# Predicting Remission in Subjects at Clinical High Risk for Psychosis Using Mismatch Negativity

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Background: The declining transition rate to psychotic disorder and the increasing rate of nonpsychotic poor outcomes among subjects at clinical high risk (CHR) for psychosis have increased the need for biomarkers to predict remission regardless of transition. This study investigated whether mismatch negativity (MMN) predicts the prognosis of CHR individuals during a 6-year follow-up period. Methods: A total of 47 healthy control (HC) subjects and 48 subjects at CHR for psychosis participated in the MMN assessment. The clinical statuses of the CHR subjects were examined at baseline and regularly for up to 6 years. The CHR subjects were divided into remitter and nonremitter groups, and the baseline MMN amplitudes and latencies were compared across the remitter, nonremitter, and HC groups. Regression analyses were performed to identify the predictive factors of remission, the improvement of attenuated positive symptoms, and functional recovery. Results: CHR nonremitters showed reduced MMN amplitudes at baseline compared to CHR remitters and HC subjects. A logistic regression analysis revealed that the baseline MMN amplitude at the frontal electrode site was the only significant predictor of remission. In a multiple regression analysis, the MMN amplitude, antipsychotic use, and years of education predicted an improvement in attenuated positive symptoms. The MMN amplitude at baseline predicted functional recovery. Conclusions: These results suggest that MMN is a putative predictor of prognosis regardless of the transition to psychotic disorder in subjects at CHR. Early prognosis prediction and the provision of appropriate interventions based on the initial CHR status might be aided using MMN.

*Key words:* clinical high risk for psychosis/event-related potential/mismatch negativity/remission/prognosis/schizophrenia

#### Introduction

Efforts aimed at early detection and intervention in patients with the psychotic disorder have led the establishment of "clinical high risk (CHR)," "ultra-high risk," or "basic symptoms" criteria. The use of these approaches in identifying markers predictive of the transition to psychotic disorder has been a major focus of researchers, and sociodemographic, clinical, neuropsychological, neuroanatomical, and electrophysiological markers of this transition have been suggested.<sup>2-5</sup> However, the initially reported high transition rate of 54% within 1 year later decreased to 22% within 1 year, 29% after 2 years, and 36% after 3 years.<sup>6,7</sup> A declining transition rate in subjects at CHR has been consistently reported in different cohorts, and the dilution effect, early referral, source of referral, and comorbidity were suggested as causes of this phenomenon.<sup>8-11</sup> This resulted in an increase in the proportion of CHR nonconverters who do not transition to psychotic disorder within a limited observational period. Longitudinal studies had reported that CHR nonconverters remained at a poor functional status even when they improved during the follow-up period. 12,13 In addition, the high prevalence of nonpsychotic psychiatric disorders has been consistently reported, and comorbid mental disorders are associated with poor functional outcomes in CHR nonconverters. 14-17 These findings suggest that attention should be paid not only to conversion status but also to general psychiatric conditions, including functional outcomes in subjects at CHR for psychosis.

Given the declining transition rate and increasing rate of nonpsychotic poor outcomes, predicting remission from initial CHR status might provide useful information, especially for clinical practice. The early detection of putative remitters might reduce the problems of

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unnecessary treatment and stigmatization. Furthermore, nonremitters, including converters, can receive more intensive care from the beginning of treatment to improve later outcomes. Although the predictors of or factors associated with remission from CHR status have not yet been sufficiently studied, the extant literature has shown that the factors associated with transition also show potential as markers for remission (ie, symptomatic, functional, or both types of improvement). Baseline sociodemographic characteristics and clinical symptoms do not differ between remitters and nonremitters, 18 whereas remitters show better neurocognitive function than nonremitters at baseline. 19 Egerton et al<sup>20</sup> found that compared with remitters, the baseline thalamic glutamate level is lower in nonremitters and is associated with a change in attenuated positive symptom severity during the course of disease. Kim et al<sup>21</sup> reported that baseline P300 amplitudes predict later improvement in the negative and general symptoms of subjects at CHR, although no baseline P300 difference was found between remitters and nonremitters. In addition, recent neuroimaging studies have attempted to predict functional improvements in individuals at CHR using a support vector regression of subcortical volumes.<sup>22</sup> Therefore, other suggested biomarkers for schizophrenia pathophysiology or transition to psychosis might predict remission from CHR status.

