

# Clinical features of *TBK1* carriers compared with *C9orf72*, *GRN* and non-mutation carriers in a Belgian cohort

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<sup>†</sup>See Appendix 1

We identified in a cohort of patients with frontotemporal dementia ( $n = 481$ ) or amyotrophic lateral sclerosis ( $n = 147$ ), 10 index patients carrying a *TBK1* loss of function mutation reducing *TBK1* expression by 50%. Here, we describe the clinical and pathological characteristics of the 10 index patients and six of their affected relatives carrying a *TBK1* mutation. Six *TBK1* carriers were diagnosed with frontotemporal dementia, seven with amyotrophic lateral sclerosis, one with both clinical phenotypes and two with dementia unspecified. The mean age at onset of all 16 *TBK1* carriers was  $62.1 \pm 8.9$  years (range 41–73) with a mean disease duration of  $4.7 \pm 4.5$  years (range 1–13). *TBK1* carriers with amyotrophic lateral sclerosis had shorter disease duration than carriers with frontotemporal dementia. Six of seven *TBK1* carriers were diagnosed with the behavioural variant of frontotemporal dementia, presenting predominantly as disinhibition. Memory loss was an important associated symptom in the initial phase of the disease in all but one of the carriers with frontotemporal dementia. Three of the patients with amyotrophic lateral sclerosis exhibited pronounced upper motor neuron symptoms. Overall, neuroimaging displayed widespread atrophy, both symmetric and asymmetric. Brain perfusion single-photon emission computed tomography or fluorodeoxyglucose-positron emission tomography showed asymmetric and predominantly frontotemporal involvement. Neuropathology in two patients demonstrated TDP-43 type B pathology. Further, we compared genotype–phenotype data of *TBK1* carriers with frontotemporal dementia ( $n = 7$ ), with those of frontotemporal dementia patients with a *C9orf72* repeat expansion ( $n = 65$ ) or a *GRN* mutation ( $n = 52$ ) and with frontotemporal dementia patients ( $n = 259$ ) negative for mutations in currently known causal genes. *TBK1* carriers with frontotemporal dementia had a later age at onset (63.3 years) than *C9orf72* carriers (54.3 years) ( $P = 0.019$ ). In clear contrast with *TBK1* carriers, *GRN* carriers were more often diagnosed with the language variant than the behavioural variant, and presented in case of the diagnosis of behavioural variant, more often than *TBK1* carriers with apathy as the predominant characteristic ( $P = 0.004$ ). Also, *TBK1* carriers exhibited more often extrapyramidal symptoms than *C9orf72* carriers ( $P = 0.038$ ). In conclusion, our study identified clinical differences between the *TBK1*, *C9orf72* and *GRN* carriers, which allows us to formulate guidelines for genetic diagnosis. After a negative result for *C9orf72*, patients with both frontotemporal dementia and amyotrophic lateral sclerosis should be tested first for mutations in *TBK1*. Specifically in frontotemporal dementia patients with early memory difficulties, a relatively late age at onset or extrapyramidal symptoms, screening for *TBK1* mutations should be considered.

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**Abbreviations:** ALS = amyotrophic lateral sclerosis; FTD = frontotemporal dementia; FTLD = frontotemporal lobar degeneration; PPA = primary progressive aphasia; TDP-43 = TAR DNA binding protein 43

## Introduction

Frontotemporal lobar degeneration (FTLD) is a term covering a heterogeneous group of neurodegenerative disorders with predominant atrophy of the frontal and/or the temporal lobes of the brain. It is the third most common form of dementia after Alzheimer's disease and vascular dementia, and accounts for 5–10% of all dementia patients (Prince *et al.*, 2014). In individuals younger than 65 years, it is the second most common cause of dementia after Alzheimer's disease.

FTLD is remarkably heterogeneous in clinical presentation, neuropathological characteristics and underlying genetic causes. Clinically, frontotemporal dementia (FTD) is characterized by a progressive deterioration in behaviour, personality and/or language with relative preservation of memory function. It can be divided in two clinical subtypes: a behavioural variant, and a language variant or primary progressive aphasia (PPA). PPA can be further subdivided into progressive non-fluent aphasia, semantic dementia and logopenic progressive aphasia, although the latter is frequently associated with underlying atypical Alzheimer's disease pathology (Gorno-Tempini *et al.*, 2011). Pathologically, FTLD is divided in different subclasses according to the major inclusion protein that is found in protein depositions in degenerating neurons: TAR DNA binding protein 43 (TDP-43, encoded by *TARDBP*; FTLD-TDP), microtubule associated protein tau (MAPT, FTLD-tau), FUS RNA binding protein (FUS, FTLD-FUS) or no inclusions (FTLD-ni) (Mackenzie *et al.*, 2010). Clinical and population-based studies showed that FTD is familial in 30–50% of patients, with an autosomal dominant inheritance in up to 40% (Goldman *et al.*, 2005). About 17–40% of Mendelian inherited forms of FTD are explained by a mutation in *GRN*, *MAPT* or *C9orf72* and

more rarely by a mutation in *VCP* or *CHMP2B* (Sieben *et al.*, 2012).

About 15% of patients with FTD also develop amyotrophic lateral sclerosis (ALS), a motor neuron disease clinically characterized by progressive muscle weakness, muscular atrophy, fasciculations and spasticity (Lomen-Hoerth *et al.*, 2002). Also common neuropathological findings and genetic causes suggest that FTD and ALS are two extremes of a continuum of neurodegenerative disorders. Both ALS and FTD have pathological subgroups characterized by inclusions of the TDP-43 or FUS proteins (van Langenhove *et al.*, 2012). Genetically, *C9orf72* repeat expansions are the most common cause of FTD and ALS, explaining 25% of familial FTD and 37% of familial ALS patients (Majounie *et al.*, 2012). Up to 88% of familial patients with FTD and ALS (FTD-ALS) are explained by the *C9orf72* repeat expansion (Cruts *et al.*, 2013).

Most recently, TANK-binding kinase 1 (*TBK1*) was identified as a gene for ALS and FTD in which loss of function mutations result in 50% loss of TBK1 protein (Cirulli *et al.*, 2015; Freischmidt *et al.*, 2015; Gijselinck *et al.*, 2015a; Pottier *et al.*, 2015). *TBK1* is an important serine/threonine kinase of the I $\kappa$ B kinase family. It phosphorylates a wide range of substrates, including optineurin (*OPTN*) (Gleason *et al.*, 2011; Weidberg and Elazar, 2011) and p62 (*SQSTM1*), two proteins involved in autophagy and associated with FTD and ALS (Maruyama *et al.*, 2010; Fecto *et al.*, 2011; Rubino *et al.*, 2012; van der Zee *et al.*, 2014). These findings emphasized once more a major role of defective autophagy in neurodegeneration (Mizushima *et al.*, 2008).

We sequenced the coding sequence of *TBK1* in a Belgian cohort of 481 unrelated FTD and FTD-ALS patients, and 147 ALS patients, and identified 10 patients carrying a

loss of function mutation. The overall *TBK1* loss of function mutation frequency in the Belgian FTD and ALS patient cohort was 1.7%, of which 1.1% in FTD, 4.5% in FTD-ALS and 3.4% in ALS patients. This made *TBK1* loss of function mutations the third most frequent cause of FTD and the second most frequent cause of ALS in the Belgian patient cohorts (Gijssels *et al.*, 2015a). One loss of function mutation, p.Glu643del, segregated in an extended FTD-ALS family, DR158 (Fig. 1A). In two *TBK1* carriers, brain and spinal cord was investigated and the pathological diagnosis was TDP type B (Gijssels *et al.*, 2015a). Here, we describe the clinical characteristics of *TBK1* carriers with FTD or ALS. We also compared the genotype–phenotype data of FTD patients with a *TBK1* mutation with those of FTD patients with a causal mutation in *GRN* or *C9orf72*, two other genes implicated in FTLTD TDP-43 (Sieben *et al.*, 2012), and those of 259 patients with FTD without identified mutations. With the current study we aimed to discover specific clinical characteristics which can suggest the presence of a *TBK1* mutation. In particular for patients with (a familial history of) both FTD and ALS, specific clinical characteristics could help in a clinically based differentiation with *C9orf72* carriers.

