw data (black points). Right: The full light curve is shown for SN 2018ajj, with raw data (black points) and the motion-corrected data (magenta line). This process dramatically reduces noise induced by telescope motion.

(iii) The 1D splines are subtracted from each of the pixel light curves for the entire campaign, leaving residual flux that is largely the product of telescope motion.

(iv) The residual light curve is broken into segments defined by thruster resets.

(v) To avoid real transients from being removed in the motion correction, we perform a 2σ clip on the data.

(vi) For each residual light-curve segment, we fit a cubic polynomial through linear regression with `scikit-learn` (Varoquaux et al. 2015).

(vii) The cubic polynomial fits are subtracted from the residual flux calculated in step iii.

(viii) Finally, the 1D spline is added back to the data, resulting in motion-corrected data.

This procedure is applied to all pixels, where the correction is most prevalent in pixels near the science target or field stars. A dramatic example of this correction can be seen in Fig. 2, where through this motion correction we successfully reconstruct the light curve of SN 2018ajj (Smith et al. 2018). No extrapolation is included in this method, so the data become truncated to the first and last instances where the pointing accuracy is <0.3 pixels.

We find that this procedure is successful at removing almost all motion artefacts from pixel light curves. The only residual artefacts that have been encountered are ones that persist between multiple thruster resets. Although we do not remove these trends, the false positives they produce in the event detection are removed through vetting steps discussed in Section 3.3.

3.3 Short event identification (<10 d)

The core aspect of *K2*:BS is identifying real events from noise or other contaminants (e.g. asteroids). For each campaign, every pixel is analysed independently to identify a potential event and an associated event time. A detection limit is calculated for each pixel as $\text{Limit} = \text{median} + 3\sigma$ from all images. This cut-off value sets the magnitude limit for each pixel. Due to telescope drift, pixels close to science targets or stars have brighter limits than pixels with large separations.

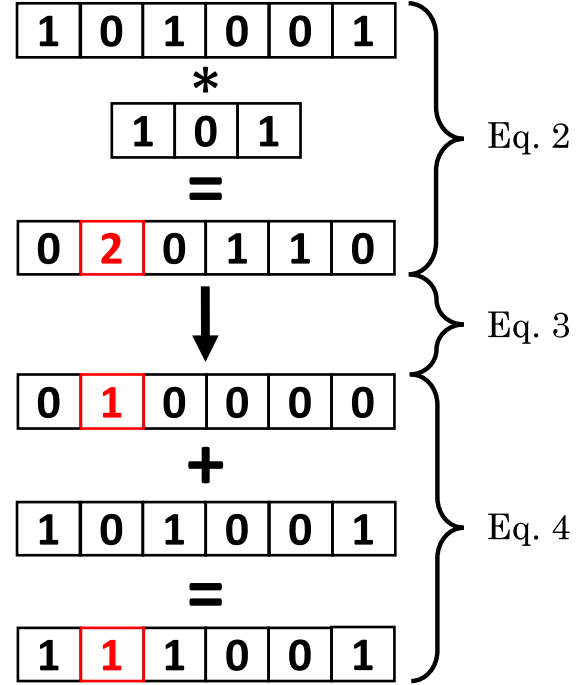


Figure 3. An example Boolean detection array being smoothed by a length 3 kernel. The smoothing prevents events from being truncated and rejected due to pixel count drops from instrument error.

This highly variable level of counts, as seen in the magnitude limits shown in Fig. 7, is the motivation for analysing pixels individually.

The process of identifying potential events utilizes Boolean arrays and indexing. The *K2* TPF flux is converted to a Boolean array, conditioned on pixels having counts greater than the aforementioned limit. To avoid anomalous detections based on spacecraft operation, all pixels in frames that coincide with non-zero quality flags, indicating anomalous behaviour, are set to false. Residual telescope motion and noise can cause false breaks in the Boolean array, as the light curve may periodically drop below the detection limit. These breaks will produce false event durations and may lead to transients being processed incorrectly and lost. To prevent noise from truncating event duration, the Boolean detection array is smoothed by an iterative process of convolving each pixel, through time, with a 1d kernel of zeros with ones at the start and end positions. The smoothing process iterates from a length 9 kernel, to a length 3 kernel, with each iteration acting on the product from the previous step. The convolution process is as follows:

$$C = D * k \quad (2)$$

$$A(C) = \begin{cases} 1, & \text{if } C = 2 \\ 0, & \text{if } C < 2 \end{cases} \quad (3)$$

$$R = D + A(C), \quad (4)$$

where D is the initial Boolean detection array, k is the 1d smoothing kernel described above, $A(C)$ is the construction of a new Boolean array from C , the convolved array, and R is the final smoothed Boolean array used for detection. An example of this process with a length 3 kernel is shown in Fig. 3.

We use the smoothed Boolean array to identify candidate events with durations longer than a chosen baseline. The event identification process analyses each pixel independently and operates as follows:

- (i) We identify the indices of all false values in the Boolean array.

- (ii) The difference between neighbouring false indices is calculated.
- (iii) Differences that are greater than a predefined baseline are selected as candidate events.
- (iv) The start and end times of the candidate event are recorded along with the pixel position.