Of the potential biomarkers for predicting remission in subjects at CHR, auditory mismatch negativity (MMN) is a promising candidate. MMN is an event-related potential (ERP) component that represents preattentive auditory processing and depends on the N-methyl-Daspartate (NMDA) receptor-mediated glutamate system.<sup>23,24</sup> Impaired MMN in patients with schizophrenia and its association with impaired functional status have been consistently reported.<sup>25-27</sup> In subjects at CHR for psychosis, aberrant MMN activity and its relationship with positive prodromal symptom severity were found.<sup>28</sup> Moreover, baseline MMN predicts the later transition to psychotic disorder and time to conversion.<sup>5,29,30</sup> Because symptomatic and functional improvement should be considered simultaneously to better define remission from CHR status, 12,13 MMN shows the additional possibility of being a potential biomarker for remission due to its representativeness of positive prodromal symptoms and general functional status.

Despite the clinical significance of predicting remission from CHR status and the potential use of MMN as a biomarker for remission, no study has attempted to predict remission in subjects at CHR using MMN. Therefore, we aimed to determine whether baseline MMN responses predict later remission and symptomatic or functional improvement during a maximal 6-year follow-up period. We hypothesized that individuals whose CHR statuses go into remission would show larger baseline MMN amplitudes, similar to healthy control (HC) subjects, than those whose statuses do not. We also hypothesized that

the baseline MMN amplitude would predict later remission as well as symptomatic and functional improvement.

#### Methods

**Participants** 

We recruited 140 subjects at CHR between January 2005 and January 2014 via the Seoul Youth Clinic (www. youthclinic.org), a center for the early detection of and intervention for people at high risk for psychosis.<sup>31</sup> Among these subjects, 70 individuals at CHR participated in the baseline MMN measurement. CHR status was confirmed using the criteria of the Structured Interview for Prodromal Symptoms (SIPS).6 The Structured Clinical Interview for the Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition Axis I Disorders (SCID-I) was used to determine the past and current psychiatric disorders. Prodromal symptoms were assessed using the validated Korean version of the SIPS,<sup>32</sup> and the Global Assessment of Functioning (GAF) was used to define general functional status. The duration of untreated prodromal psychosis (DUPP) was obtained from medical records and interviews with the participants and their family members. Medication use was documented, and antipsychotic use was also recorded as the mean olanzapine equivalent dose.<sup>33</sup> The exclusion criteria included a lifetime diagnosis of psychotic disorder, a history of antipsychotic use, substance abuse or dependence, neurological disease or significant head trauma, medical illness with cognitive sequelae, sensory impairments, and intellectual disability (intelligence quotient [IQ] < 70).

After baseline assessment, the subjects at CHR were followed up and assessed regularly for 1–6 years. A total of 48 subjects at CHR who participated in the baseline MMN assessment and were followed up at least once over 6 years were included in this study. Remission from CHR status was defined as an individual at CHR meeting a score of 2 or lower on the Scale of Prodromal Symptoms (SOPS) positive subscale and a score of 60 or more on the GAF at the last follow-up point. 13,21 The remitter group (CHR-R) included 17 participants at CHR, and the nonremitter group (CHR-NR) included 31 subjects at CHR. Among the nonremitters, 7 CHR subjects made the transition to overt psychotic disorder and finished the last follow-up assessment as CHR at the time of transition. The demographic and MMN data of the 47 HC subjects, which were presented in a previously published study, were used for the group comparisons in the current study.34

This study was conducted in accordance with the Declaration of Helsinki and was approved by the Institutional Review Board of Seoul National University Hospital. Written informed consent was obtained from all of the participants after a full explanation of the study procedure was provided.

#### EEG Recording

The electroencephalographic (EEG) recording protocol used in this study was identical to that of a prior study conducted in our lab.<sup>34</sup> Participants were assigned to a passive auditory oddball task while their EEGs were recorded. While subjects concentrated on a "Where's Waldo?" picture book, a pseudorandom series of 1000 Hz (80 dB, 10 ms rise/fall) auditory stimuli were binaurally presented using a STIM2 sound generator (Compumedics). The duration of the frequent standard stimuli (81.8%, 982/1200) was 50 ms, and the duration of the infrequent deviant stimuli (18.2%, 218/1200) was 100 ms. The intertrial interval was 600 ms.