## Materials and methods

### Patients and relatives

The FTD, FTD-ALS and ALS patients were part of larger cohorts recruited since 1998 by neurologists of different university and general hospitals nationwide, collaborating in the framework of the Belgian Neurology (BELNEU) consortium. Index patients were evaluated using a standard protocol, which included a detailed clinical and family history, clinical neurological examination, neuropsychological testing, biochemical analyses, and neuroimaging. The diagnosis of possible or probable FTD was made according to the international consensus criteria (Gorno-Tempini *et al.*, 2011; Rascovsky *et al.*, 2011). Patients with a combination of the behavioural and the language variant of FTD at presentation were denoted as mixed FTD. Diagnosis of FTD-ALS was made in patients with FTD who also met the criteria for clinical possible or probable ALS according to the revised El Escorial criteria (Brooks *et al.*, 2000; de Carvalho *et al.*, 2008). Autopsy and neuropathological examinations had been performed for two *TBK1* carriers. Additional relatives of mutation carriers for whom detailed neurological records were available were included in the current study. All data records, medical records, neuroimaging studies, and autopsy reports were reviewed, and the demographic, clinical and pathological characteristics of each patient were summarized in a standardized format. Among relatives of Family DR158, segregating the *TBK1* p.Glu643del mutation, there were two affected relatives of the index patient with FTD-ALS who were diagnosed with dementia unspecified (Gijssels *et al.*, 2015a). These patients with unspecified dementia were combined with the group of FTD/FTD-ALS patients in the clinical studies of

*TBK1*. More detailed information on the clinical evaluation and technical investigations can be found in the Supplementary material.

For molecular genetic studies, index patients and their relatives were contacted by trained research nurses. Detailed information on family history of dementia and/or ALS was gathered, and additional patients and unaffected family members were asked to participate in genetic studies. A positive family history was defined as the occurrence of at least one affected relative with dementia or motor neuron disease. Criteria for autosomal dominant inheritance were defined as the occurrence of at least three affected individuals with dementia or motor neuron disease in the pedigree in two or more generations. Written informed consent for participation in the clinical and genetic studies, and for brain autopsy when appropriate, was obtained from participants and/or from their legal guardians. The clinical study protocol and the informed consent forms for patient ascertainment were approved by the local ethics committees of each of the collaborating neurological centres. The genetic and pathological study protocols and informed consent forms were approved by the Ethics committee of the University Hospital of Antwerp and the University of Antwerp, Belgium.

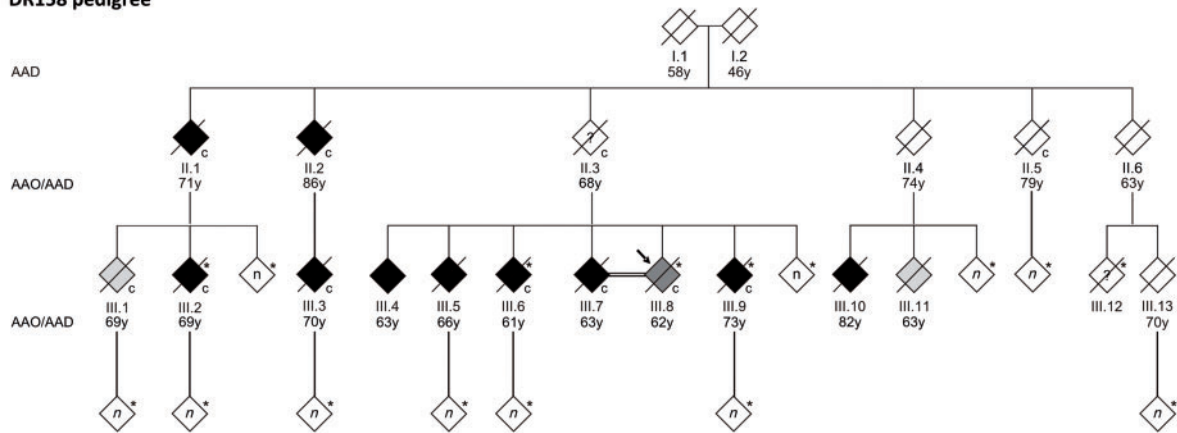
### Molecular genetic analysis

The coding region of *TBK1* was sequenced using massive parallel sequencing as described in the Supplementary material and in Gijssels *et al.* (2015a). All carriers of a repeat expansion mutation in *C9orf72* or a loss of function mutation in *GRN* (Cruts *et al.*, 2006), were included in the current genotype–phenotype correlation study performed in the Belgian FTD and FTD-ALS cohort. *C9orf72* repeat expansion testing was performed by repeat-primed PCR, as described (Gijssels *et al.*, 2012). *GRN* loss of function mutations were detected using Sanger sequencing or next-generation sequencing. Data of affected relatives of index patients were included if they or their offspring carried the mutation. The genetic and clinical characteristics of the *C9orf72* and *GRN* carriers are summarized in Supplementary Table 1. The mutation-negative FTD patients ( $n = 259$ ) were analysed for mutations in *GRN*, *C9orf72*, *MAPT* and *VCP*, as well as for 10 other Mendelian genes associated with other major neurodegenerative brain diseases, i.e. Alzheimer's disease, prion disease, ALS and Parkinson's disease (*APP*, *PSEN1*, *PSEN2*, *PRNP*, *TARDBP*, *FUS*, *SOD1*, *LRRK2*, *PARK2*, *SNCA*). The genetic profiling of the known genes was performed by use of a next-generation sequencing gene panel based on high-level multiplex PCR amplification of targeted exons using the Multiplex Amplification of Specific Targets for Resequencing (MASTR) technology ([www.multiplicom.com](http://www.multiplicom.com)), followed by sequencing on a MiSeq platform (Illumina). The non-mutation carriers in this paper were also negative for the *C9orf72* repeat expansion and *TBK1* loss of function mutations.

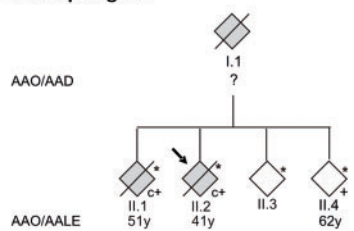
### Statistical analysis

Average onset age and average disease duration were compared between ALS and FTD patients carrying a *TBK1* mutation, using a Mann-Whitney U-test because of the small