We set the minimum length to be 3 frames, or 1.5 h; this requirement avoids detecting spurious noise or cosmic rays. This method proves successful in identifying transients that evolve rapidly over 1.5 h to ~ 10 d.

Many of the potential events selected are false detections and contamination from known variable sources, which must be removed by subsequent checks. Sources of contamination can either be astrophysical (e.g. variable stars and asteroids) or instrumental (e.g. residual telescope motion). We impose a multistage vetting procedure to limit false detections.

First, we match all coincidental events. For each candidate event, we collapse the Boolean detection array along the time axis from the beginning to the end of the candidate event duration. We then construct a Boolean mask array and set the pixel position of the candidate event to be True. The mask is then iteratively convolved with a 3×3 True array and any True elements that appear in both collapsed Boolean array and the convolved mask are added to the mask, for the next iteration. This process terminates when no new pixel is added to the Boolean mask. Candidate events that are included in this new mask are merged into a single candidate.

Following the event matching procedure, we vet candidates based on their uniqueness. We smooth the light curve with a Savitzky–Golay filter, setting the width to be twice the event duration. All peaks in the light curve are then identified using the `Scipy find_peaks` algorithm, which identifies local maxima through neighbour comparisons, and we condition on the peaks. We assume that a real event will be significant and unique, so candidate events are rejected if: (1) the largest peak occurs outside the identified event time; (2) all peaks within a time frame less than twice the event duration from the candidate event must be less than 80 per cent the brightness of the maximum peak. We find that in areas of prolonged poor pointing, a forest of similar peaks emerges, in which condition 2 is instrumental in vetting.

Although these conditions are successful in eliminating almost all false detections, they introduce complexity into the true limiting magnitude. A thorough analysis of the magnitude limits for each pixel will be presented in future work.

3.4 Long event identification (>10 d)

This method closely follows that of the short detection method; however, greater care is taken in the construction of the pixel limits. As the *K2* campaigns nominally last for ~ 80 d, long transients, such as SNe, can strongly impact the light curve throughout the campaign, raising the detection limit to a point where the transient is no longer detectable. In order to construct an accurate limit, we must examine trends in the light curve.

First, we smooth the light curve with a Savitzky–Golay filter with a window of 5 d. Although this process truncates the light curve by 10 d, it removes the signatures of short events (e.g. asteroids) from the light curve. We then identify the largest peak in the data using the `Scipy find_peaks` algorithm (Virtanen et al. 2019), and break the light curve into three zones: the event zone, extending 10 d before to 25 d after the peak; and zones 1 and 2, before and after the event zone, respectively. As some transients, such as SNe, can have long

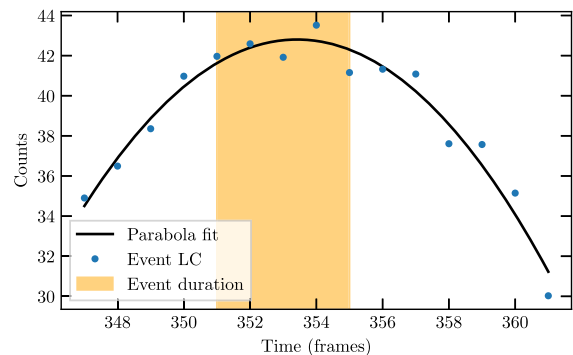


Figure 4. An example of a potential event identified as an asteroid in C01, EPIC 201735583. Since asteroids travel at a relatively constant speed through the aperture, the light curves they produce are largely parabolic. Thus, parabolic light curves of short events are classified as asteroids.

declines, we compare the light-curve properties of zones 1 and 2 with the following:

$$\mu_2 > \mu_1 + 3\sigma_1, \quad (5)$$

where $\mu_{1,2}$ are the light-curve means of zones 1 and 2, and σ_1 is the standard deviation of zone 1. If this condition is met, then only zone 1 is used to calculate the limit; otherwise, both the zones are used to calculate the limit. As with the short detection method, this limit is determined for each pixel individually as $\text{Limit} = \text{median} + 3\sigma$.

With limits for each pixel, candidate long events are selected through a similar process to short events. Without smoothing the Boolean array, we locate events by the positions of false values. In this case, we require the event duration to last longer than 10 d. For these events, we assume that they are unique and have well-behaved peaks, as such candidate events are vetted by the following conditions: (1) the largest peak occurs inside the identified event time; (2) the peak can be well fitted by a third-degree polynomial and poorly fitted by a first-degree polynomial, requiring the coefficient of determination (R^2) to be >0.95 and <0.5 , respectively.

3.5 Variable stars

Bright variable stars and their associated overflow into columns of pixels can lead to false detections. These false detections are mitigated by checking the pixels neighbouring the event pixel; if one of the neighbours contains $>100\,000$ counts, the event is considered false and discarded. This value is chosen as it encompasses the apparent variability in the saturation level of the pixels. If the bleeding of the target occurs at a lower count rate than the cut-off, then it may also be contained in a ‘Probable’ event category. This category is discussed further in Section 3.7.