Continuous EEG recordings were acquired using a Neuroscan 128 Channel SynAmps system equipped with a 128-channel Quick-Cap based on the modified 10–20 international system (Compumedics). The electrodes at the mastoid sites served as the reference electrodes. The EEG data were digitized at a 1000 Hz sampling rate with an online filter of 0.05–100 Hz. Eye movement artifacts were monitored by recording the vertical and horizontal electrooculogram using electrodes below and on the outer canthus of the left eye. The resistance at all electrode sites was below 5 k $\Omega$ .

# ERP Analysis

The preprocessing of the ERP data and source reconstruction were performed using Curry version 7 software (Compumedics). Bad channels were replaced via the linear interpolation of the adjacent channels (up to 7% per participant). Eve movement artifacts were reduced using the artifact reduction algorithm implemented in Curry 7 software.<sup>35</sup> EEG recordings were re-referenced to the common average reference data, bandpass filtered between 0.1 and 30 Hz, epoched to a 100 ms prestimulus and a 300 ms poststimulus, and baseline-corrected using the averaged prestimulus interval voltage. Epochs containing EEG amplitudes that exceeded ±75 µV were rejected automatically, and the number of remaining epochs exceeded 100 in all participants. MMN response activity was obtained by subtracting the ERPs elicited by the standard stimuli from those elicited by the deviant stimuli. A peak detection method was used to determine the peak MMN amplitude and latency, which was defined as the most negative deflection between 130 and 250 ms post-stimulus onset at the F3, Fz, F4, FC3, FCz, and FC4 electrode sites. We performed an exploratory source-level analysis of MMN using the data of 26 individuals at CHR who had both digitized channel locations and 3T MRI data. See the supplementary material for the detailed description of the image acquisition, data processing, and source reconstruction.

## Statistical Analyses

The demographic and clinical characteristics of the subjects were compared across groups using analysis of variance (ANOVA). A  $\chi^2$  test or Fisher's exact test was used to analyze the categorical data. Group comparisons of MMN amplitudes and latency were performed using a repeated measures ANOVA with 6 frontocentral electrode sites (F3, Fz, F4, FC3, FCz, and FC4) as the within-subjects factor, group (HC vs CHR-R vs CHR-NR) as the between-subjects factor, and age as a covariate. A post hoc simple contrast test was used to reveal specific group differences. To identify the factors that predicted remission, a binary logistic regression with the backward selection method was used. A multiple regression analysis with the backward selection method was used to identify the factors that significantly predicted improvement in positive prodromal symptoms or general functional states during the follow-up period. The anticipated predictive factors included MMN peak amplitude at Fz assessed at baseline; demographic characteristics (ie, sex, handedness, age, IQ, and years of education); SOPS positive subscale score or GAF score measured at baseline; follow-up duration; medication use during the follow-up period (ie, mean olanzapine equivalent dose of antipsychotics, antidepressant use, mood stabilizer use, and anxiolytic use); and DUPP. IBM SPSS version 24 (IBM) was used for the statistical analyses. The threshold for statistical significance was set at P < .05.

#### Results

# Subject Characteristics

All subjects at CHR were antipsychotic-naïve at the time of enrollment; 36 subjects were medicationnaïve, 9 subjects were taking antidepressants, and 11 subjects were taking benzodiazepines. Table 1 summarizes the demographic and clinical characteristics at baseline and during the follow-up period. The HC subjects were older and more educated than both the CHR-R (age, P = .001; education years, P < .001) and CHR-NR (age, P < .001; education years, P < .001) subjects. IQ scores were not different between the HC and the CHR-R groups (P = .092); however, the CHR-NR group showed lower IQ scores than the HC group (P = .017). No differences were found in the demographic or clinical characteristics between the CHR-R and CHR-NR groups assessed at baseline. The CHR-R and CHR-NR groups did not differ with regard to follow-up duration, change in SOPS positive subscale scores or use of medication. However, the CHR-NR subjects were prescribed greater olanzapine equivalent doses of antipsychotics (t = -2.080, P = .043) and showed less functional improvement (t = 4.586, P < .001) during the follow-up period than the CHR-R subjects. A comparison of the 48 CHR subjects who participated in the follow-up assessment at least once and 22 CHR subjects who did not is provided in supplementary table 1.

