

# A Novel Mouse Model for Acute and Long-Lasting Consequences of Early Life Stress

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**Chronic early-life stress (ES) exerts profound acute and long-lasting effects on the hypothalamic-pituitary-adrenal system, with relevance to cognitive function and affective disorders. Our ability to determine the molecular mechanisms underlying these effects should benefit greatly from appropriate mouse models because these would enable use of powerful transgenic methods. Therefore, we have characterized a mouse model of chronic ES, which was provoked in mouse pups by abnormal, fragmented interactions with the dam. Dam-pup interaction was disrupted by limiting the nesting and bedding material in the cages, a manipulation that affected this parameter in a dose-dependent manner. At the end of their week-long rearing in the limited-nesting cages, mouse pups were stressed, as apparent from elevated basal plasma corticosterone levels. In addition, steady-state mRNA levels of**

**CRH in the hypothalamic paraventricular nucleus of ES-experiencing pups were reduced, without significant change in mRNA levels of arginine vasopressin. Rearing mouse pups in this stress-provoking cage environment resulted in enduring effects: basal plasma corticosterone levels were still increased, and CRH mRNA levels in paraventricular nucleus remained reduced in adult ES mice, compared with those of controls. In addition, hippocampus-dependent learning and memory functions were impaired in 4- to 8-month-old ES mice. In summary, this novel, robust model of chronic early life stress in the mouse results in acute and enduring neuroendocrine and cognitive abnormalities. This model should facilitate the examination of the specific genes and molecules involved in the generation of this stress as well as in its consequences. (Endocrinology 149: 4892–4900, 2008)**

**C**HRONIC EARLY-LIFE stress (ES) in children is associated with later life morbidity, including depression and anxiety (1, 2). These are accompanied by dysfunction of the hypothalamic-pituitary-adrenal (HPA) axis, a system that is central in orchestrating the stress response (2, 3). Therefore, understanding how ES influences the developing brain both short- and long-term and specifically how chronic ES affects the HPA axis and stress-sensitive brain functions including learning and memory has significant clinical importance. Animal models enable studies that dissect out the direct effects of ES from potential genetic and other confounders. Therefore, rodent and primate models of acute and repeated ES have been set up and investigated over the past half a century (3–12).

In several models of recurrent or chronic ES using immature rats, changes were evident at every level of the HPA axis. In these models, basal plasma corticosterone (CORT) and ACTH levels were significantly elevated, as were relative adrenal weights, indicative of chronic stress. In contrast, expression levels of the stress-regulating neuropeptide CRH were reduced in the hypothalamic paraventricular nucleus (PVN) (6, 13). In addition to these alterations of basal levels of the components of the HPA axis, plasma CORT and ACTH responses to subsequent stress were higher in rats that had

experienced ES and remained elevated longer than their control counterparts (14, 15). This may indicate decreased negative feedback to the HPA axis after chronic ES (13–15).

The consequences of chronic ES on basal HPA axis levels and on its tone, *i.e.* the activation of this system in response to stress, were enduring. Thus, in response to an acute stressor, CORT responses of adult rats that had experienced chronic ES might be higher (16–18). In addition, chronic ES was found to lead to anatomical derangements in the hippocampus, a structure that plays a role in dampening the stress response (19–24) and is critical for spatial learning and memory (18, 21, 25). Following chronic ES, dendritic length and branching complexity were reduced in hippocampal pyramidal neurons (18), and this was associated with both cellular and cognitive/functional deficits. Specifically, long-term potentiation was severely impaired in hippocampal CA3 and CA1 synapses, and performance in tests examining spatial learning and memory was deficient in adult male rats that had experienced chronic ES (3, 18, 25, 26).

Whereas rat models have contributed significantly to our understanding of the profound consequences of chronic ES on the integrity and function of the hippocampal-HPA axis both acutely and long term, the molecular mechanisms involved are only partially resolved. Genetic engineering in mice provides a powerful tool to probe the role of individual genes and molecules, even within specific anatomical locations, in these mechanisms (27–32). Therefore, we set out to characterize a chronic ES model in the mouse and define the molecular basis of the HPA axis changes involved.

Separation from the mother, a powerful stressor for the developing rodent, is useful as an acute or recurrent stressor but is impractical chronically because of the resulting ina-

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Abbreviations: AVP, Arginine vasopressin; CORT, corticosterone; ES, early-life stress; HPA, hypothalamic-pituitary-adrenal; ISH, *in situ* hybridization; P, postnatal day; PVN, paraventricular nucleus; SSC, saline sodium citrate.

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nition and hypothermia of the pups. In addition, the mother may be present in human chronic ES, but her interaction with the infant may be unpredictable and erratic (33–35). Therefore, in the model presented here, pup stress was evoked via fragmented maternal care, generated by reducing the amount of nesting material available to the dam. We built on data from the rat (13, 15, 18, 36) and tested the hypothesis that modifying the nesting and bedding material provided to C57BL mouse dams would influence maternal behavior and that this altered behavior, in turn, would result in ES in the pups. We examined the effects of this ES model on HPA axis components including basal plasma corticosterone levels, hypothalamic CRH expression levels as well as arginine vasopressin (AVP) expression. Finally, we evaluated the longevity of the effects of this ES on HPA axis parameters and, in addition, analyzed selective behavioral measures in adult ES-experiencing mice compared with controls.

## Materials and Methods

### Animals

A total of 28 C57BL/6J primiparous, timed pregnant mice (Jackson Laboratory, Bar Harbor, ME) and their offspring of both genders ( $n = 132$ ) were initially used to adapt an existing rat model of chronic early life stress to the mouse. Dams assigned to either the control or the ES group were shipped concurrently during embryonic d 11–13 to eliminate potential variation in prenatal shipment stress. Nine additional dams and their male progeny ( $n = 23$ ) were used to study the long-term effects of chronic ES.

All animals were housed in temperature-controlled, quiet, uncrowded conditions on a 12-h light, 12-h dark schedule (lights on at 0600 h, lights off at 1800 h) with free access to food and water. Experiments were approved by the Institutional Animal Care Committee and carried out according to National Institutes of Health guidelines for experimental animals.