3.6 Asteroids

Due to *K2* observing along the ecliptic, there are many asteroids that pass through the data. As most asteroids remain in the TPF FOV for <1 d, they are predominantly detected by the short event method. The asteroid detection method utilizes the fact that asteroids tend to move with a near-constant speed through the TPF; thus, they produce a parabolic light curve, as seen in Fig. 4. *K2*:BS identifies asteroids by fitting a parabola to frames ± 2 from the brightest point in the potential event light curve. The generated parabola is then fitted and subtracted from the event light curve; if the residual is small, then the potential event is categorized as an asteroid. All asteroids are

recorded and can be examined independently. It is possible that real short transients may fall within the asteroid criteria; however, such events could be recovered at a later point following an analysis of asteroid-like events.⁴

3.7 Event sorting

For each object detected, we must search for a potential host or source of the event. To simplify visual vetting of candidate events, they are split into categories based on several event aspects such as duration, brightness, detection method, source type, and relation to masked objects.

Identifying the host of a potential event can follow different pathways. For each detection, we query NED and Simbad to identify potential sources or host galaxies. If the event occurs within the mask of a science target, the host is defined to be the science target, and the candidate event is sorted into the ‘In’ category and subcategory based on the host type. Similarly, events that occur next to a science target mask are sorted into the ‘Near’ category and host type subcategory. Candidate events that occur in the background pixel, not associated with a science target, are identified through querying the coordinates corresponding to the brightest pixel, and sorted into a category corresponding to the likely host type.

If a candidate event’s coordinates do not correspond to an object in the NED or Simbad data base, it is assigned to the ‘Unknown’ category. This category often contains false detections from the *K2* electronic noise sources; however, it can also contain previously unseen events and some of the most exciting events promised by this analysis. An example of a new object found through an ‘Unknown’ classification can be found in Ridden-Harper et al. (2019).

3.8 Event ranking

As a further diagnostic to assist in the vetting of candidate events is a series of quality rankings. Each candidate receives ranking for the brightness, duration, mask size, and source/host type. These rankings, as outlined below, provide a way of sorting candidate events into prioritized lists, simplifying the vetting process.

(i) The brightness ranking is taken as the significance of the event. The significance is found by calculating the peak flux of the candidate event and comparing it to the median and standard deviation of the entire light curve, excluding points from 2 d before the candidate event starts, to 10 d after it ends.

(ii) The duration ranking is simply the calculated duration of the event in days.

(iii) The mask size ranking is calculated from the number of pixels included in the candidate event mask. This ranking is normalized such that three or more pixels in a mask produce a maximum rank of 1.

(iv) The host ranking is based on classification given to the candidate event, from the NED object classification system. Objects that are not of interest to this survey, such as stars, are ranked 0, while objects of interest, such as galaxies, quasars, etc., and unknown objects are ranked 1.

⁴Although uncommon, some asteroids will move through an epicycle within the TPF. These asteroids do not have uniform motion, and so can be missed by the asteroid filter. We are currently working on adaptive masks that are capable of tracking asteroids. These masks would extract all asteroid information and separate asteroids from transients.

3.9 Visual inspection

If an event passes through the *K2*:BS conditions, it is finally checked through visual inspection. *K2*:BS generates an event figure, video, and event positions. An example detection figure is shown in Fig. 5 for a short outburst from quasar [HB89] 1352-104. The top of the figure contains diagnostic information on the object and position; left shows the event light curve, with diagnostic information, such as thruster firings and quality flags, the background and nearest science target light curves, and the identified event duration in orange; right shows the reference image at the top and the brightest frame from the event at the bottom.

Selected frames from the corresponding event video for [HB89] 1352-104 are shown in Fig. 6. Left is the event light curve with the vertical red line showing the time stamp of the *K2* frame shown on the right.

These figures alongside candidate event sorting provide enough information to accurately assess the validity of a candidate event.

4 SURVEY CHARACTERISTICS

As this survey is using existing data, survey limits and characteristics are set by the original data, as discussed in Section 2. Key survey parameters are the cadence, survey time, area, and depth. Operating with *K2* long-cadence data fixes the cadence to 30 min and survey time per field to ≤ 80 d. Events can only be detected if they are longer than the minimum event duration of eight frames or 4 h (set to prevent contamination from short-scale noise or cosmic rays), and short enough to exhibit variation over a campaign, so that the long detection method can be successful.

Since two distinct detection methods are used that probe two different time domains, it is necessary to define the limits and recovery rates for both. Although the two methods target different time domains, there is an overlap for events with peaks or durations that last ~ 2 d. This overlap prevents detection gaps in the time domain between the two.

As both detection methods scan every pixel, they feature the same survey area. For this analysis, we will focus on extragalactic pointing fields, which have the best chance at detecting transients. As seen in Table 1, the background pixels cover a significant area. C01 features the highest number of background pixels, due to each science target being assigned larger pixel masks. Although C16 is an extragalactic field, the area of background pixels is significantly lower than that of the other due to the field containing the Beehive cluster, and the Earth.