**Table 1.** Demographic Data of the Participants and the Clinical Characteristics at Baseline and Follow-Up of the Subjects at Clinical High Risk (CHR) for Psychosis

	HC (N = 47)		$\frac{\text{CHR-R}^{\text{a}}}{(N=17)}$		$\frac{\text{CHR-NR}^{\text{b}}}{(N=31)}$			
							Statistical Analysis <sup>c</sup>	
	Mean	SD	Mean	SD	Mean	SD	$\chi^2$ or $F$ or $t$	P
Baseline characteristics								
Sex (male/female)	29/18		11/6		24/7		2.166	.339
Handedness (right/left)	46/1		17/0		28/3		3.550	.169
Age (years)	24.6	5.3	19.8	3.6	19.5	3.4	14.696	<.001**
IQ	115.3	13.4	107.6	12.0	106.9	12.5	4.758	.011*
Education (years)	14.5	1.8	12.1	1.4	12.1	1.7	23.133	<.001*
DUPP (months)	NA		22.4	23.0	21.0	17.0	0.237	.814
SOPS								
Positive symptoms	NA		7.8	3.5	8.3	5.5	-0.335	.739
Negative symptoms	NA		15.6	5.9	14.9	6.5	0.376	.709
Disorganization	NA		4.2	1.9	4.7	2.8	-0.665	.509
General symptoms	NA		7.8	3.9	7.3	4.3	0.400	.691
GAF	NA		48.5	8.4	41.9	23.2	1.422	.163
Follow-up characteristics								
Follow-up duration (days)	NA		1141.7	612.5	1059.8	511.7	0.494	.624
Antipsychotics dosed	NA		1.9	2.3	3.6	3.4	-2.080	.043*
Change in								
SOPS positive symptoms <sup>e</sup>	NA		4.7	3.3	4.1	8.3	0.378	.707
$GAF^{\mathrm{f}}$	NA		20.4	9.5	4.4	12.4	4.586	<.001**
Number of follow-up (months)	3							
12 months	NA		14 (29.2)		21 (43.8)		1.187	.276
18 months	NA		12 (25.0)		13 (27.1)		3.612	.057
24 months	NA		10 (20.8)		18 (37.5)		0.003	.959
36 months	NA		7 (14.6)		13 (27.1)		0.003	.959
48 months	NA		5 (10.4)		6 (12.5)		0.629	.428
60 months	NA		4 (8.3)		4 (8.3)		0.893	.345
72 months	NA		2 (4.2)		2 (4.2)		0.406	.524
Use of medication <sup>h</sup>								
Antipsychotics	NA		14 (82.3)		29 (93.5)		1.475	.225
Antidepressants	NA		12 (70.6)		21 (67.7)		0.041	.839
Mood stabilizers	NA		11 (64.7)		22 (71.0)		0.200	.654
Anxiolytics	NA		4 (23.5)		13 (41.9)		1.626	.202

*Note*: HC, healthy control; SD, standard deviation; IQ, intelligence quotient; DUPP, duration of untreated prodromal psychosis; SOPS, Scale of Prodromal Symptoms; GAF, Global Assessment of Functioning; NA, not applicable.

# MMN Amplitude at Baseline Predicts Remission and Symptomatic or Functional Improvement

Figure 1a displays the grand-average MMN waveforms, and Figure 1b shows the MMN peak amplitudes across the 3 groups. Figure 1c displays 2-dimensional topographic maps of the MMN amplitudes for the HC, CHR-R, CHR-NR, and CHR subjects who transitioned to overt

psychotic disorder (CHR-T). Table 2 summarizes the group comparison results for the baseline MMN amplitudes and latencies. A repeated measures ANOVA revealed a significant main effect of group ( $F_{2,91} = 4.876$ , P = .010), electrode site ( $F_{5,87} = 4.758$ , P = .001), and age ( $F_{1,91} = 8.929$ , P = .004) on the MMN amplitude at baseline. The group by electrode interaction was not significant ( $F_{10,176} = 1.670$ ,

<sup>&</sup>lt;sup>a</sup>Remitted at last follow-up point.

<sup>&</sup>lt;sup>b</sup>Did not remit at last follow-up point.