### Chronic early-life stress paradigm

Dams were housed singly and cages were monitored every 12 h for the birth of pups. The day of birth was termed postnatal day (P) 0. On the morning of P2, litters were adjusted to five pups if needed and included both genders.

The ES paradigm was initiated on P2. Control dams ( $n = 7$ ) were placed in cages with standard amounts of corn husk bedding (~650 ml) and nesting material, *i.e.* one square piece of felt-like material measuring  $5 \times 5$  cm. This material was shredded by the dam to create a nest area. In contrast, reduced amount of nesting material was available to ES dams ( $n = 16$ ). For the initial characterization of the model, experimental dams with their pups were placed in cages containing varying amounts of nesting material, consisting of one third, one half, or two thirds of one felt square. In addition, in the ES cages, this nesting material was placed on a fine-gauge aluminum mesh platform (mesh dimensions  $0.4 \times 0.9$  cm, catalog no. 57398; McNichols Co., Tampa, FL), layered approximately 2.5 cm above the cage floor. The cage floor was covered with a small amount of corn husk bedding (~60 ml). This setup permitted mouse droppings to fall below the platform without trapping the pups. In addition, all cages were housed in a room with robust ventilation, avoiding the accumulation of ammonia. Figure 1 shows examples of the stress-provoking and standard cage setups. Both groups were completely undisturbed from P2 to P9.

For short-term studies, animals were killed on P9 between 0700 and 0830 h (1–2.5 h after lights on). Pups were rapidly removed from their cages, weighed, and killed by decapitation. Trunk blood was collected for plasma CORT levels. Attempts at systematic removal of both adrenal glands were unsuccessful because of their size. Brains were rapidly removed onto powdered dry ice and stored at  $-80$  C for analysis of CRH and AVP mRNA.

To examine whether the consequences of chronic ES were persistent, 9-d-old graduates of the control ( $n = 4$  dams) and ES treatment ( $n = 5$

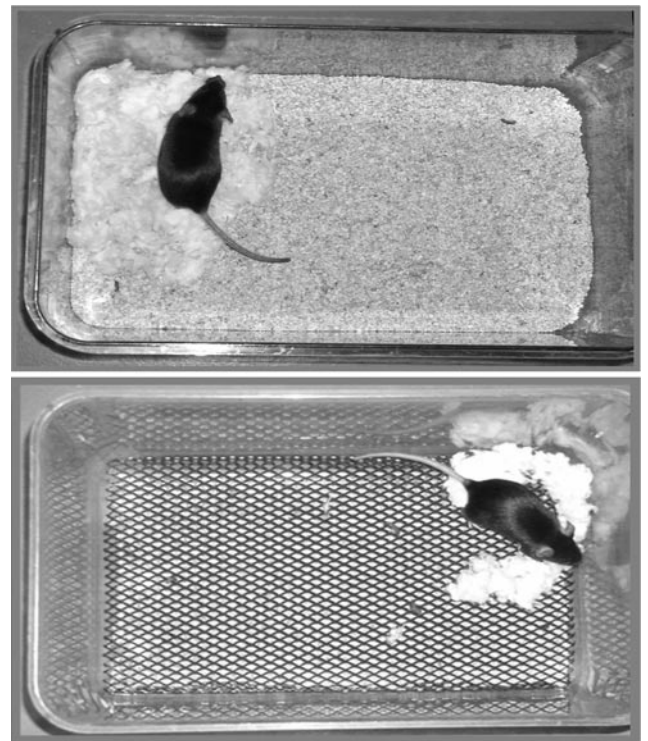


FIG. 1. Photographs demonstrating the setup of a control cage in comparison with cages designed to provoke ES in immature mice. *Top*, A control dam in a cage with the standard amount (~650 ml) of bedding, and one square of felt-like nesting material. *Bottom*, A dam in the limited-nesting cage, with minimal bedding and limited nesting material (one half square). Note the elevated mesh platform that permits dropping elimination. In both cages, the dam has shredded the nesting material to create a confined nest area.

dams, two thirds square nesting material) were permitted to mature. They were transferred to standard control cages as defined above on P9 and weaned at P21. Males ( $n = 10$  control, 13 ES) were housed by group and age (two to five per cage). These mice were tested for hippocampus-dependent learning and memory functions as well as in the open field test at 4–8 months. To examine plasma CORT and PVN-CRH expression, these adult mice were rapidly killed by decapitation between 0800 and 0900 h (2–3 h after lights on), trunk blood was collected and brains were dissected onto powdered dry ice and stored at  $-80$  C for *in situ* hybridization for CRH mRNA. In addition, adrenals were removed and weighed.

### Assessment and analysis of maternal behaviors

The duration of dam presence within the nest area, where she was in contact with the pups, was evaluated on day of life 2–8 of the pups. Control ( $n = 6$  litters) and ES dams ( $n = 12$ ) were observed three times a day in both the light (0900 and 1500 h) and dark (2000 h) phases. Each maternal observation session consisted of 30 min, during which dam-pup interaction was scored every other minute (resulting in 15 1 min epochs). Within each epoch, the duration of dam-pup contact was recorded as well as the number of times the dam left the nest (sorties). To optimize visibility and minimize interference with normal cage activities, the observer used mirrors placed above the cages and employed a transparent screen. Still, observation of licking and grooming of pups was not possible in control cages because the nesting material often formed a dome covering the nest, obstructing the observer's view. Therefore, these parameters were not included for either control or ES groups. However, nest size in both groups was such that the dam was in contact with the pups constantly during her presence in the nest area. Therefore, the duration of interaction of dams with pups could be ascertained and was recorded, together with the frequency of sorties of the dam from the

nest. These were analyzed for each observation session and correlated to both treatment group and each postpartum day, (for lights-on or -off periods) using two-way ANOVA.