4.1 Magnitude limits

K2:BS is limited to the area shown in Table 1; however, it has a highly variable magnitude limit. In this analysis, the limiting magnitude is set by the detection limit that is imposed and discussed in Sections 3.3 and 3.4. The interplay of the science target and telescope drift sets different magnitude limits, and therefore volumes, for each pixel. An example of the variable magnitude limit for a TPF can be seen in Fig. 7. In general, pixels close to the science target have brighter limits than those further away. This limit provides a simple way to calculate the expected volumetric rate of events.

Due to the mission design of *K2*, the majority of downloaded pixels do not contain a target, and have faint limiting magnitudes. The distribution of pixel limiting magnitudes is shown for C06 in Fig. 8, where ~ 80 per cent of pixels have limiting magnitudes K_p

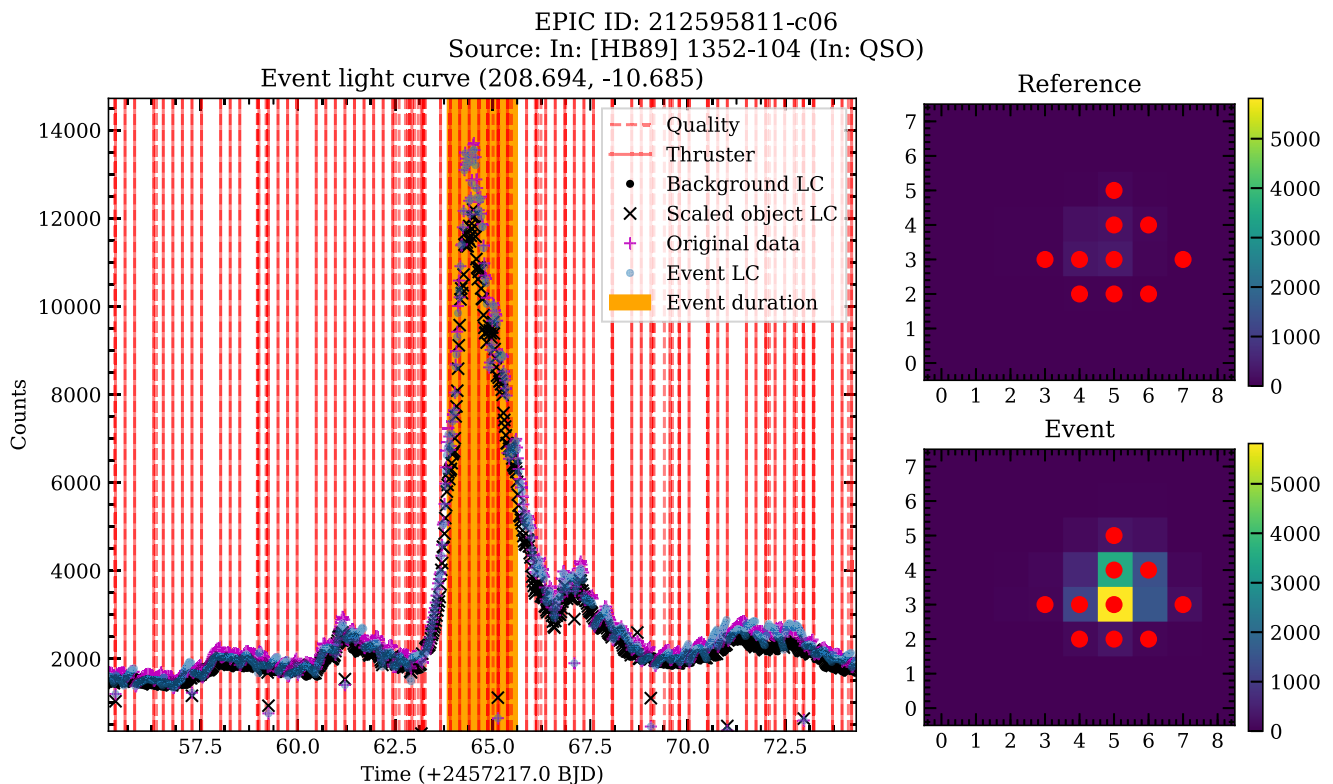


Figure 5. Example of a vetted, real event detected by K2:BS. This event was detected in K2 object 212595811, which is a short 3 d outburst from the quasar [HB89] 1352-104. During the outburst, the apparent magnitude increases from 17.2 K_p to 15.1 K_p . The light curve on the left presents the event light curve along with diagnostic information such as when a thruster reset occurs and quality flags. The subfigures on the right show the reference and peak brightness event frame, with the event mask overlaid in red points.

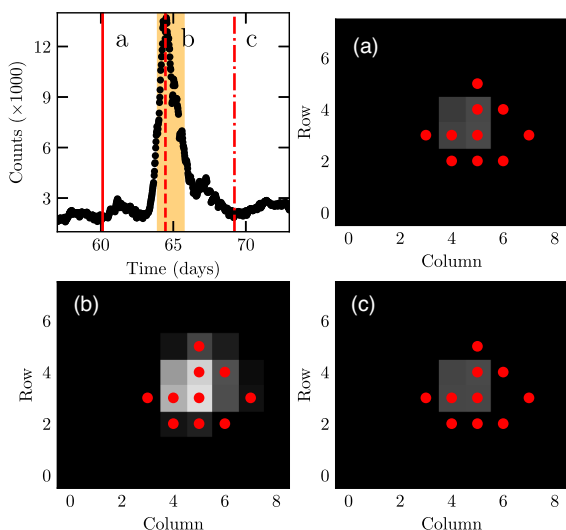


Figure 6. Example frames from the K2:BS event video for a short outburst from quasar [HB89] 1352-104. A simplified light curve is shown in the top left; the detected event is highlighted in orange. Panels *a*, *b*, and *c* are K2 images corresponding to the times indicated by red lines in the light curve.