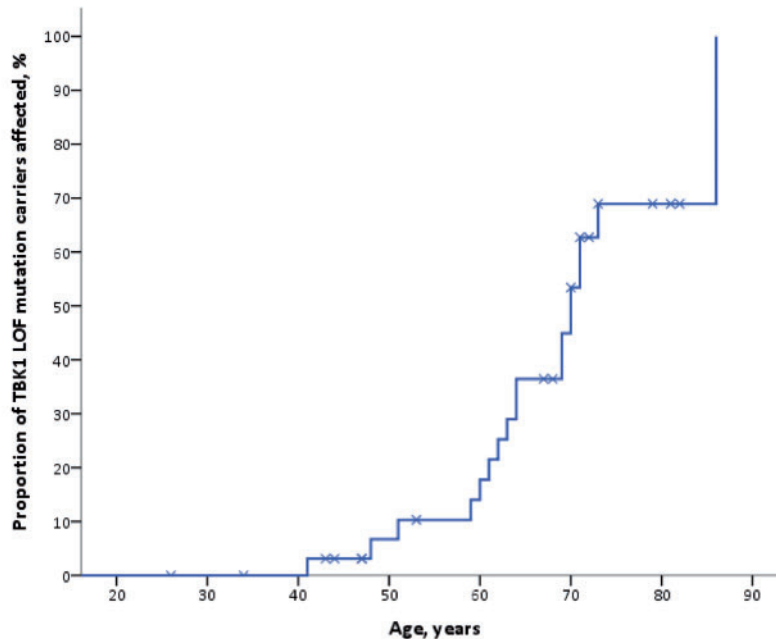
**A DR158 pedigree**



**B DR663 pedigree**



**C Liability risk curve for TBK1 LOF mutation carriers**



**Figure 1 Families segregating the *TBK1* mutation p.Glu643del. (A)** DR158 pedigree; **(B)** DR663 pedigree. To protect the privacy of the family members, the gender of each person was masked, the order of sibs was scrambled and the number (n) of tested at-risk individuals shown in white diamonds is not specified. Filled symbols are clinically affected patients with their age at onset (AAO) in years (y) below the symbol. Age at death (AAD) is shown for individuals who died at old age without symptoms. Age at last evaluation (AALE) is shown for currently unaffected mutation carriers that are still alive. An asterisk identifies the family members of whom genomic DNA was available and a 'c' identifies the *TBK1* p.Glu643del mutation carriers. A '+' sign indicates which DR663 members are carriers of the *C9orf72* repeat expansion. The arrow identifies the proband of the family. Only the clinically affected patients known to carry the mutation and of whom clinical records were available, were described in this paper. Other family members pictured as clinically affected were not described because the clinically affected state was only based on oral information obtained from relatives or because their mutation status was not known. **(C)** Liability risk curve for *TBK1* loss of function (LOF) mutation carriers. This curve shows the proportion (%) of *TBK1* loss of function mutation carriers (y-axis) that is affected at a certain age (years) (x-axis). The crosses stand for censoring of the patients that are clinically still unaffected at the last time of clinical evaluation or at death. The crosses are placed on the curve at the age of last evaluation or at the age of death.

**Table 1** Genetic, demographic and clinical data of *TBK1* loss of function mutation carriers

<i>TBK1</i> mutation	Patients/relatives <sup>a</sup>	Gender	Familial history	Age at onset, y	Age at death, y	Disease duration, years	Clinical diagnosis	Diagnosis subtype	
p.Glu643del c.1927_1929delGAA	DR158	III.1	Male	F-AD	69	72	3	ALS	Spinal onset
		III.2	Male	F-AD	69	75	6	FTD	BvFTD
	DR467	III.3	Male	F-AD	70	73	3	D	
		III.6	Female	F-AD	61	74	13	FTD	BvFTD
	DR663	III.8 <sup>b</sup>	Female	F-AD	62	74	11	FTD-ALS	BvFTD, spinal onset
		III.9	Female	F-AD	73	84	11	D	
	DR1044 <sup>c</sup>	DR467	Female	F	64		> 9	FTD	BvFTD
		II.1 <sup>c</sup>	Male	F-AD	51	53	2	ALS	Bulbar onset
	DR1121	II.2 <sup>b,c</sup>	Male	F-AD	41	41	< 1	ALS	Bulbar onset
		DR1044 <sup>c</sup>	Male	S	63	66	3	ALS	Unknown
p.Gly272_Thr331del c.992+1G>T	DR1122	Female	S	70		> 6	FTD	PPA	
	DR189 <sup>d</sup>	Male	F	69		> 7	FTD	BvFTD	
p.Ser398Profs*11 c.1192delT	DR1123	Male	F	48	50	2	FTD	BvFTD	
p.Ser518Leufs*32 c.1551_1552insTT	DR1124 <sup>d</sup>	Female	U	59		> 5	ALS	Bulbar onset	
p.Asp167del c.499_501delGAT	DR1127	Male	S	64	64	< 1	ALS	Bulbar onset	
				60	61	1	ALS	Unknown	

<sup>a</sup>Roman numbers indicate affected relatives in Families DR158 and DR663 (Fig. 1).

<sup>b</sup>Patient III.8 is the proband of Family DR158, Patient II.2 of Family DR663.

<sup>c</sup>Carriers of a *C9orf72* repeat expansion mutation.

<sup>d</sup>Patients with a pathological diagnosis of TDP-43 proteinopathy.

D = dementia unspecified; F = familial; F-AD = familial-autosomal dominant; S = sporadic. cDNA is numbering based on NM\_013254.3.

sample group. Comparison of average onset age and average disease duration between carriers of a mutation in one of the three genes (*TBK1*, *C9orf72* or *GRN*), or non-mutation carriers, was performed using the non-parametric Kruskal Wallis test and Mann-Whitney U-test because onset age and disease duration were not normally distributed in all groups (Shapiro-Wilk test) and because of the small group of *TBK1* mutation carriers. Clinical characteristics were compared using a Fisher exact test. All tests were two-tailed and the level of significance was set at  $P < 0.05$ .

## Results

### Demographic characteristics

Demographic, genetic and clinical data of all *TBK1* carriers diagnosed with FTD, FTD-ALS or ALS are summarized in Table 1. The mean age at onset of *TBK1* carriers was  $62.1 \pm 8.9$  years (range 41–73) with a mean disease duration of  $4.7 \pm 4.5$  years (range 1–13). Three *TBK1* carriers also had a *C9orf72* repeat expansion: Patient DR1044 and two members of Family DR663 (Fig. 1B and Table 1). When excluding these three double mutation carriers, the mean onset age of *TBK1* carriers was  $64.5 \pm 6.7$  years (range 48–73) and the mean disease duration  $5.7 \pm 4.8$  years (range 1–13). Patients with ALS, including the three *C9orf72* carriers, had an earlier mean age at onset ( $58.1 \pm 9.4$  years) than patients with FTD ( $65.5 \pm 8.0$  years) and a shorter mean disease duration ( $1.7 \pm 1.0$  years and  $7.1 \pm 4.8$  years, respectively), almost reaching the level of significance ( $P = 0.054$  and  $P = 0.052$ ,

respectively). However, when we excluded the three *C9orf72* repeat expansion carriers, the difference in onset age was much smaller ( $65.5 \pm 8.0$  years in FTD versus  $63.0 \pm 4.5$  years in ALS) ( $P = 0.214$ ). The disease duration was still much shorter in ALS patients than FTD patients ( $1.6 \pm 1.3$  years and  $7.1 \pm 4.8$  years' respectively), but not significantly ( $P = 0.143$ ).

A liability risk curve based on onset age or age at last clinical evaluation of *TBK1* carriers and relatives ( $n = 34$ ; 18 affected, 16 unaffected), showed that 50% of the *TBK1* carriers were affected by the age of 70 (Fig. 1C). Conversely, four *TBK1* carriers were still unaffected at ages of 73, 79, 81 and 82 years. Six carriers were members of the same family, Family DR158, in which the *TBK1* mutation, p.Glu643del, co-segregates with disease (Gijssels *et al.*, 2015a) (Fig. 1A). In Families DR158 and DR663 (Fig. 1B), the segregation pattern was compatible with autosomal dominant inheritance. Further, four index patients had at least one affected relative with dementia or motor neuron disease, but the criteria we used for autosomal dominant inheritance were not met. Three index patients did not have a documented familial history of neurodegenerative diseases, and for one index patient we had no information.

### Behavioural, language and cognitive dysfunction

Of the *TBK1* carriers, five had behavioural variant FTD, one PPA, one behavioural variant FTD plus ALS and two unspecified dementia. Details on their behavioural,



occurrence of an early amnesic syndrome, one patient, Patient DR1122, received initially a diagnosis of amnesic mild cognitive impairment, and another patient (Patient III.2) in Family DR158, was diagnosed with Alzheimer's disease. In this last patient, doubt persisted in the differential diagnosis between the frontal variant of Alzheimer's disease and FTD. However, all patients with FTD also developed important behavioural problems early in the disease.

One patient, Patient DR1124, who was eventually diagnosed with bulbar ALS, presented initially with memory problems and a score of 24/30 on MMSE (Mini-Mental State Examination). The patient also exhibited signs of behavioural disinhibition and impulsivity. However, this patient soon developed a rapidly progressive form of bulbar ALS that overruled the cognitive symptoms and no further diagnostic workup was performed concerning cognition.

Psychiatric symptoms were present in seven *TBK1* carriers: six of nine patients with FTD, FTD-ALS or unspecified dementia and one ALS patient. Six of these patients were members of Family DR158. In this family, we observed a very high load of psychiatric diseases (relapsing depressions, alcohol abuse, bipolarity and schizophrenia) in *TBK1* carriers as well as non-mutation carriers.