#### Assessment of learning and memory function and anxiety-like behavior in adult mice

Three functional tests, spaced a minimum of 4 wk apart, were carried out on adult ES graduates and control cohorts. These tests were conducted in a quiet room between 0800 and 1400 h. Before learning and memory tests, animals were habituated by handling for 5 consecutive days.

**Morris water-maze test.** To assess hippocampus-mediated learning and memory, the hidden platform variation of the test was administered to 4-month-old male mice (18, 37–39). Briefly, spatial cues were placed on four walls surrounding the circular pool (120 cm diameter). Water was opacified with powdered milk and adjusted to  $23 \pm 1$  C. Before the first training day, mice were placed in the water, led to the platform, and trained to sit on the platform for 15 sec. For the acquisition portion of the task, mice were then trained for 8 consecutive days, and given two trials per day with a 5-min intertrial interval. Between the two trials, mice were placed in individual cages lined with paper towels and covered with lids (to avoid hypothermia). The starting quadrant differed randomly for each trial, and latency to reach the platform was measured. If the platform was not reached within 60 sec, the mouse was placed on it (for 15 sec). On the ninth day, a probe trial was conducted (on five mice per group). The platform was removed from the pool, and mice were placed in the quadrant opposite from the platform quadrant and allowed to swim for 60 sec. The amount of time spent in the platform quadrant was measured.

Learning/memory analysis was carried out using two-way ANOVA. Short-term memory was assessed in the second daily trial because the mouse simply had to remember the platform location from 5 min earlier (the first daily test). Long-term memory was assessed from the first daily trial because the mouse had to remember the platform location from the previous day. For this analysis, we commenced on the second day because the first trial of the first day involved no memory.

**Object recognition test.** Seven 8-month-old mice were tested for their ability to remember an object seen on the previous day (18, 38, 40). This test uses two objects that are presented on the first day. On the second day, one of the objects is replaced with a novel one. The test is based on the observation that mice remember an object explored 24 h earlier and typically spend more time with the novel object. The object recognition test was conducted in a white melamine box measuring  $25 \times 31 \times 25$  cm with some bedding. The box was lit indirectly with one 60-W bulb at a distance of approximately 8 feet. Before testing, mice were habituated to the box for 3 d (10 min/d). Mice were allowed to explore objects for 15 min on both the training and testing day, and exploration was defined as facing the object at a distance of 1 cm or less. For both test days, objects were wiped with soapy water after every test. Time spent exploring each object was recorded and object preference during the testing (second) day was expressed as a ratio of time spent with the novel compared with the familiar object. Total exploration time was also compared among groups.

**Open field.** This test for anxiety-like behavior (36, 41) was conducted using a custom white plexiglas box measuring  $43 \times 43 \times 30$  cm (MED Associates Inc., St. Albans, VT). The box floor was marked with a grid of 36 squares ( $6 \times 6$ ). The center 16 ( $4 \times 4$ ) were defined as the center, and the perimeter consisted of the squares adjacent to the box's walls. The box was uniformly lit under bright lighting conditions. Mice aged 5–7 months were placed in the center of the box and allowed to explore for 5 min. The time spent in the periphery of the box was compared with time in the center to generate a measure of anxiety-like behavior.

#### RIA

Plasma CORT levels were measured in pups or adults killed within 5 min of their disturbance. The RIA for plasma CORT levels was performed using a commercial kit (MP Biomedicals, Solon, OH) as previously described (13, 18). Assay sensitivity was 0.5  $\mu\text{g}/\text{dl}$ .

#### Semiquantitative *in situ* hybridization (ISH)

Expression levels of CRH and of AVP mRNA were determined in the PVN using methods described previously (39, 42, 43). Briefly, 20- $\mu\text{m}$  coronal sections were collected on gelatin-coated slides and stored at  $-80$  C. Sections were thawed, air dried, and postfixed in 4% paraformaldehyde in phosphate buffer for 20 min. Sections were dehydrated and rehydrated through graded ethanols (3 min each) and exposed to 0.25% acetic anhydride in 0.1 M triethanolamine (pH 8) for 8 min. After graded dehydration (1 min each), sections were incubated with prehybridization/hybridization buffer containing 50% formamide,  $5 \times$  SET (3 M NaCl, 0.05 M EDTA, 0.6 M Tris buffer) 0.2% sodium dodecyl sulfate,  $5 \times$  Denhardt's solution, 0.5 mg/ml salmon sperm sheared DNA, 250  $\mu\text{g}/\mu\text{l}$  yeast tRNA, 100 mM dithiothreitol, and 10% dextran sulfate in a humidified chamber for 1 h at 42 C.

Expression levels of CRH and AVP mRNA were investigated using  $^{35}\text{S}$ -labeled deoxyoligonucleotide probes complementary to the 39-bp coding to the COOH-most 13 amino acids of CRH (GATAATCTCCAT-CAGTTTCCTGTGCTGTGAGCTTGCTG) and 48 bp for AVP mRNA (GTAGACCCGGGGCTTGGCAGAATCCACGGACTCTTGTGTCCAGCCAG). Concentrations applied per section were  $0.15 \times 10^6$  and  $0.13 \times 10^6$  cpm for CRH and AVP probes, respectively. Briefly, sections were hybridized at 42 C overnight in 0.03 ml hybridization buffer and washed with  $2 \times$  saline sodium citrate (SSC) for 1 h at 42 C, followed by 30 min each in  $1 \times$  SSC and  $0.3 \times$  SSC at room temperature. All sections were then dehydrated in graded ethanols containing 0.3 M ammonium acetate, followed by 95 and 100% ethanol, and apposed to film (BioMax MR film, MR-1; Eastman Kodak, Rochester, NY) for 9 h (AVP) or 4–7 d (CRH).