≥ 18 , which are ideal for transient detection. Although some pixels report limiting magnitudes fainter than $K_p = 22$, it is unlikely that such sensitivity can be achieved through this analysis. In all rate calculations, we set the limiting magnitudes of pixels with $K_p > 22$ –22.

Table 1. The number of background pixels, and the equivalent on-sky area for K2 extragalactic pointing campaigns.

Campaign	Pixels	Area (deg ²)	Duration (days)
C01	3894 581	4.8	83
C06	1957 708	2.4	79
C12	2120 713	2.6	80
C13	1645 855	2.0	81
C14	2095 376	2.6	81
C16	1408 794	1.7	81
C17	2590 027	3.1	69

Although the limit provided by K2:BS is representative of the expected limiting magnitude, the candidate event vetting process will introduce complexities. As discussed in Sections 3.3 and 3.4 both the short and long detection methods undergo vetting to remove false detections. These checks have the potential to discard real transients if data quality is suboptimal. We will present volumetric rates and a robust analysis of detection efficiency and contamination for a variety of key transients in a future work.

5 DETECTED EVENTS

The pilot programme of K2:BS identified a number of known and undiscovered events. Initial runs have yielded promising results, by independently recovering key transients discovered in the *Kepler* Extragalactic Survey (KEGS), and recovering a superoutburst of a dwarf WZ Sge nova that is presented in Ridden-Harper et al. (2019). Here

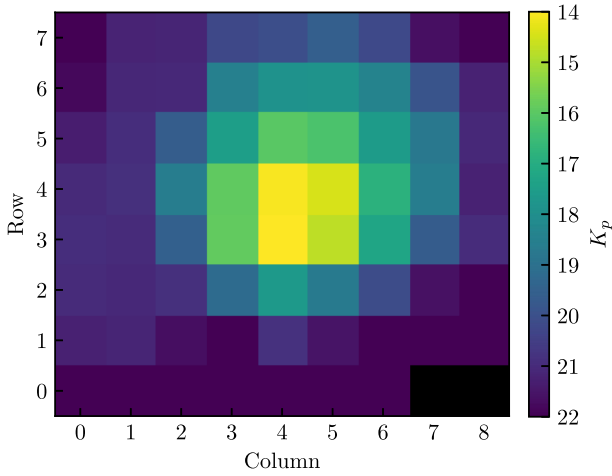


Figure 7. Magnitude limit for EPIC 212787678 from C17. Pixels near the original science target (centre frame) have a brighter limiting magnitude due to the science target’s brightness, variability, and residual telescope drift, and the pixels far from the target have a faint limiting magnitude. Each field has an associated magnitude limit from which the observed volume can be calculated.

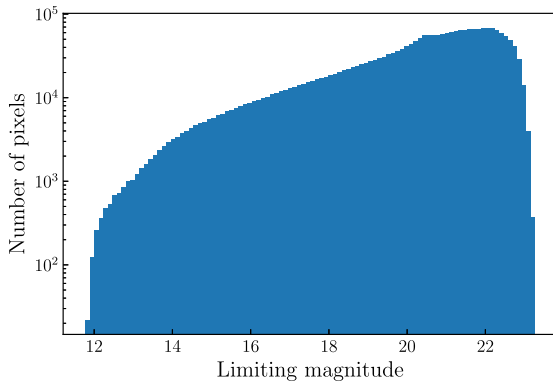


Figure 8. Distribution of pixel limiting magnitudes for C06. The high proportion (~ 80 per cent) of pixels with faint limits ($K_p \geq 18$) are ideal for transient searches.

we will present example detections of known events, independently recovered by *K2*:BS, that we use as method verification.

Two examples of short transient events detected by *K2*:BS are variability in quasar [HB89] 1352-104 and KSN 2015K. During C06, quasar [HB89] 1352-104 experienced an outburst that lasted ~ 3 d, as seen in Fig. 5. Variability pre- and post-outburst is also visible. The short transient KSN 2015K (Rest et al. 2018) was also recovered, as seen in Fig. 9. The position of the event was determined to within 4 arcsec of the accepted value, which is the resolution limit of *Kepler*. Both of these events are key examples of short events that may be detected by *K2*:BS.

K2:BS is also capable of detecting type Ia SNe, such as SN 2018oh, as seen in Fig. 10. This event was detected through the long detection method. As well as detecting SN 2018oh, the excess light at rise is visible, as discussed in Dimitriadis et al. (2019), Shappee et al. (2019), and Li et al. (2019).