## Motor neuron disease and extrapyramidal symptoms

Seven *TBK1* carriers had a diagnosis of pure ALS and one a diagnosis of co-occurring ALS and FTD. Four ALS patients had a bulbar onset and two a spinal onset, and of two we had no records or information on the site of onset. We had detailed clinical information of five ALS patients. The index patient of the Family DR158 (Patient III.8) presented with initial symptoms of progressive gait problems, aggressive behaviour and reduced spontaneous speech. Signs of upper motor neuron disease as hyperreflexia and spasticity, especially of the right leg and the left arm, were most prominent at presentation. The re-evaluation 7 years post-diagnosis, in which the patient had not been followed by a neurologist, revealed a totally mute patient, bed-ridden in decorticate posture with gastrostomy tube. Further, Patients DR1123 and DR1124 had most prominent upper motor neuron signs. Patient DR1123 initially presented with bulbar dysarthria, and later, progressive gait disturbances appeared and fine motor skills decreased. One and a half years after disease onset, he developed important respiratory weakness with need for BiPAP (bilevel positive airway pressure) and dysphagia with placement of PEG (percutaneous endoscopic gastrostomy). Discrete muscle atrophy was present. After 3.5 years he had developed quadriparesis with spasticity and hyperreflexia. Patient DR1124 developed severe bulbar speech with important bulbar affect lability, over a period of 8 weeks. Discrete atrophy of the tongue with fasciculations was present. The patient was diagnosed with a rapidly progressive ALS and developed a spastic quadriparesis over a period of only 3 months. In contrast, Patient II.1 of Family

DR663 did not present with any pyramidal signs. His first complaints consisted of dysarthria and dysphagia. First, the diagnosis of myasthenia gravis was assumed and a beneficiary effect of pyridostigmine treatment on the dysphagia was noted. During follow-up, the patient developed slight limb muscle atrophy. Patient III.1 of Family DR158 had clear upper motor neuron as well as lower motor neuron signs. The patient presented with gait disturbances, balance problems and extrapyramidal symptoms (poker face, hypokinesia and positional tremor). Subsequently, he rapidly developed limb paresis, fasciculations, muscle atrophy and hyperreflexia.

One patient with FTD (Patient III.6) of Family DR158 displayed hypertonia of the left hemicorpus with Babinski sign on the left side and a central left facial nerve paresis. These clinical symptoms were hypothesized to be related to the pronounced right frontal lobe atrophy on brain MRI. Patient III.3 of Family DR158 had a diagnosis of multiple sclerosis since the age of 47. He exhibited paresis of the lower limbs with spasticity, hyperreflexia and Babinski signs, which were attributed to his diagnosis of secondary progressive multiple sclerosis. Later in the disease he developed paresis and spasticity of the upper limbs as well.

In four patients with FTD and one patient with ALS, extrapyramidal symptoms were reported. In all FTD patients rigidity was present, one also exhibited bradykinesia and another, a resting tremor. The patient with ALS presented with positional tremor and bradykinesia. All patients with extrapyramidal symptoms were carriers of the *TBK1* p.Glu643del mutation.

## Technical investigation findings

Records of performed structural imaging were available for all *TBK1* carriers with a clinical diagnosis of FTD or FTD-ALS, two patients with unspecified dementia and two patients with ALS (Table 3). Various patterns of atrophy were observed on visual inspection. When atrophy was localized, the temporal region was most frequently involved. Asymmetric atrophy was seen in five patients. In four, the right hemisphere was more severely affected than the left hemisphere. On the MRI images in Patient III.3 of Family DR158, demyelinating lesions were present at the semioval centre, in the periventricular regions and infratentorial at the level of the brainstem, compatible with his diagnosis of multiple sclerosis.

Functional imaging was performed in three *TBK1* carriers with a FTD diagnosis, consisting of single-photon emission computed tomography (SPECT) in one patient and PET with <sup>18</sup>F-fluorodeoxyglucose (FDG-PET) in two patients. In all, predominant hypoperfusion or glucose hypometabolism was seen in the frontal and temporal regions. The parietal regions were also involved, but to a lesser extent. Also, in all, the pattern of hypoperfusion or glucose hypometabolism was asymmetric. In two patients, the left side was more severely affected and in one patient the right side. FDG-PET images of the two patients with predominant left side involvement (Patients DR1121 and

**Table 3** Imaging findings in *TBKI* carriers

Patients/ relatives <sup>a</sup>		Structural imaging		Functional imaging (FDG PET/SPECT)	
		Localization atrophy	Symmetry	Localization hypometabolism/ hypoperfusion	Symmetry
DR158	III.1	No clear atrophy			
	III.2	H, O > G (incl. C)	R > L		
	III.3	FR	BI		
	III.6	T > G (incl. C)	(T) R > L		
	III.8 <sup>b</sup>	T, C	(T) R > L		
	III.9	G	BI		
DR467		H, T	(T) R > L		
DR663	II.1 <sup>c</sup>				
DR1121		FR, T > G	L > R	FR, T > P	L > R
DR1122		No clear atrophy		FR, T > P	L > R
DR189 <sup>d</sup>		T > FR, P	BI	FR, T, P	(FR, P) BI R > L (T)
DR1123					
DR1124 <sup>d</sup>		T, H	BI		

<sup>a</sup>Roman numbers indicate affected relatives in Families DR158 and DR663 (Fig. 1).

<sup>b</sup>Patient III.8 is the proband of Family DR158.

<sup>c</sup>Carrier of a *C9orf72* repeat expansion mutation.

<sup>d</sup>Patients with a neuropathological diagnosis of TDP-43 proteinopathy.

BI = bilateral; C = cerebellar; FDG = fluorodeoxyglucose; FR = frontal; G = global; H = hippocampal; L = left; O = occipital; P = parietal; R = right; SPECT = single-photon emission computed tomography; T = temporal.

DR1122) are illustrated in Fig. 2. Both patients displayed a similar pattern of frontal, anterior and medial temporal and, to a lesser extent, parietal hypometabolism. However, the pattern was less extended in Patient DR1121, who was clinically diagnosed with PPA. The other patient, Patient DR1122, clinically diagnosed with behavioural variant FTD, also exhibited language difficulties such as word retrieval difficulties, impaired repeating and loss of spontaneous speech. In Patient DR1122, amyloid PET imaging was also performed, which was not compatible with Alzheimer’s disease. Lumbar puncture with evaluation of the levels of β-amyloid, total tau and phospho-tau<sub>181P</sub> in CSF was performed in three *TBKI* carriers with FTD. In all three, the CSF biomarkers profile was not compatible with Alzheimer’s disease. In one, there was an isolated increased total tau.

We had detailed information on EMG investigations in five *TBKI* carriers with ALS. In three, chronic denervation signs such as giant potentials and reduced interference patterns were reported. In one, active denervation signs and fasciculations were also observed. Remarkably, EMG in Patients DR1123 and DR1124 did not show obvious neurogenic changes, compatible with their clinical presentation of predominantly upper motor neuron signs.