#### Data analysis and statistical considerations

All analyses were performed without knowledge of treatment group (blindly). mRNA signal was quantified by measuring OD of incorporated radioactivity over the PVN of the hypothalamus using Image Tool (University of Texas Health Science Center, San Antonio, TX; version 1.27). Linearity of hybridization signal was ascertained using  $^{14}\text{C}$  standards (American Radiolabeled Chemicals, St. Louis, MO). Background signal was determined over the thalamus and subtracted from hybridization signal measured over the PVN. Statistical analyses for maternal behaviors are described above. For learning and memory tests, plasma CORT levels, and ISH analyses included *t* test, one-factor ANOVA followed by Bonferroni's *post hoc* test, or two-factor ANOVA, as appropriate. Significance level was set at 0.05, and data are presented as means with se. Analyses were performed using GraphPad Prism software (GraphPad, San Diego, CA).

## Results

#### Reduced bedding and nesting material in the cage influences maternal interaction with her offspring

Reduction of nesting and bedding material (ES cage, shown in Fig. 1) influenced the interactions of mouse dams with their pups. Because nest size was small, dams had contact with offspring for the duration of their presence in the nest area. This duration of dam presence in the nest in contact with the pups (dam-pup interaction) was not affected by the altered cage environment (Fig. 2B). However, the frequency of leaving the nest area (dam sorties) increased significantly in dams housed in ES cages ( $n = 12$ ), compared with those housed in standard cages (controls;  $n = 6$ ). Figure 2A demonstrates the increased number of sorties from the nest by ES dams on P2–8, the duration of the modified cage environment [ $F_{(1,6)} = 12.61$ ,  $P < 0.001$ , effect of nesting material, two-way ANOVA].

The increased number of sorties from the nest area without change in the overall duration of the dam's interaction with the pups [Fig. 2B;  $F_{(1,6)} = 0.01$ ,  $P = 0.91$ , two-way ANOVA] resulted in fragmentation of the dam's nurturing interactions



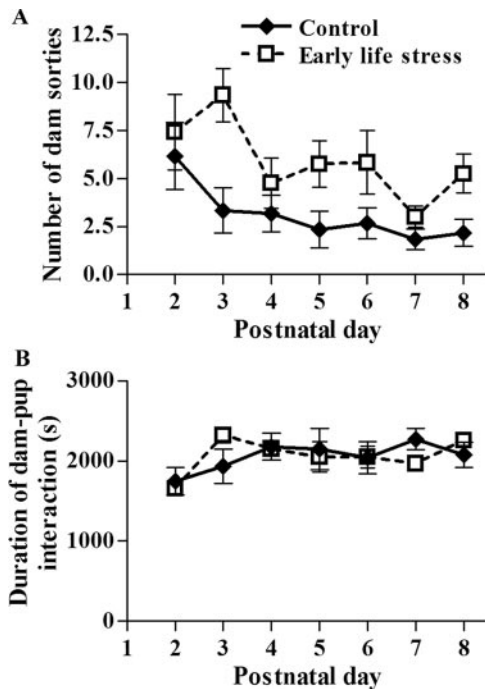


FIG. 2. Duration of dam-pup interaction is similar for limited-nesting (ES) and control dams. In contrast, the experimental dams leave the nest area more frequently than control dams. A, Graphic depiction of the average number of sorties from the nest for control ( $n = 6$ ) and ES ( $n = 12$ ) dams throughout P2–P8, the period of their stay in the modified cages. The number of sorties from the nest was significantly higher for ES dams than controls [ $F_{(1,6)} = 12.61$ ;  $P < 0.001$ , effect of nesting material, two-way ANOVA]. B, Graphic depiction of the duration of dam-pup interaction (measured as time spent by each dam in contact with pups within the nest area) did not differ significantly between the two groups [ $F_{(1,6)} = 0.01$ ;  $P > 0.05$ , two-way ANOVA].

with the pups (Fig. 3A). In other words, whereas the duration or quantity of maternal interaction with the pups was not affected by the limited nesting material, the quality of maternal care, as measured by its continuity and consistency, was disrupted (Fig. 3A). The causal relationship between reduced nesting material and altered dam-pup interaction was supported by the fact that progressive decline in the nesting material was associated with progressive increase in the frequency of dams' sorties from the nest area [Fig. 3B;  $F_{(3,11)} = 7.89$ ,  $P < 0.005$ , one-way ANOVA].

#### Fragmentation of dam-pup interaction leads to chronic stress in the pups

Both fragmentation of maternal interaction with her offspring, as well as the provoking reduction of nesting material, correlated with the pups' plasma CORT levels on the last day of this chronic ES experience: on the morning of P9, plasma CORT levels of ES mice ( $n = 15$ ) were significantly higher than those of controls [ $n = 10$ ; Fig. 4A;  $F_{(3,22)} = 12.52$ ,  $P < 0.0001$ , one-way ANOVA]. In addition, plasma CORT levels correlated with the degree of fragmentation of dam-pup interaction, measured by the frequency of dam sorties from the nest (Fig. 4B;  $r^2 = 0.33$ , linear regression). Whereas adrenal weight in relation to body weight might provide an