<sup>&</sup>lt;sup>c</sup>Analysis of variance, independent *t*-test or Welch's *t*-test if the variances were not equal;  $\chi^2$  analysis or Fisher's exact test for categorical data.

<sup>&</sup>lt;sup>d</sup>Mean daily olanzapine equivalent dose prescribed during the follow-up period.

<sup>&</sup>lt;sup>e</sup>Calculated by subtracting scores at last follow-up point from scores at baseline.

<sup>&</sup>lt;sup>f</sup>Calculated by subtracting scores at baseline from scores at last follow-up point.

<sup>&</sup>lt;sup>g</sup>Number (percentage) of subjects who were followed-up at that time point.

<sup>&</sup>lt;sup>h</sup>Number (percentage) of subjects who were prescribed each medication during the follow-up period.

<sup>\*</sup>The mean difference is significant at the .05 level.

<sup>\*\*</sup>The mean difference is significant at the .005 level.

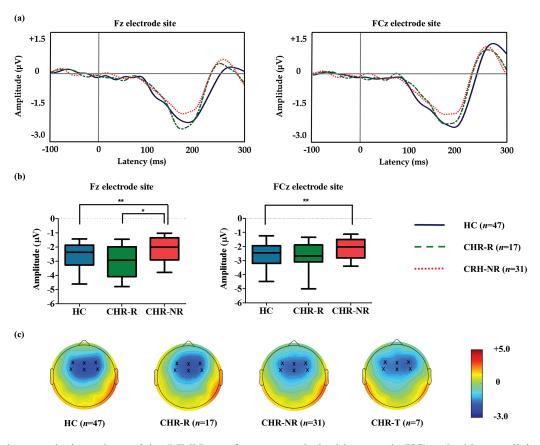


Fig. 1. (a) Grand-averaged mismatch negativity (MMN) waveforms across the healthy controls (HC) and subjects at clinical high risk (CHR) for psychosis who remitted (CHR-R) or did not remit (CHR-NR). (b) The MMN amplitudes at the Fz and FCz electrode sites across the groups. The horizontal lines in the group indicate the means, and the vertical lines in the group indicate the 10 to 90 percentile. \* indicates that the mean difference is significant at the .05 level; \*\* indicates that the mean difference is significant at the .05 level (c) Two-dimensional topographic maps of MMN in the HC, CHR-R, CHR-NR, and CHR-T subjects. The 6 frontocentral electrodes are indicated by an x in the topographic maps. The colored bar with numbers indicates the amplitude of MMN (μV).

P=.091). The post hoc analysis revealed that the MMN amplitude at baseline was smaller in the CHR-NR group than in both the HC (P=.004) and CHR-R (P=.042) groups. Furthermore, the MMN amplitude at baseline was not different between the HCs and CHR-R subjects (P=.608). Regarding the MMN latency at baseline, there was no significant effect of group ( $F_{2.91}=2.436$ , P=.093), electrode site ( $F_{5.87}=1.705$ , P=.142), and age ( $F_{1.91}=0.781$ , P=.379), as well as no significant group by electrode interaction ( $F_{10.176}=0.817$ , P=.613).

According to the binary logistic regression analysis, the baseline MMN amplitude at Fz was the only significant predictor of remission (Exp [ $\beta$ ] = 0.472, 95% confidence interval [95% CI] = 0.254 to 0.877, P = .018). According to the multiple regression analysis, improvement in SOPS positive symptoms was significantly predicted by the baseline MMN amplitude at Fz, the dose of antipsychotics used, and years of education. The only significant predictor of GAF improvement was the baseline MMN amplitude at Fz (table 3, figure 2). The results of the exploratory MMN source analysis are presented in the supplementary material (supplementary tables 2 and 3; supplementary figure 1).

#### Discussion

This study investigated MMN as a predictor of prognosis after a 6-year follow-up period among subjects at CHR for psychosis. As expected, the baseline MMN amplitudes at the frontal electrode sites were reduced in CHR-NR subjects compared with CHR-R subjects and HC subjects, and a larger baseline MMN amplitude was the only significant predictor of remission. The MMN amplitude obtained at baseline predicted improvement in general functional status during the follow-up period in the whole CHR group. The significant predictors of reduction in attenuated positive symptoms were baseline MMN amplitude, antipsychotic dosage, and years of education.