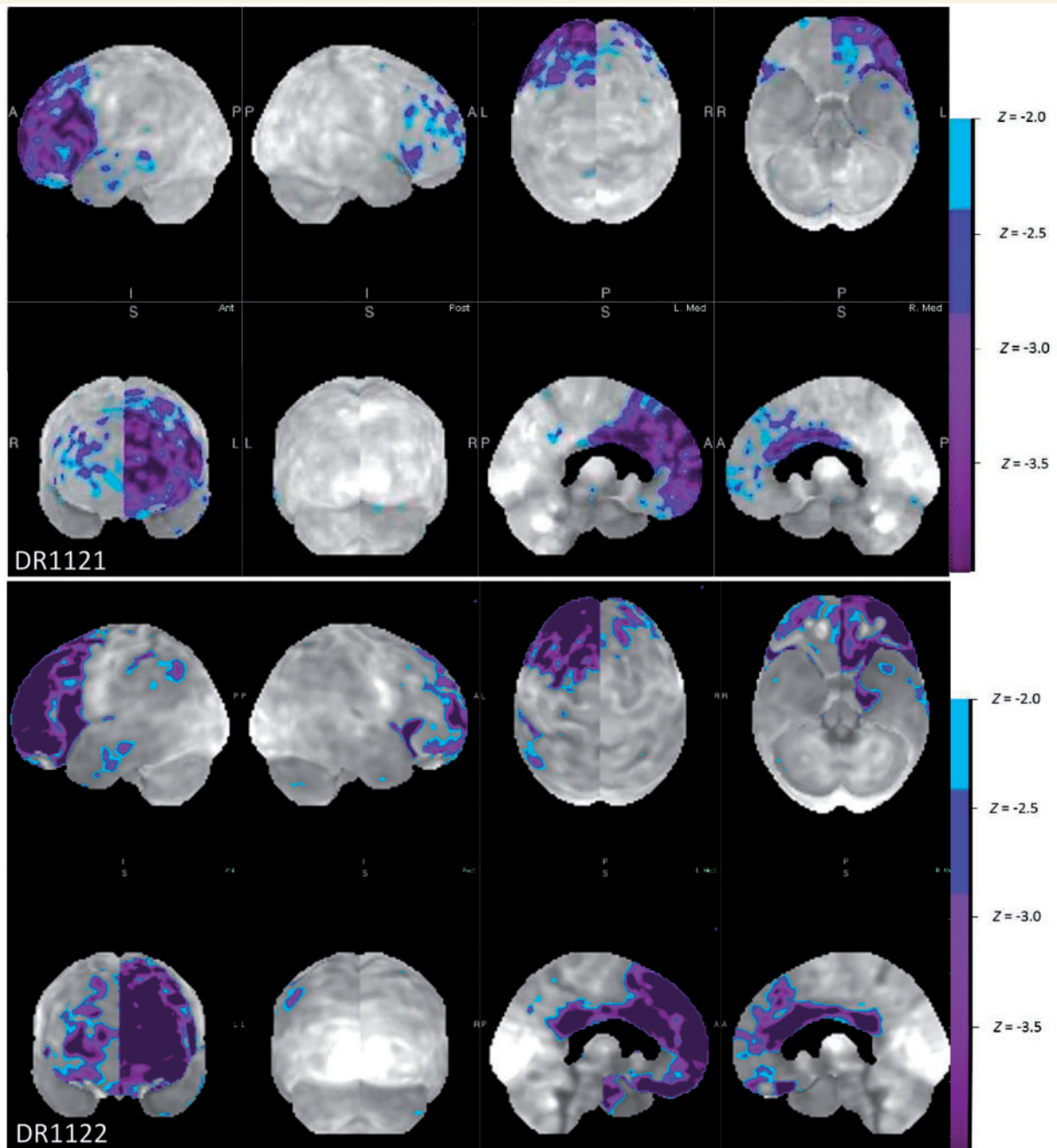
## Neuropathology

The clinical diagnosis of FTD was autopsy-confirmed in one *TBKI* carrier, Patient DR189. Macroscopically, there was a mild atrophy of the frontal cortices and to a lesser extent of the temporal neocortex (Fig. 3A). Furthermore, mild hippocampal atrophy was present. Microscopically, a moderate

number of TDP-43 neuronal cytoplasmic inclusions and short dystrophic neurites were detected throughout all layers of the frontal and temporal neocortices, in the hippocampal dentate gyrus, the parahippocampal transentorhinal cortex, the neostriatum and the pallidum [Fig. 3B(i and ii)]. No neuronal intranuclear inclusions were observed. In the internal capsule, a moderate amount of glial cytoplasmic inclusions were present. These lesions stained positive for p62 [Fig. 3B(iv and v)], and to a lesser extent for ubiquitin. These findings were compatible with FTLDP type B proteinopathy (Mackenzie *et al.*, 2011).

In Patient DR1124, the diagnosis of ALS was autopsy-confirmed. Macroscopically, mild cortical frontal and mild hippocampal atrophy was noted. Pathological signs of lower motor neuron disease were present, manifesting as neuronal loss in the hypoglossal nucleus as well as in the ventral horns of the cervical and thoracic spinal cord. Additionally, loss of Betz cells and severe demyelination of the pyramidal tract in mesencephalon, pons, medulla oblongata and the entire spinal cord confirmed the presence of upper motor neuron disease. The TDP-43- and p62-positive neuronal cytoplasmic inclusions were mainly restricted to the lower motor neurons, i.e. the hypoglossal nucleus and the ventral horn neurons of cervical and thoraco-lumbar spinal cord [Fig. 3B(iii–vi)]. The findings of TDP-43 staining in the cortex were most suggestive for TDP type B proteinopathy. Besides the TDP-43 pathology, a moderate amount of AT8-immunoreactive tufted astrocytes and ‘neurofibrillary tangle’-like neuronal cytoplasmic inclusions was found in the frontal and temporal neocortex (Fig. 3C). More technical details on the performed neuropathological investigations can be found in the Supplementary material.





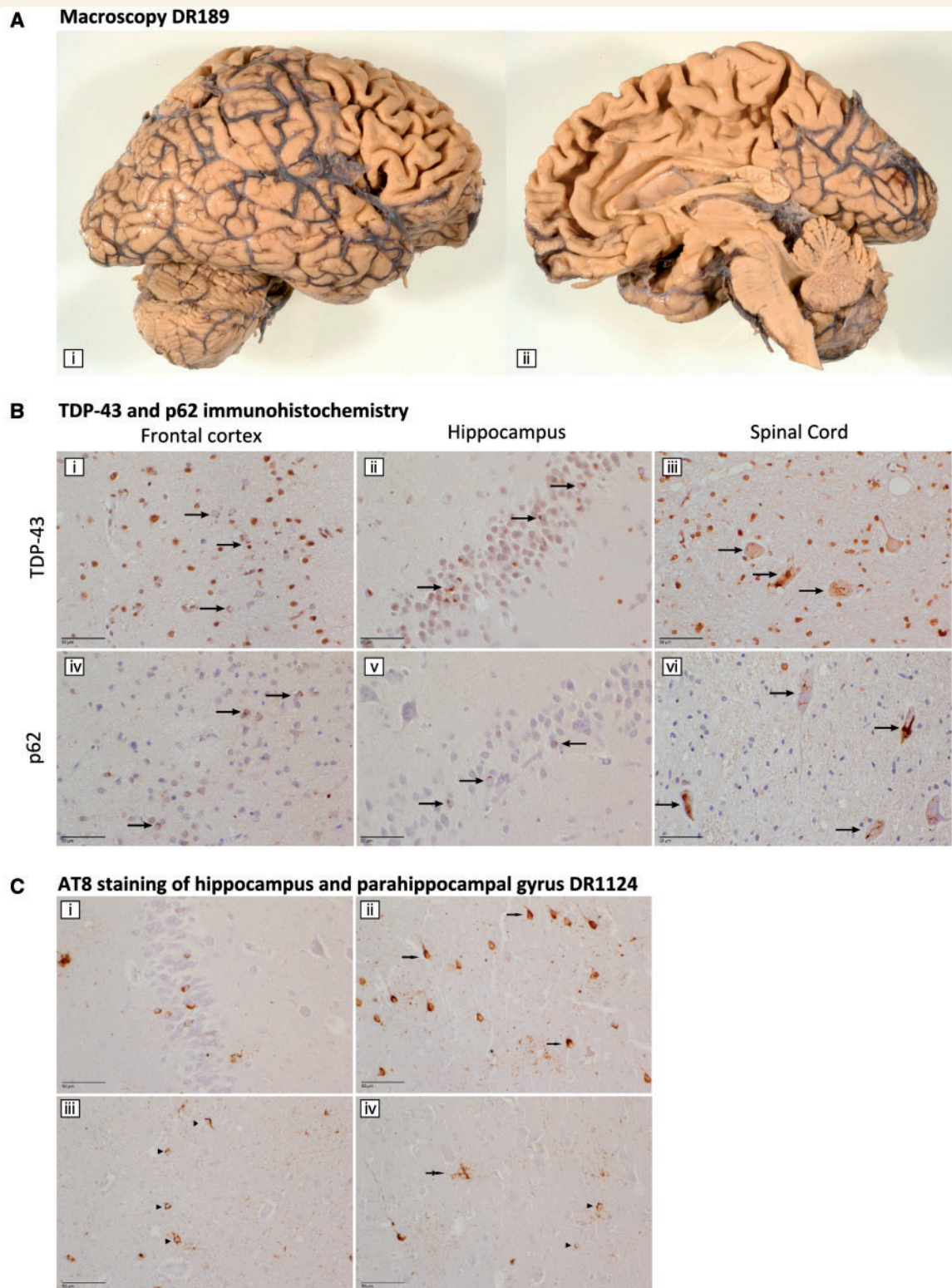
**Figure 2 Neuroimaging using FDG-PET.** These images are z-map renderings of the FDG-PET in Patients DR1121 and DR1122. The z-maps are calculated by comparing the individual glucose metabolic pattern to the normal age-matched control. Both imaging series show a similar pattern of remarkable asymmetry with a severely decreased metabolism in the left frontal, and anterior and medial temporal lobe. These results are much more asymmetric than age could explain, do not follow typical age-related patterns, and are much more intense.