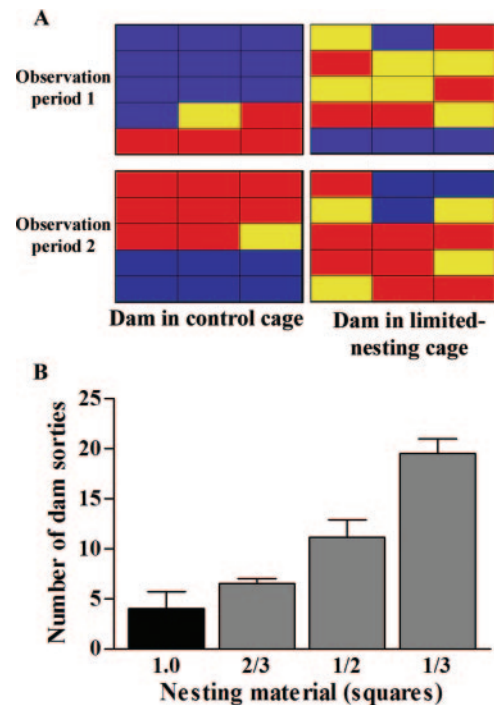
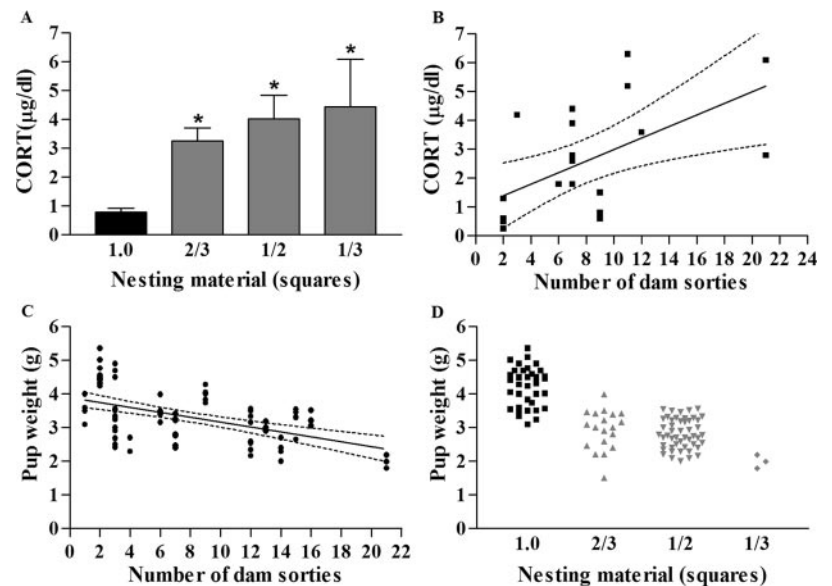


FIG. 3. A, Representative activity grids of control and ES dams, during two matched observation periods. Each grid depicts one dam's activity during 15 1-min epochs, and individual panes are color coded to represent the dam's location/activity during that epoch. Blue, Dam in nest in contact with pups for the total epoch; red, outside nest area; yellow, a mixed epoch. The consistency of control dam behavior is contrasted with the fragmented pattern in the ES dam. B, Correlation of the number of sorties from the nest on P3 and the amount of nesting material provided to the dam. The number of dam sorties from the nest progressively increased as the amount of nesting material (number of felt squares) was reduced [ $F_{(3,11)} = 7.89$ ,  $P < 0.005$ , one-way ANOVA].

optimal measure of chronic stress in neonatal rodents (18), we were unable to obtain these organs systematically and consistently from immature mice. Body weight itself, typically reduced in chronic stress, was influenced by this chronic stress paradigm. As shown in Fig. 4C, average pup weight was inversely proportional to the fragmentation of dam-pup interaction ( $r^2 = 0.26$ , linear regression). Because this quality of the dams' behavior was a function of the nesting material, pup weight also correlated with the amount of nesting material (Fig. 4D).

No significant differences in morning plasma CORT levels, obtained 1–2 h after lights on, were found among groups raised in cages provided with one third, one half, or two thirds felt squares, consistent with a ceiling effect, *i.e.* maximal stimulation of the adrenals provoked already by the more modest reduction of nesting material (Fig. 4A). In addition, a correlation was found between the number of dam sorties from the nest (fragmentation of dam-pup interaction) and plasma CORT levels of the pups (Fig. 4B). The degree of this fragmentation also correlated with the pups' weights (Fig. 4C). Together, these data suggest that body weight and plasma CORT were independently regulated by dam-pup interaction and that plasma CORT elevations were not simply a function of reduced body weight.

FIG. 4. Influence of reduced nesting material and fragmented dam-pup interaction on pups' plasma CORT levels and weight on P9. A, Plasma CORT levels were 3- to 4-fold higher in ES pups ( $n = 15$ ), compared with controls ( $n = 11$ ), and increased significantly as nesting material amounts were progressively reduced [ $F_{(3,22)} = 12.52$ ;  $*$ ,  $P < 0.0001$ , one-way ANOVA]. B, Basal plasma CORT levels correlated significantly with the number of dam sorties from the nest (measured on P3;  $r^2 = 0.33$ , linear regression, 95% confidence intervals are depicted between *dotted lines*). C, Pup weight decreased as a function of the number of dam sorties from the nest ( $r^2 = 0.26$ , linear regression, 95% confidence intervals depicted between *dotted lines*). D, Pup weight was positively correlated with the amount of nesting material [ $F_{(3,103)} = 62.33$ ,  $P < 0.0001$ , one-way ANOVA].



The maximal reduction in nesting material used during the design and testing of this stress paradigm (one third of a felt square) led to a significant reduction in body weight and excess mortality. In contrast, weight of ES mice raised in cages with two thirds or one half felt squares was only moderately lower (30 and 35%) than that of controls (Fig. 4D,  $4.2 \pm 0.1$  vs.  $2.9 \pm 0.1$  and  $2.8 \pm 0.1$  g), although larger than the reduction of weight in rat pups subjected to a similar alteration of cage environment and of dam-pup interactions (13, 18). These data led to the exclusion of mice raised in cages with one third square of nesting material from further study. In addition, these data indicate that chronic ES can be provoked in mouse pups without excessive morbidity by providing the cages with one half to two thirds squares of nesting material.

#### CRH mRNA expression is drastically lower in chronically stressed immature mice

Release of adrenal glucocorticoids in response to stress during the first week of life in the rodent is regulated by hypothalamic CRH (44, 45). In addition, during repetitive or chronic stress, AVP expression may be detectable in CRH-expressing parvocellular neurons in the PVN, in addition to the expression of this peptide in the magnocellular PVN (46, 47). Therefore, we used semiquantitative ISH to measure the steady-state expression levels of these two stress hormones in control and ES P9 mice.

Levels of CRH mRNA in the PVN of ES pups were reduced by approximately 75%, compared with those of controls (Fig. 5,  $P < 0.0001$ ,  $t$  test). In addition, CRH mRNA levels in P9 mice correlated inversely with the number of dam sorties on P3 ( $r = -0.57$ ,  $P = 0.03$ , Spearman correlation). Expression levels of AVP mRNA did not distinguish ES from control mice ( $P = 0.50$ ,  $t$  test; Fig. 6).