6 EXPECTED RATES

The motivation for the *K2*:BS is to detect transient events in *K2* data, so it is useful to determine the likely number of events the survey

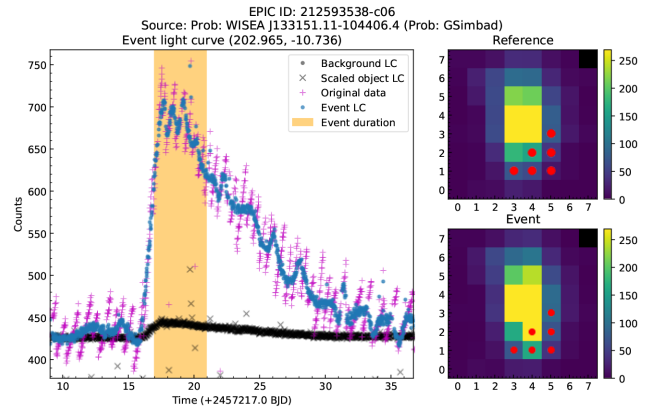


Figure 9. *K2*:BS independent detection of KSN 2015K, presented in Rest et al. (2018). This event was recovered through the ‘short’ event detection method.

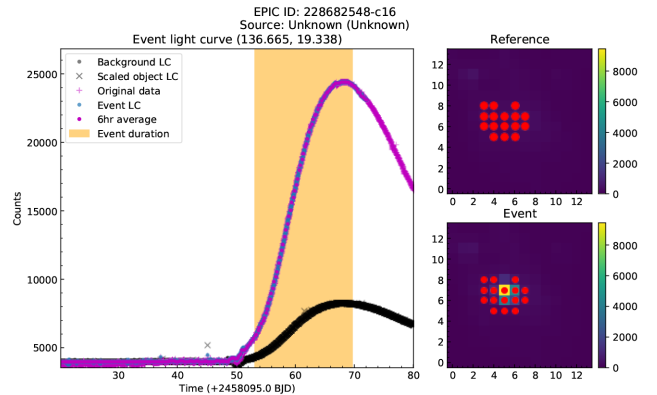


Figure 10. *K2*:BS independent detection of SN 2018oh, presented in Dimitriadis et al. (2019), Shappee et al. (2019), and Li et al. (2019). This event was recovered with the ‘long’ event detection method.

should detect. Since each *K2* campaign is unique, the survey area and therefore event rates vary between campaigns. In the following sections, we present the expected detection rate for SN Ia and CCSN, GRB afterglows, kilonova, and the fast-evolving luminous transients (FELTs) detected by PAN-STARRS1 (Drout et al. 2014). FELTs, kilonova, and GRB afterglows evolve rapidly, so they are detectable by the short detection method and we therefore use rates from this method, while SNe such as SN Ia and CCSN are detected through the long event detection method and we use respective rates.

There are few known types of transients that have lifetimes of the order of days. As *K2*:BS will probe the ~ 1 d time domain, the results of this survey will be used to define rates for day-to-subday-duration transients and presented in future work.

6.1 Volumetric rates

For *K2*:BS, the volumetric rates are derived for each pixel and summed to reach the final rate. The motivation for this segmented approach is influenced by the large pixel size and, more importantly, the high variability in sensitivity between pixels. By knowing the magnitude limit per pixel, we can calculate the volume, V , as follows:

$$V = \frac{\theta}{3} (10^{\frac{1}{5}(m-M+5)})^3,$$

Table 2. Volumetric rates for the extragalactic pointing *K2* campaigns for SN Ia, kilonovae, and FELTs. C01 has the largest rate, due to larger pixel masks around objects, and therefore more background pixels and the largest number of expected detections. Conversely, C16, although an extragalactic field, contains the Beehive cluster and Earth, which both severely reduce the sensitivity.

Campaign	SN Ia	Kilonova (NS–NS) ($\times 10^{-3}$)	FELT ($-16.5 K_p \rightarrow -20.0 K_p$)	GRB	
				On-axis	Off-axis
C01	12 ± 2	10^{+20}_{-8}	$0.08 \pm 0.02 \rightarrow 10 \pm 3$	0.14	0.03
C06	7 ± 1	6^{+11}_{-4}	$0.05 \pm 0.01 \rightarrow 6 \pm 1$	0.08	0.02
C12	7 ± 2	6^{+12}_{-5}	$0.05 \pm 0.01 \rightarrow 6 \pm 2$	0.08	0.02
C13	6 ± 1	5^{+9}_{-4}	$0.04 \pm 0.01 \rightarrow 5 \pm 1$	0.06	0.01
C14	8 ± 2	6^{+13}_{-5}	$0.05 \pm 0.01 \rightarrow 6 \pm 2$	0.08	0.02
C16	5 ± 1	4^{+8}_{-3}	$0.03 \pm 0.01 \rightarrow 4 \pm 1$	0.05	0.02
C17	9 ± 2	7^{+15}_{-6}	$0.06 \pm 0.02 \rightarrow 8 \pm 2$	0.10	0.02

where θ is the solid angle of a pixel in steradian, m is the magnitude limit of a pixel, and M is the absolute magnitude of the transient. As these calculations are dependent on an absolute magnitude, the volumes are model dependent.