## Genotype–phenotype correlations in patients with FTD

Demographic and clinical data of the seven *TBK1* carriers with FTD were compared to 65 *C9orf72* carriers, 52 *GRN* carriers and 259 non-mutation carriers (Table 4). The mean onset age in the *TBK1* carriers was  $63.3 \pm 7.7$  years, whereas *C9orf72* carriers were significantly younger at

disease onset ( $54.3 \pm 9.2$  years,  $P = 0.019$ ) (Fig. 4A). When only taking into account the index patients, the significance remained ( $P = 0.049$ ). The mean disease duration of *TBK1* carriers was relatively long ( $8.2 \pm 4.9$  years), but not significantly different than that of the other patient groups.

Of the seven *TBK1* carriers with FTD, one was also diagnosed with ALS (14.3%). ALS was so far not reported



**Figure 3 Neuropathology.** (A) Macroscopy Patient DR189. Macroscopic picture of the right cerebral and cerebellar hemisphere of Patient DR189, from a lateral view (i) and a medial view (ii). (B) TDP-43 and p62 immunohistochemistry. Staining for TDP-43 and p62 of the frontal cortex (i and iv) and the hippocampus (ii and v) of FTLD Patient DR189 and for the spinal cord of ALS Patient DR1124 (iii and vi). TDP-43 and p62 positive neuronal cytoplasmic inclusions are indicated with an arrow. (C) AT8 staining of the hippocampus and parahippocampal gyrus DR1124. AT8 staining showed many neuronal cytoplasmic inclusions in the dentate gyrus of the hippocampus (i). In the parahippocampal cortex, neuronal neurofibrillary tangles or neuronal cytoplasmic inclusions were found (arrow, ii), whereas in the white matter, glial astrocytic cytoplasmic inclusions (arrowhead, iii and iv) and tufted astrocytes (double arrow, iv) were present.

**Table 4** Demographic and clinical characteristics of *TBK1* carriers versus *C9orf72*, *GRN* or non-mutation carriers

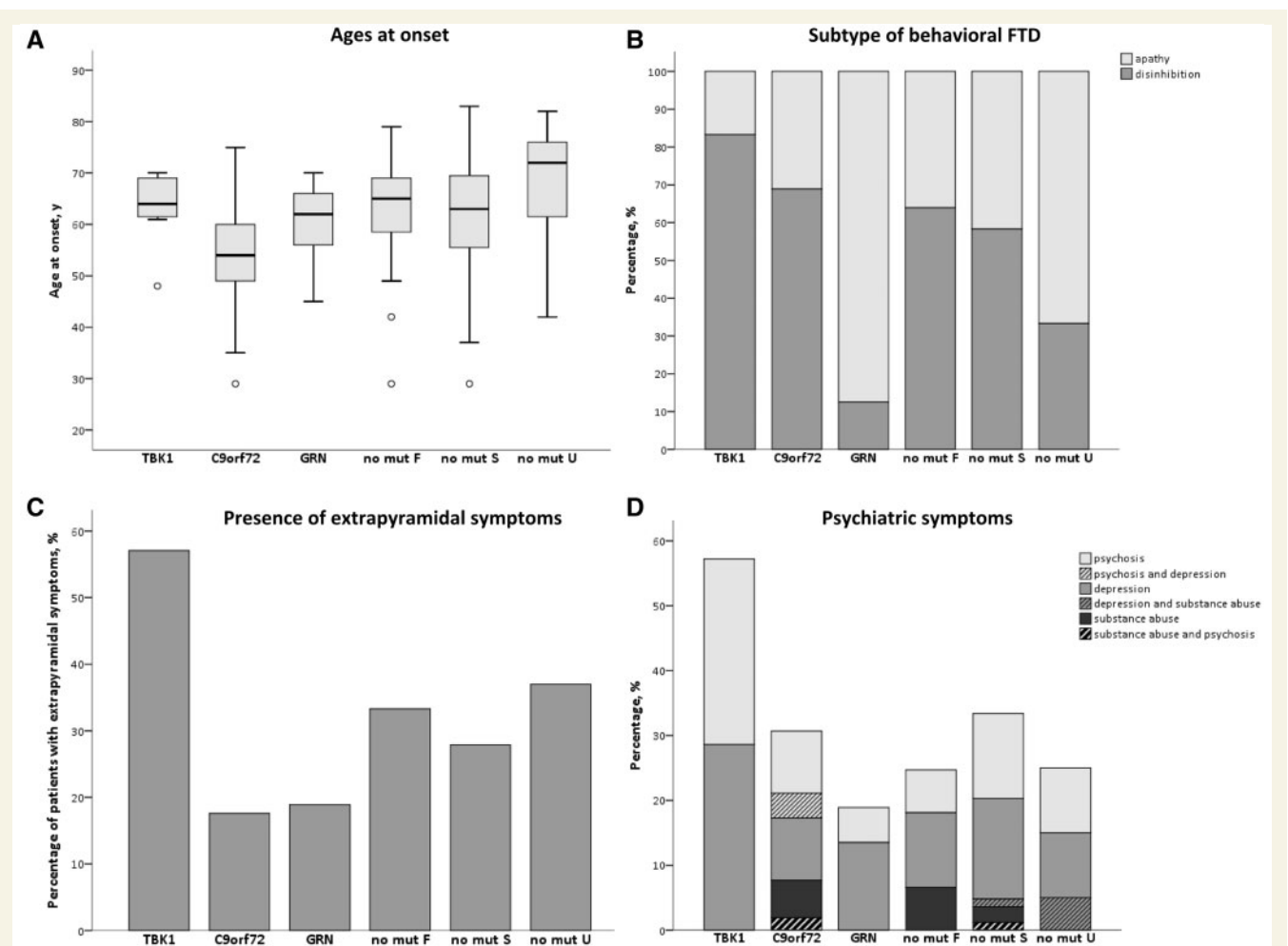
		<i>TBK1</i>	<i>C9orf72</i>	<i>GRN</i>	No mutation		
					Familial	Sporadic	Unknown
FTD patients (index), <i>n</i>		7 (5)	65 (51)	52 (21)	76 (76)	102 (102)	81 (81)
Male, <i>n</i> (%)		3 (42.9)	36 (55.4)	23 (44.2)	44 (57.9)	57 (55.9)	48 (59.3)
Age at onset, <i>y</i>	Mean (SD)	63.3 (7.7)	54.3 (9.2)	61.0 (6.5)	63.9 (9.2)	61.7 (11.0)	68.5 (11.4)
	Median (range)	64 (48–70)	54 (29–75)	62 (45–70)	65 (29–79)	63 (29–83)	72 (42–82)
Disease duration, <i>y</i>	Mean (SD)	8.2 (4.9)	6.0 (4.8)	5.6 (2.1)	6.0 (3.14)	7.1 (4.2)	8.8 (3.0)
	Median (range)	8.7 (2–13)	4.7 (1–19)	5.4 (2–11)	6.0 (1–14)	7.0 (1–22)	9.0 (5–12)
Familial history, <i>n</i> (%)	F-AD	3 (42.9)	35 (53.8)	18 (34.6)	15 (19.7)		
	F	3 (42.9)	21 (32.3)	16 (30.8)	61 (80.3)		
	S	1 (14.3)	3 (4.6)	5 (9.6)		102 (100.0)	
	U	0	6 (9.2)	13 (25.0)			81 (100.0)
Clinical subtype, <i>n</i> (%*)	BvFTD	6 (85.7)	51 (83.6)	19 (45.2)	41 (63.1)	62 (67.4)	19 (65.5)
	PNFA	0	5 (8.2)	16 (38.1)	5 (7.7)	13 (14.1)	4 (13.8)
	SD	1 (14.3)	3 (4.9)	4 (9.5)	14 (21.5)	7 (7.6)	4 (13.8)
	LA	0	0	0	0	2 (2.2)	0
	PPA U	0	0	0	1 (1.5)	0	0
	Mixed FTD	0	2 (3.3)	3 (7.1)	4 (6.2)	8 (8.7)	2 (6.9)
Behavioural subtype, <i>n</i> (%*)	Apathetic	1 (16.7)	14 (31.1)	14 (87.5)	13 (36.1)	25 (41.7)	6 (66.7)
	Disinhibition	5 (83.3)	31 (68.9)	2 (12.5)	23 (63.9)	35 (58.3)	3 (33.3)
FTD-ALS, <i>n</i> (%*)	Total	1 (14.3)	15 (23.1)	0	1 (1.3)	5 (4.9)	3 (3.7)
	Bulbar onset	0	3 (4.6)	0	0	1 (1.0)	1 (1.2)
	Spinal onset	1 (14.3)	3 (4.6)	0	1 (1.3)	0	0
	Bulbospinal onset	0	5 (7.7)	0	0	3 (2.9)	0
	Unknown onset	0	4 (6.2)	0	0	1 (1.0)	2 (2.5)
Extrapyramidal symptoms, <i>n</i> (%*)		4 (57.1)	9 (17.6)	7 (18.9)	22 (33.3)	24 (27.9)	10 (37.0)
Psychiatric symptoms, <i>n</i> (%*)	Total	4 (57.1)	16 (30.7)	7 (18.9)	15 (24.6)	28 (33.3)	5 (25.0)
	Psychosis	2 (28.6)	8 (15.3)	2 (5.4)	4 (6.6)	12 (14.3)	2 (10.0)
	Depression	2 (28.6)	7 (13.4)	5 (13.5)	7 (11.5)	14 (16.7)	3 (15.0)
	Abuse	0	4 (7.7)	0	4 (6.6)	4 (4.8)	1 (5.0)