#### Neuroendocrine consequences of ES persist to adulthood

The alterations in HPA axis parameters by chronic ES, found on P9 (reduced CRH mRNA expression and increased

morning plasma CORT), persisted to adulthood. Basal plasma CORT levels, measured 2–3 h after lights on, remained elevated in 4- to 7-month-old ES mice, compared with those of controls ( $5.5 \pm 1.1$  vs.  $2.1 \pm 0.4$   $\mu\text{g/dl}$ ;  $P < 0.05$ ,  $t$  test; Fig. 7A). In addition, steady-state levels of hypothalamic CRH mRNA remained about 50% lower in adult ES mice, compared with those of controls ( $P < 0.05$   $t$  test; Fig. 7B). However, the reduction in weight found in ES pups normalized by adulthood ( $P = 0.11$ ,  $t$  test, Fig. 7C). In addition, adrenal weight relative to body weight was not significantly different between control ( $n = 3$ ) and ES ( $n = 4$ ) mice ( $2.0 \pm 0.4 \times 10^{-4}$  vs.  $1.7 \pm 0.2 \times 10^{-4}$ , respectively;  $P = 0.46$ ,  $t$  test) similar to findings in the rat (18).

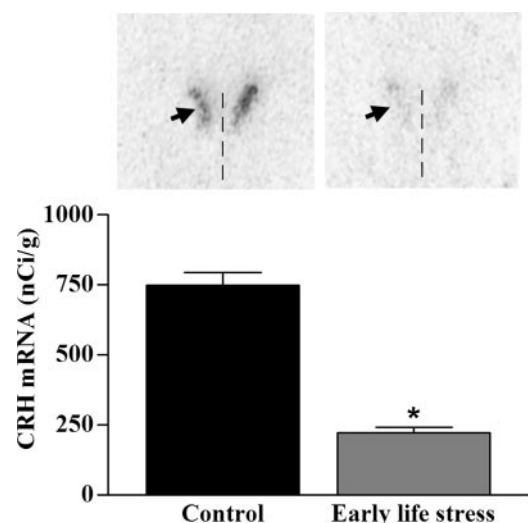


FIG. 5. CRH mRNA expression levels in hypothalamic PVN of pups raised in control ( $n = 6$ ) vs. ES ( $n = 8$ ; one half square nesting material) cages. Semiquantitative analysis of the OD over PVN revealed a significant decrease in CRH mRNA in ES pups, compared with controls ( $*$ ,  $P < 0.0001$ ,  $t$  test). Representative sections are shown. Arrowhead, PVN; vertical line, third ventricle.

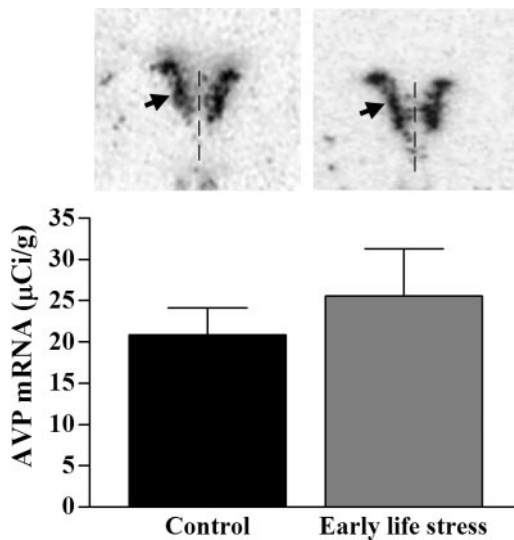


FIG. 6. mRNA expression levels of AVP were not significantly altered in PVN of pups raised in ES ( $n = 4$ ; one half and two thirds squares nesting material) *vs.* control ( $n = 4$ ) cages. Analysis of the OD over PVN after semiquantitative ISH histochemistry revealed comparable expression levels over the PVN ( $P = 0.5$ ,  $t$  test). Representative sections are shown. *Arrowhead*, PVN; *vertical line*, third ventricle.

*Hippocampal learning and memory deficits occur in 4- to 8-month-old mouse graduates of ES without overt anxiety-like behavior*

Spatial learning and memory were tested in adult mice using the Morris water maze test. Performance on this test is known to require an intact hippocampus and has been found to be impaired in early-life stressed rats. In this test, ES mice took significantly longer to reach the platform [Fig. 8A;  $F_{(1,6)} = 6.13$ ,  $P = 0.01$ , two-way ANOVA]. Further dissection of the nature of the learning and memory deficits demonstrated that both long-term memory (apparent from performance of the first test each day) and short-term memory (apparent from performance on the second daily test) were impaired. Thus, testing memory for the previous day (starting on the second trial day) revealed a significant increase in time required to reach the platform for ES mice *vs.* controls [ $F_{(1,5)} = 5.31$ ,  $P = 0.02$ , two-way ANOVA]. Similarly, short-term memory, assessed by the second daily trial, was also impaired in ES mice [ $F_{(1,6)} = 4.43$ ,  $P = 0.04$ , two-way ANOVA]. Performance in the probe test, a long-term spatial memory test, did not differ between groups when these were tested after 8 d of training (probably because of the ES mice eventually learning the spatial cues).