For SNe, we use the Li et al. (2011) type Ia SN rate of $(0.301 \pm 0.062) \times 10^{-4} \text{ Mpc}^{-3} \text{ yr}^{-1}$ and an absolute magnitude of -19.0 . For the kilonova rate, we use $1540^{+3200}_{-1220} \text{ Gpc}^{-3} \text{ yr}^{-1}$ from Abbott et al. (2017), and take the absolute magnitude to be -16 , based on GW170817 light curves presented in Villar et al. (2017). The FELT rate is taken as $6400 \pm 2400 \text{ Gpc}^{-3} \text{ yr}^{-1}$ with an absolute magnitude range of $(-16.5) - (-20) K_p$ from Drout et al. (2014).

Since GRB light curves are highly model dependent, we will explore their rates in the following subsections.

6.1.1 GRB rates

For this analysis, we use the *afterglowpy* package described in Ryan et al. (2019) for GRB afterglow light curves. In this exploratory case, we generate GRB afterglows using the *afterglowpy* top-hat jet with the following set of fiducial GRB parameters: isotropic-equivalent energy, $E_0 = 10^{52} \text{ erg}$; half-opening angle, $\theta_j = 3^\circ$ (Nicuesa Guelbenzu et al. 2012); circumburst density, $n = 1.0 \text{ cm}^{-3}$; and energy equipartition factors of the electrons and magnetic field, $\epsilon_e = 0.1$ and $\epsilon_B = 0.01$ respectively.

The apparent magnitude of a GRB afterglow depends on both the viewing angle, θ_{obs} , and the redshift, z . We calculate the apparent magnitude of the GRB afterglow independently varying θ_{obs} from 0 to 90° in steps of 0.5° and z from 0.01 to 5 in steps of 0.01 . By comparing the limiting magnitude of a pixel to the GRB magnitude array, we can determine the maximum z a pixel could detect a GRB for a given viewing angle.

Following Guetta et al. (2005), we approximate the GRB formation rate with the star formation rate from Rowan-Robinson (1999):

$$R_{\text{GRB}} = \rho_0 \begin{cases} 10^{0.75z}, & z < 1 \\ 10^{0.75}, & z \geq 1 \end{cases}, \quad (6)$$

where $\rho_0 \approx 33 h_{65}^3 \text{ Gpc}^{-3} \text{ yr}^{-1}$ (Guetta et al. 2005). From Japelj & Gomboc (2011), the number of GRBs per year is given by

$$N = \int_0^{z_{\text{max}}} \frac{R_{\text{GRB}}}{1+z} \frac{dV}{dz} dz, \quad (7)$$

where the co-moving volume element, dV/dz , is given by

$$\frac{dV}{dz} = \frac{c}{H_0} \frac{4\pi \chi^2(z)}{E(\Omega_i, z)}, \quad \chi = \frac{c}{H_0} \int_0^z \frac{dz'}{E(\Omega_i, z')}, \quad (8)$$

and $E(\Omega_m, z) = \sqrt{\Omega_m(1+z)^3 + \Omega_\Lambda}$. For these calculations, we adopt a standard Λ CDM cosmological model where $H_0 = 72 \text{ km s}^{-1} \text{ Mpc}^{-1}$, $\Omega_m = 0.3$, and $\Omega_\Lambda = 0.7$.

With the fiducial GRB light curves and occurrence rate, we calculate the expected number of detections. The number of detections is calculated per pixel, accounting for all possible viewing angles. In these calculations, we take ‘On-Axis’ GRBs to be $\theta_{\text{obs}} \leq \theta_j$ and ‘Off-Axis’ GRBs, or Orphan Afterglows, to be $\theta_{\text{obs}} > \theta_j$.

6.2 Expected detection rates

Table 2 shows the expected number of events from various *K2* extragalactic campaigns. We find that it is unlikely that *K2* serendipitously observed any kilonova or GRBs during the extragalactic pointing campaigns; however, it is likely that *K2* serendipitously observed many SN Ia and FELTs. Although the expected rates for SN Ia and FELTs appear high, they are likely overestimates, due to intricacies in the *K2* field selections. The KEGS survey will bias *K2*:BS towards observing galaxies, as most large galaxies were selected, while the star targets may bias *K2*:BS against galaxies. These subtle, but important, biasing factors will be incorporated into a follow-up paper where we present the comprehensive transient rates from *K2*:BS, following completion of the survey.

7 CONCLUSIONS

The *Kepler K2* mission has provided a wealth of information on transient events. Through a directed survey of over 40 000 galaxies, KEGS found a multitude of transients, including a rare fast transient KSN 2015K (Rest et al. 2018) and the potential detection of an SN Ia shock interaction in SN 2018oh (Dimitriadis et al. 2019; Li et al. 2019; Shappee et al. 2019). The transients detected by KEGS are not the only transients detected by *Kepler*, a number of events may have been detected serendipitously. The precedent on this was set by the discovery of a dwarf cataclysmic variable outburst in the original *Kepler* mission (Barclay et al. 2012).

Through the *K2*:BS, we propose to analyse all pixels in the *K2* data for serendipitous transient detections. This survey will be blind, with final candidates vetted. The survey is not limited to specific types of transients, as all events that meet the detection threshold will be selected for final vetting. This unconstrained search, coupled with the rapid cadence of *Kepler*, will allow us to search for transients in the time domain of hours, which is poorly understood.