BvFTD = behavioural variant of FTD; F = familial; F-AD = familial-autosomal dominant; LA = logopenic progressive aphasia; PNFA = progressive non-fluent aphasia; PPA U = primary progressive aphasia unspecified subtype; S = sporadic; SD = standard deviation; U = unknown.

\*Percentage (%) within group of patients for whom we have information.

in any FTD patient with a *GRN* mutation. *C9orf72* carriers had the highest proportion of FTD-ALS patients with 23.1%. This was a significantly higher proportion than in familial non-mutation FTD patients (1.3%,  $P < 0.001$ ), sporadic non-mutation FTD patients (4.9%,  $P = 0.001$ ) and non-mutation FTD patients with unknown familial history (3.7%,  $P = 0.001$ ). Similar results were obtained when only including the index patients ( $P < 0.001$ ,  $P = 0.002$  and  $P = 0.001$ , respectively). One of seven *TBK1* carriers was diagnosed with PPA, which was a similar proportion as in FTD patients caused by a *C9orf72* repeat expansion (14.3% versus 13.1%). The *GRN* carriers were the only patient group in which there was a higher proportion of PPA diagnoses in comparison to behavioural variant FTD diagnoses (47.6% PPA versus 45.2% behavioural variant FTD). *GRN* carriers presented significantly more often with PPA (mostly progressive non-fluent aphasia) compared to *C9orf72* carriers (13.1%,  $P < 0.001$ ) and sporadic non-mutation carriers (23.9%,  $P = 0.009$ ). In the index patients-only analysis, there was only a significant difference in proportion of PPA patients between *GRN* carriers (7/16, 43.8%) and *C9orf72* carriers (7/47, 14.9%) ( $P = 0.033$ ).

Behavioural disinhibition and social inappropriate behaviour was more frequent than apathy in *TBK1* carriers with behavioural variant FTD (83.3% and 16.7%, respectively). This is in contrast with *GRN* carriers who present significantly more often with apathy as the most prominent behavioural characteristic (87.5%,  $P = 0.004$ ) (Fig. 4B). In the behavioural variant FTD index patients-only analysis, 75.0% (3/4) of the *TBK1* carriers had behavioural disinhibition and social inappropriate behaviour as most prominent behavioural feature in comparison with only 16.7% (1/6) of the *GRN* carriers, although comparative analysis did not reveal significant differences ( $P = 0.190$ ). *TBK1* carriers presented often with extrapyramidal symptoms (57.1%), which was significantly more often than *C9orf72* carriers (17.6%,  $P = 0.038$ ) and borderline significantly more often than *GRN* carriers (18.9%,  $P = 0.054$ ) (Fig. 4C). In the index patients-only analysis, significance was reached for *TBK1* index carriers (3/5, 60.0%) in comparison with *GRN* carriers (1/14, 7.1%) ( $P = 0.037$ ), but lost for *TBK1* carriers in comparison with *C9orf72* carriers (8/42, 19.0%) ( $P = 0.076$ ). A high incidence of psychiatric symptoms such as psychosis, depression and substance abuse, was observed in *TBK1* carriers (57.1%). In all



other patient groups, the proportion of patients with psychiatric symptoms was smaller, but in comparative analyses no significance was reached, although a borderline result was obtained in comparison with *GRN* carriers (18.9%) ( $P = 0.054$ ) (Fig. 4D). In the index patients-only analysis, a smaller proportion of *TBK1* carriers had psychiatric symptoms (2/5, 40.0%), which was not significantly more than in any other group.

## Discussion

Loss of function mutations in *TBK1* are the third most frequent cause of clinical FTD after *C9orf72* and *GRN* in

the Belgian patient cohort, and the second most frequent cause of clinical ALS after *C9orf72* (Gijssels *et al.*, 2015a). Here, we described the detailed clinical characteristics of 16 *TBK1* carriers, of which six were clinically diagnosed with FTD, seven with ALS, one with FTD-ALS and two with unspecified dementia. Also, we provided the results of the genotype-phenotype characteristics of *TBK1* carriers compared to those of *C9orf72* and *GRN* carriers, and non-mutation carriers with FTD.

The age at onset of *TBK1* carriers was variable with a mean age at onset of 62 years. However, 75% of the *TBK1* carriers developed the disease after the age of 62, with two mutation carriers still unaffected at an age above 80. The latter carriers are members of Family DR158, in which the

*TBK1* p.Glu643del mutation co-segregated with disease (Gijssels *et al.*, 2015a). This family has a high load of patients with psychiatric symptoms that may have complicated the diagnosis of FTD. Consequently, it is not excluded that early symptoms of FTD might have been wrongly interpreted in some carriers as psychiatric disease symptoms, or vice versa. However, our data suggest that the clinical penetrance of *TBK1* loss of function mutations might be incomplete as three carriers had no documented familial history of neurodegenerative disease and only 50% of the *TBK1* carriers from our cohort of index patients and relatives were affected by the age of 70.

The *TBK1* carriers with FTD developed the first symptoms significantly later than *C9orf72* carriers with FTD. However, this finding should be cautiously interpreted as the p.Glu643del mutation was over-represented among the *TBK1* carriers. Carriers of this specific mutation exhibited a milder phenotype with significantly later onset age ( $66.3 \pm 3.9$  years) and longer disease duration ( $8.3 \pm 1.8$  years) than carriers of another *TBK1* mutation (Gijssels *et al.*, 2015a). Two p.Glu643del carriers with ALS were siblings in Family DR663 and had remarkably young onset ages (Patient II.1, 51 years and Patient II.2, 41 years; Fig. 1). These two ALS patients had also inherited a *C9orf72* expansion mutation as did a third sibling (Patient II.4) who only carried the *C9orf72* repeat expansion and was still unaffected at the age of 62 (Gijssels *et al.*, 2015a). This observation seems to support an interaction between the *TBK1* and *C9orf72* genes in patients carrying a mutation in both genes, lowering onset age (Cady *et al.*, 2015). Another ALS carrier of p.Glu643del, Patient DR1044, (Table 1), carried a ‘short’ *C9orf72* expansion of 59 repeats units and had a disease onset at 63 years. We showed that *C9orf72* carriers of a short repeat expansion (range 45 to 80 repeat units), had a significantly later age at onset than those with long repeats (Gijssels *et al.*, 2015b).