Because the Morris water maze includes forced swimming, which might be stressful, the test might be confounded when comparing naive and ES groups. Therefore, this test was complemented by the object recognition paradigm, which is free from adverse situations. This test has been shown to also require an intact dorsal hippocampus (48). Seven- to 8-month-old control and ES mice subjected to the object recognition paradigm readily explored both objects on both the first (training) and second (testing) day of this memory test, and total exploration time did not differ between the groups ( $212 \pm 14$  *vs.*  $190 \pm 6$  sec;  $P = 0.14$ ,  $t$  test). However, on the second testing day, the controls explored the novel

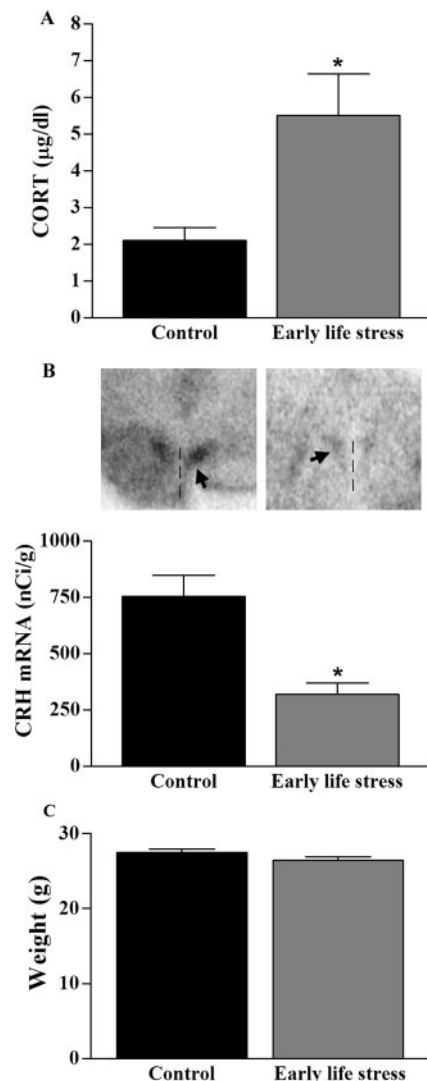


FIG. 7. Neuroendocrine changes after ES persist to adulthood. A, ES led to elevated basal plasma CORT levels ( $n = 3$ /group; \*,  $P < 0.05$ ,  $t$  test). B, CRH mRNA in the PVN was significantly reduced in ES mice ( $n = 3$ ), compared with controls ( $n = 4$ ; \*,  $P < 0.05$ ,  $t$  test). Representative sections are shown. *Arrowhead*, PVN; *vertical line*, third ventricle. C. Weights of 3- to 4-month-old ES ( $n = 11$ ) and control ( $n = 10$ ) mice were not significantly different ( $P > 0.05$ ,  $t$  test).

object for significantly longer periods than the familiar object, whereas ES mice spent almost equal time exploring the novel object and a replicate of the object from the previous day (Fig. 8B). This is consistent with the notion that the ES mice did not remember the familiar object explored the day before (18). Taken together, the results of these two learning and memory tests indicate that hippocampus-dependent cognitive function was impaired in ES mice as long as 7 months after the provoking early stress period. In addition, poor performance on the stress-free object recognition test indicates that these deficits are not simply a result of inability of the ES group to cope with the test conditions.

Indeed, in a test designed to examine for anxiety-like behavior, the open field paradigm, there were no significant differences in percent time spent in the center of the open field by ES *vs.* control mice ( $11 \pm 1$  *vs.*  $12 \pm 1\%$ ;  $P = 0.51$ ,  $t$  test; Fig. 8C).



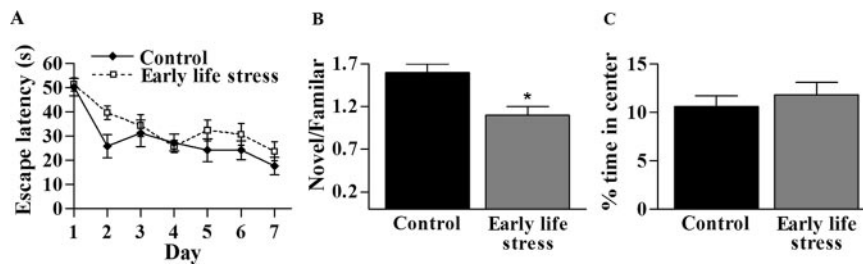


FIG. 8. Hippocampus-dependent learning and memory impairments were evident in adult ES mice. A, Acquisition rate of Morris water maze learning (average of two trials/day) over 7 d. ES mice ( $n = 13$ ) took significantly longer to find the hidden platform, compared with controls ( $n = 10$ ;  $P < 0.05$ , two-way ANOVA). B, The ratio of time spent with a novel object *vs.* a familiar one, a measure of memory, was significantly lower in adult ES mice ( $n = 8$ ), compared with controls ( $n = 6$ ;  $*P = 0.03$ ,  $t$  test). C, Anxiety-like behavior was not more pronounced in adult ES ( $n = 13$ ) *vs.* control ( $n = 10$ ) mice. In the open field test, percent time spent in the center of the chamber was similar between groups ( $P > 0.05$ ).

## Discussion

The major findings of these experiments are: 1) chronic ES can be provoked in the mouse by manipulating cage environment during the first postnatal week; 2) the mechanism by which pup stress is generated involves abnormal dam-pup interaction, induced by limiting nesting material; 3) limited nesting material results in frequent sorties of the dam from the nest which, in turn, fragments dam-pup interaction, provoking stress in the pups; 4) elevated plasma CORT levels in pups are associated with depletion of CRH mRNA stores in the PVN, without changes in AVP expression; and 5) finally, the ES provoked in this novel mouse model results in profound and enduring changes in CRH expression, morning CORT levels, and hippocampus-dependent learning and memory functions. Taken together, these data provide a molecular, neuroendocrine, and cognitive characterization of a reliable model of chronic ES in the mouse.

### Disruption of dam-pup interaction results in chronic ES

The crucial role of maternal presence and the role of dam-derived sensory input in governing the stress response of neonatal rodents has been amply documented (6, 7, 25, 36, 43, 49, 50). In addition, disruption of the maternal behavior by limiting the nesting material provided to the dams has been shown to create chronic ES in rat pups (13, 36). In the current studies, we found that the total duration of dam-pup interaction did not decline in nesting-material depleted cages. Rather, dams tended to leave the nest area more frequently so that each episode of interaction with pups in the nest was shorter. In other words, in the presence of similar duration (quantity) of dam-pup interactions, poor quality of this interaction, defined as lack of consistency and frequent interruption, promoted elevated plasma CORT levels in ES pups. Indeed, the frequency of leaving the nest area (sorties) by each mouse dam was the best predictor of plasma CORT and body weight in her pups.