Because nontransition or the amelioration of attenuated positive symptoms does not ensure a positive prognosis, especially in terms of functional outcomes, <sup>12,13,36</sup> to be clinically relevant, the concept of remission from CHR status should include both symptomatic and functional improvement. The results of the current study show that the baseline MMN amplitude predicts later remission, which was defined using both the SOPS positive subscale

**Table 2.** Means and Standard Deviations (SD) of the Mismatch Negativity (MMN) Peak Amplitudes and Latencies at the Surface Electrodes Across the Groups

	HC		CHR-R	ı	CHR-N	R <sup>b</sup>	Statistic	<sub>~</sub> 1			
	(N = 47)		(N = 17)		$\overline{(N=31)}$		Statistical Analysis <sup>c</sup>		Post Hoc Analysis <sup>d</sup>		
	Mean	SD	Mean	SD	Mean	SD	$\overline{F}$	P	A vs B	A vs C	B vs C
Amplitud	le (μV)										
F3	-2.2	1.1	-2.5	1.0	-1.8	0.8	4.627	.012*	.955	.008*	.019*
Fz	-2.7	1.2	-3.0	1.2	-2.2	1.0	5.875	.004**	.814	.002**	.014*
F4	-2.7	1.2	-3.0	1.1	-2.4	0.9	3.614	.031*	.793	.013*	.060
FC3	-1.9	1.0	-2.0	0.8	-1.7	0.7	2.009	.140	.745	.058	.189
FCz	-2.7	1.7	-2.7	1.2	-2.2	0.8	6.491	.002**	.225	.001**	.067
FC4	-2.6	1.2	-2.5	0.9	-2.3	0.9	1.200	.306	.519	.125	.498
Latency (	ms)										
F3	181.9	25.9	173.0	25.0	170.7	24.3	1.464	.237	.269	.101	.764
Fz	182.5	25.1	177.3	23.6	172.9	21.9	1.904	.155	.304	.055	.533
F4	182.4	25.5	174.8	24.2	170.9	21.3	3.694	.029*	.093	.009**	.571
FC3	177.5	29.2	176.8	26.4	173.6	26.8	0.484	.618	.656	.328	.693
FCz	183.3	22.5	178.2	17.9	174.7	22.4	1.154	.320	.450	.133	.591
FC4	175.5	23.4	175.4	24.2	162.3	15.8	4.351	.016*	.727	.006**	.044*

Note: HC, healthy control; CHR, clinical high risk; A, HC; B, CHR-R; C, CHR-NR.

Table 3. Significant Predictors of Remission, Improvement of Attenuated Positive Symptoms and General Functioning

				P	95% CI	
Outcome Variables	Significant Predictors	$R^2$ or Partial $R^2$	Exp (B) or Beta (SB)		Lower	Upper
Remission <sup>a</sup>	MMN amplitude at Fz	0.244	0.472	.018*	0.254	0.877
Improvement of SOPS positive	MMN amplitude at Fz	-0.289	-2.028(-2.205)	.033*	-3.888	-0.169
symptoms <sup>b</sup>	Antipsychotics dose <sup>c</sup>	0.389	1.024 (2.967)	.005*	0.326	1.721
	Education years	0.350	2.613 (2.669)	.011*	0.634	4.592
Improvement of GAF <sup>b</sup>	MMN amplitude at Fz	0.168	-3.696 (-2.265)	.028*	-0.692	-0.410

*Note*: SB, standardized beta; MMN, mismatch negativity; SOPS, Scale of Prodromal Symptoms; GAF, Global Assessment of Functioning; CI, confidence interval.

score and the GAF score; these findings suggested that MMN can be used as a putative biomarker for the early detection of clinically relevant remission in subjects at CHR. Furthermore, we found that the baseline MMN amplitude separately predicted the improvement of attenuated positive symptoms and general functional status in the CHR group as a whole. These results are consistent with the previous literature, which reports a relationship between MMN and positive symptom severity or general functional status in patients with schizophrenia and those at CHR for psychosis. <sup>26,28,37–39</sup> In addition, Thomas et al<sup>40</sup> showed that early auditory processing

significantly predicted functional outcomes in patients with schizophrenia, which further supports the results of the current study.