The disease duration of *TBK1* carriers is relatively long, particularly in patients with FTD ( $8.2 \pm 4.9$  years). Though not significant, the disease duration was much shorter in *TBK1* carriers with ALS. These observations were in line with earlier observations in *C9orf72* carriers with ALS (Cruts *et al.*, 2013).

The majority of patients with FTD with a *TBK1* mutation were diagnosed with the behavioural variant of FTD. We found a comparable fraction of behavioural variant FTD in *TBK1* carriers as in *C9orf72* carriers, the latter known to present most often with behavioural variant FTD (Van Langenhove *et al.*, 2013; Cooper-Knock *et al.*, 2014). Similar to these *C9orf72* carriers, behavioural disinhibition and social inappropriate behaviour was more frequent than apathy in *TBK1* carriers. This is in contrast with *GRN* carriers who more often presented with the language variant, mostly with progressive non-fluent aphasia (Cruts *et al.*, 2006; Cruts and Van Broeckhoven, 2008; Gijssels *et al.*, 2008), and in case of behavioural variant

FTD, with apathy as the dominant feature (Beck *et al.*, 2008).

Psychiatric symptoms were present in >50% of the *TBK1* carriers. However, as six of the seven *TBK1* carriers with psychiatric symptoms belonged to the same Family DR158, we cannot draw firm conclusions regarding overall occurrence of psychiatric symptoms in *TBK1* carriers. As only five *TBK1* carriers with FTD were index patients, the non-significant result of the comparison with other mutation carriers only taking into account index patients is not sufficient to reject the hypothesis that *TBK1* carriers present more frequently with psychiatric symptoms. Therefore, research in larger cohorts of unrelated *TBK1* carriers will be needed.

Interestingly, memory loss and disorientation in time and/or space were common early in the disease course of *TBK1* carriers with FTD. Therefore, technical investigations were more often necessary to allow a clear differentiation between FTD and Alzheimer’s disease. In Patient III.2 of Family DR158 (Table 3), doubt persisted in the clinical diagnosis between the frontal variant of Alzheimer’s disease or FTD. This is in line with the observations by Pottier *et al.* (2015), who described a *TBK1* mutation in four patients with pathological diagnosis of FTLD or FTLD-MND of which two had a clinical diagnosis of Alzheimer’s disease. Together, these observations suggest that screening of clinically diagnosed Alzheimer’s disease patients for mutations in *TBK1* might be advisable. In Patient DR1124 (Table 1), who clinically presented with cognitive deficits and subsequently developed a rapidly evolving ALS phenotype, at autopsy we observed TDP-43 proteinopathy in the motor neurons while hyperphosphorylated tau pathology was present in the hippocampus (Fig. 4) in the absence of other proteinopathies. The question remains whether this hippocampal tauopathy might explain the cognitive decline in the patient, or whether it is merely a sign of ageing associated tauopathy. Three *TBK1* carriers with ALS exhibited prominent upper motor neuron signs and in two of them no obvious electrophysiological evidence of lower motor neuron disease was present upon disease presentation. However, neuropathological examination in Patient DR1124 showed that TDP-43 and p62 positive neuronal cytoplasmic inclusions were mainly restricted to the lower motor neurons. Only one of the *TBK1* carriers was diagnosed with FTD-ALS, preventing a genotype–phenotype comparison with FTD-ALS patients carrying a *C9orf72* expansion mutation. ALS occurred in the current study in 23.1% of the *C9orf72* carriers in the Belgian FTD cohort, a similar result as the previous observation of 27% by Van Langenhove *et al.* (2013). In the same FTD cohort, none of the *GRN* carriers had ALS. *TBK1* carriers with FTD presented significantly more often with extrapyramidal symptoms than *C9orf72* carriers and, when only taking into account index patients, than *GRN* carriers. Noticeably, all *TBK1* carriers with extrapyramidal symptoms were carriers of the p.Glu643del mutation. Parkinsonism is said to occur in 20–30% of FTD patients

(Park and Chung, 2013), particularly linked to *MAPT* and *GRN* mutations (Hutton *et al.*, 1998; Baker *et al.*, 2006; Boeve and Hutton, 2008). Future studies in larger cohorts of carriers of different *TBK1* mutations are needed to examine whether the association with extrapyramidal symptoms holds true.

Structural neuroimaging in *TBK1* carriers showed various patterns of cortical atrophy, which could be global or localized with frontotemporal predominance but also with involvement of the parietal regions, the hippocampus or the cerebellum. These variable findings are reminiscent of findings in *C9orf72* carriers who exhibited widespread patterns of atrophy with predominant atrophy of the frontal and, to a lesser extent, of the anterior temporal lobes, without regional specificity, and with involvement of the parietal lobes and the cerebellum (Whitwell *et al.*, 2012). In contrast with the generally symmetric pattern of atrophy in *C9orf72* carriers (Boeve *et al.*, 2012; Mahoney *et al.*, 2012), more than half of our *TBK1* carriers displayed an asymmetric pattern of atrophy (most frequently with right predominance). The variable widespread patterns are in contrast with the more characteristic patterns observed in *GRN* carriers who have most prominent atrophy in the temporal and parietal lobes (Whitwell *et al.*, 2009a; Rohrer *et al.*, 2010) or in *MAPT* carriers who present with most prominent atrophy in the anteromedial temporal lobes (Whitwell *et al.*, 2009b; Rohrer *et al.*, 2010). As in *C9orf72* carriers and *GRN* carriers, neuropathology of *TBK1* carriers is characterized by TDP-43 pathology. Neuropathological examination had been carried out in one FTD and one ALS patient and was compatible with TDP-43 type B proteinopathy, as was reported in other studies (Freischmidt *et al.*, 2015; Pottier *et al.*, 2015). One study also reported TDP-43 type A in *TBK1* carriers with FTD (Pottier *et al.*, 2015).

Our study has some limitations, as we identified in the Belgian patients a relatively low number of *TBK1* carriers and also lacked detailed clinical information on three of the carriers with ALS. Consequently, our observations in the genotype–phenotype comparisons with *C9orf72* carriers, *GRN* carriers and non-mutation carriers were often not or borderline significant and need to be examined in larger groups of *TBK1* carriers. Also, not all *TBK1* carriers received the same investigations, since this study was largely performed retrospectively. The fact that six *TBK1* carriers were members of the same family might have influenced some of our results, such as the high load of psychiatric symptoms, which might be due to other genetic factors shared among relatives.

In conclusion, carriers of a *TBK1* loss of function mutation have a variable, but on average late onset age (58.1 years in ALS patients and 63.3 years in FTD patients). Most patients with FTD present with behavioural variant FTD, with disinhibition as the most prominent feature. Memory loss is remarkably present in the initial phase of the disease. Extrapyramidal symptoms occur rather often, especially in carriers of the p.Glu643del mutation. On

neuroimaging, various patterns of atrophy as well as of hypoperfusion or hypometabolism can be seen. Neuropathologically, *TBK1* carriers are characterized by TDP-43 pathology. Based on the observed genotype–phenotype correlations and the frequency of causal mutations in *C9orf72*, *GRN* and *TBK1* in our Belgian cohort, we propose the following guidelines for the genetic diagnostic setting. After a negative result for the *C9orf72* repeat expansion, which still remains the major cause for FTD-ALS and behavioural variant FTD, patients with FTD-ALS or behavioural variant FTD should preferably be tested first for loss of function mutations in *TBK1*, specifically in FTD patients with early memory difficulties in combination with behavioural problems. Furthermore, in patients with a relatively late onset age or in patients who present with extrapyramidal symptoms, *TBK1* loss of function mutations, especially the *TBK1* mutation p.Glu643del, should be considered.

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## Supplementary material

Supplementary material is available at *Brain* online.

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## Appendix I

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