Note that we cannot comment on the precise nature of dam-pup interactions (*e.g.* nursing, sensory input from licking/grooming) in the nest and the relative disruption of each of these behaviors in the current chronic ES model. This fact is a result of inability to directly observe nurturing behavior in control cages because the abundant bedding and nesting materials obstructed the domed nest. Therefore, the duration of time the dam spent in contact with pups within the nest area was used as a surrogate marker for these activities, and whether subtle disruption of the type or sequence of specific nurturing

activities accompanied the frequent sorties from the nest remains unclear. Still, using fragmentation of dam-pup interaction as an indicator of the quality of this interaction strongly suggests that quality rather than the overall quantity of maternal interaction governed the generation of ES in the pups. This distinction is consistent with reports in the rat on the lack of correlation between total duration of maternal care and HPA axis tone in offspring (51) and on the influence of qualitative measures of dams' nurturing behaviors on HPA-related gene expression in pups (36, 43).

### Comparison of this to other models of ES

Existing studies of ES in the mouse have relied on separating the pups from the mother (52–55). This was done repeatedly, for 3 h per day (52, 54, 55) or once, for a protracted time (53). Single prolonged separation from the dam led to activation of the HPA axis, which involved metabolic signals such as hypoglycemia. Indeed, it was not possible to separate physiological and emotional stress in neonatal mice separated from the dam, and because of the overwhelming metabolic consequences, the duration of the ES generated by maternal separation is limited (6, 53, 56). In the chronic ES model described here, plasma CORT was highly elevated when nesting material was reduced, and pups experiencing chronic ES weighed less than unstressed controls. Interestingly, plasma CORT levels did not correlate with pup weight ( $r^2 = 0.17$ ), suggesting that the abnormal interaction with the dam affected stress hormone levels and body weight independently and that plasma CORT elevations were not simply a function of reduced body weight. Because the overall duration of interaction of the dam with the pups was similar in the control and ES groups it is unlikely that prolonged activation of metabolic signals such as hypoglycemia were the dominant means for generation of the chronic ES in the pups. Rather, the fragmented nature of dam-pup interaction might be at play, as suggested from studies manipulating this parameter in the neonatal rat (43, 57).

Recurrent stress has recently been used in neonatal mice to explore the long-lasting effects of ES, but this approach resulted in minimal behavioral effects during adulthood (52, 55). In a second study (54), recurrent maternal separation failed to provoke the tested outcome, anxiety/depression-like behaviors, in adult graduates from eight different mouse strains. In contrast, mice subjected to the chronic ES model described here had enduring changes in both molecular components of the HPA axis, as well as cognitive function, at 4–8

months of age. Similar to the recurrent ES studies, anxiety-like behaviors in conventional tests were not affected (Fig. 8C). However, defective cognitive function, accompanying severe depression in humans (58), and reported after early-life human stress (59, 60) was observed. These derangements, together with enduring plasma CORT elevation and aberrant CRH mRNA expression, render the current model a useful tool to study the long-lasting consequences of ES and their neurobiological underpinnings.

The current mouse model of ES shares similarities with the rat model, but there are also differences. Similar to the rat, normalization of body weight together with enduring deficits of hippocampus-dependent learning and memory have been found in the current model (11, 18). In contrast to the rat model, plasma CORT levels remain elevated in adult ES graduates and are associated with reduced CRH mRNA expression.

#### *Selective and enduring disruption of stress-activated neuropeptide expression in the hypothalamus*

Elevation of plasma CORT levels in the ES pups led to our examination of the mechanism(s) that might drive the secretion of this stress hormone. Previous work from our group has demonstrated that in the rat and throughout the first 2 postnatal weeks, CRH release was required for stress-induced elevation of plasma CORT (44). In contrast, others found that hypoglycemia-induced elevation of CORT required AVP but not CRH (61). To better understand the potential roles of CRH and AVP in the chronic ES model, in mouse, we examined the hypothalamic expression levels of these two stress-activated regulators of ACTH and CORT secretion. We found a robust reduction of steady-state CRH-mRNA levels and little change in AVP expression. These data are in line with previous findings in the chronic ES rat model (13), in which, in addition, reduced number and activity of CRH receptors was found in the pituitary (13). The lack of change in the AVP expression is distinct from the increased expression of this peptide in adult recurrent stress (47, 62, 63) and does not support (but also does not exclude) the possibility that AVP might assume the role of driving the HPA axis in chronic ES (64).

The reduction of CRH mRNA levels persisted in 5- to 7-month-old ES mice. This finding indicates that the cascade of events set in motion during the neonatal ES reprogrammed the set point of the HPA axis, leading to persistently altered CRH mRNA expression even long after the ES was removed. The nature of the molecular mechanisms responsible for this reprogramming is unclear. Elevated plasma CORT may be partially responsible, exerting negative feedback on CRH expression in PVN (65). More intriguing is the question of what drives high plasma CORT levels in immature and adult ES rats in which PVN CRH expression is low. A role for AVP has not been excluded; alternative hypotheses include a dissociation of CRH mRNA expression and peptide levels and release or ES-induced changes in the sensitivity of the pituitary or adrenal to signals promoting release of stress hormones.

#### *Conclusions*

In summary, a model of chronic ES in neonatal mouse is described, which likely involves both psychological and physiological components. The chronic ES derives from ab-

normal quality (fragmentation) of dam-pup interaction. Importantly, the ES generated in this model results in enduring derangements of the HPA axis and in neuronal dysfunction in the hippocampus.

This model will be a useful tool to study chronic ES. In a practical sense, we recommend the use of one half to two thirds felt squares as nesting material in cages with restricted bedding, to provoke reliable chronic ES with minimal cannibalism. Importantly, we hope that this mouse model will prove a widely usable and powerful tool for using genetic engineering methodologies to investigate the roles of specific genes in the mechanisms of chronic stress early in life as well as the consequences of this stress on the structure and function of HPA axis and cognitive function.

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