To date, all other CHR studies using MMN as a biomarker have attempted to reveal its potential utility to predict the transition to psychotic disorder.<sup>30</sup> In particular, Perez et al<sup>5</sup> showed that MMN was compromised prior to and a significant predictor of time to psychosis onset among subjects at CHR. However, the transition rate has declined from an initial 54% within 1 year to 10–15% within 2–3 years,<sup>6,9,41</sup> and a large proportion of subjects at CHR have shown poor prognoses, although

<sup>&</sup>lt;sup>a</sup>Remitted at last follow-up point.

<sup>&</sup>lt;sup>b</sup>Did not remit at last follow-up point.

<sup>&</sup>lt;sup>c</sup>Analysis of variance with age as covariate.

<sup>&</sup>lt;sup>d</sup>P value of post hoc analysis using simple contrast test.

<sup>\*</sup>The mean difference is significant at the .05 level.

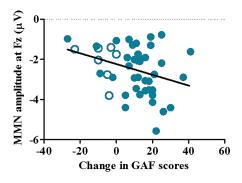
<sup>\*\*</sup>The mean difference is significant at the .005 level.

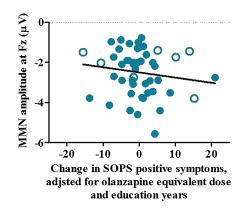
<sup>&</sup>lt;sup>a</sup>Binary logistic regression with backward method.

<sup>&</sup>lt;sup>b</sup>Multiple regression with backward method.

<sup>&</sup>lt;sup>c</sup>Mean olanzapine equivalent dose.

<sup>\*</sup>The mean difference is significant at the .05 level.





**Fig. 2.** The correlation between the change in the Global Assessment of Functioning (GAF) scores and the MMN amplitude at baseline (left). The partial correlation between the baseline MMN amplitude at Fz and the change in the Scale of Prodromal Symptoms (SOPS) positive symptom scores adjusted for the olanzapine equivalent antipsychotic dose and education years (right). The subjects at CHR who transitioned to psychosis are indicated by the open circles.

they did not transition to psychotic disorder.<sup>14,16</sup> This phenomenon has raised questions about the clinical relevance of predictions limited to transition in CHR prognosis; in turn, the prediction of remission from an initial CHR status has gained as much clinical importance as the prediction of transition.<sup>20,21,36</sup> The early classification of remitters and nonremitters among individuals with initial CHR statuses would be helpful for earlier clinical decisions regarding intervention factors such as timing and intensity. In line with the trend toward at-risk mental state research, the current study provides the first suggestion that MMN serves as a biomarker in predicting the prognosis of subjects at CHR, regardless of psychotic conversion.

This study has several limitations. First, the followup period varied among subjects at CHR, although the observational period of 1-6 years was relatively long. Although the follow-up duration of the CHR-R and CHR-NR groups did not differ and the prognostic changes were not explained by the length of the followup period according to the multiple regression analysis, a potential bias caused by the varying lengths of follow-up duration warrants caution when interpreting the results. Second, symptoms and functional status at a single last follow-up point was used to declare remission which was merely a snapshot of a status, thus caution would need to interpret the result of our study. Third, higher baseline GAF scores shown in CHR subjects who did not participate in follow-up assessment may lead potential bias to the result that MMN amplitude at baseline predicted improvement in general functional status. Fourth, the range of change in the clinical characteristics examined in the present study is limited to the scores derived from the SOPS positive subscale and the GAF scale following the definition of remission. Other important clinical variables, including negative, disorganization, and general symptoms, as well as neurocognition, are beyond the scope of the present study and would further augment the meaning of our findings.

The present study is the first to examine the possibility that baseline MMN predicts later functional and symptomatic prognoses in subjects at CHR for psychosis. We observed that the baseline MMN amplitude was associated with later remission as well as improvements in attenuated positive symptoms and general functional status. Our results not only suggest that MMN is a putative biomarker of remission in subjects at CHR but also provide the biological background for previous studies that argued for the importance of nonpsychotic outcomes and clinically relevant remission criteria, including functional improvement. 12,13,15,21,42 Although challenges remain in translating electrophysiological findings into clinically feasible prognostic tests, the early prediction of prognosis and the provision of appropriate interventions for individuals at CHR for psychosis might be aided using MMN.

### **Supplementary Material**

Supplementary data are available at *Schizophrenia Bulletin* online.

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