This survey will cover $\sim 3 \text{ deg}^2$ per campaign, with a total area of $\sim 50 \text{ deg}^2$ through all *K2* fields. The total area, combined with

the depth of up to 22 K_p , makes it likely that a number of unknown transients have been observed during *K2*. This is made evident by the first reported detection of a WZ Sge dwarf nova superoutburst presented in Ridden-Harper et al. (2019), discovered by *K2*:BS.

The analysis method presented here is not just applicable to the *K2* data. Since *Kepler* and *TESS* share the same data structure as *K2*, they too can be analysed through the background survey. The search strategies will also be more effective in *Kepler* and *TESS* data, due to the comparative high stability. Following the analysis of *K2*, the background survey will run on these two additional data sets.

ACKNOWLEDGEMENTS

We thank Geoffrey Ryan for his assistance in implementing `afterglowpy`. This research was supported by an Australian Government Research Training Program (RTP) Scholarship and utilizes data collected by the *K2* mission. This paper includes data collected by the *Kepler* mission and obtained from the Mulkulski Archive for Space Telescopes (MAST) data archive at the Space Telescope Science Institute (STScI). Funding for the *Kepler* mission is provided by the National Aeronautics and Space Administration (NASA) Science Mission Directorate. STScI is operated by the Association of Universities for Research in Astronomy, Inc., under NASA contract NAS 5-26555. We also make significant use of the NASA/IPAC Extragalactic Database (NED), which is operated by the Jet Propulsion Laboratory, California Institute of Technology, under contract with the NASA.

DATA AVAILABILITY

The *Kepler/K2* data underlying this work are available on the Minkulski Archive for Space Telescopes (MAST). The data sets used here are in the public domain, and were obtained through the MAST bulk download interface: <https://archive.stsci.edu/missions-and-data/k2/k2-bulk-downloads>.

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APPENDIX A: KEPLER/K2 ZERO-POINTS

Table A1. Zero-points for all *Kepler*/K2 detector channels. Channels with no values belong to the defunct modules 3, 4, and 7. The zero-points are derived with data from campaigns C01, C06, C12, C14, C16, and C17.

Channel (0–42)	Zero-point (0–42)	Channel (43–84)	Zero-point (43–84)
1	25.27 ± 0.06	43	25.35 ± 0.04
2	25.28 ± 0.05	44	25.31 ± 0.05
3	25.23 ± 0.16	45	25.31 ± 0.05
4	25.2 ± 0.09	46	25.31 ± 0.04
5	–	47	25.3 ± 0.04
6	–	48	25.28 ± 0.05
7	–	49	25.27 ± 0.05
8	–	50	25.26 ± 0.05
9	–	51	25.19 ± 0.04
10	–	52	25.2 ± 0.07
11	–	53	25.29 ± 0.04
12	–	54	25.28 ± 0.05
13	25.29 ± 0.09	55	25.31 ± 0.07
14	25.28 ± 0.08	56	25.26 ± 0.07
15	25.23 ± 0.08	57	25.35 ± 0.05
16	25.25 ± 0.07	58	25.36 ± 0.04
17	–	59	25.35 ± 0.05
18	–	60	25.33 ± 0.05
19	–	61	25.32 ± 0.04
20	–	62	25.3 ± 0.04
21	25.4 ± 0.07	63	25.33 ± 0.04
22	25.35 ± 0.05	64	25.3 ± 0.04
23	25.34 ± 0.04	65	25.32 ± 0.07
24	25.35 ± 0.08	66	25.38 ± 0.05
25	25.33 ± 0.05	67	25.32 ± 0.04
26	25.37 ± 0.06	68	25.35 ± 0.09
27	25.31 ± 0.06	69	25.32 ± 0.04
28	25.32 ± 0.08	70	25.33 ± 0.05
29	25.27 ± 0.1	71	25.26 ± 0.04
30	25.26 ± 0.04	72	25.23 ± 0.04
31	25.24 ± 0.04	73	25.28 ± 0.03
32	25.27 ± 0.07	74	25.32 ± 0.04
33	25.27 ± 0.04	75	25.25 ± 0.03
34	25.26 ± 0.04	76	25.27 ± 0.05
35	25.19 ± 0.05	77	25.26 ± 0.05
36	25.24 ± 0.04	78	25.25 ± 0.04
37	25.37 ± 0.04	79	25.27 ± 0.05
38	25.38 ± 0.04	80	25.24 ± 0.03
39	25.36 ± 0.06	81	25.26 ± 0.06
40	25.36 ± 0.04	82	25.26 ± 0.05
41	25.33 ± 0.05	83	25.27 ± 0.06
42	25.34 ± 0.04	84	25.26 ± 0.05

Table A2. *Kepler*/K2 zero-points from a selection of previous works.

Publication	Zero-point
Aigrain et al. (2015)	25–25.3
Garnavich et al. (2016)	25.47
Zhu et al. (2017)	25
Dimitriadis et al. (2019)	25.324 ± 0.004
Poleski et al. (2019)	25.47 ± 0.13

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