

doi: 10.1093/jnci/djx140 First published online August 24, 2017 MiniReview

MINIREVIEW

Chemotherapy-Associated Peripheral Neuropathy in Patients With Early-Stage Breast Cancer: A Systematic Review

Donna R. Rivera, Patricia A. Ganz, Meghan S. Weyrich, Hanna Bandos, Joy Melnikow

Affiliation of authors: Division of Cancer Control and Population Sciences, National Cancer Institute, Rockville, MD (DRR); Schools of Medicine and Public Health and Jonsson Comprehensive Cancer Center, University of California Los Angeles, Los Angeles, CA (PAG); Center for Healthcare Policy and Research, University of California Davis, Sacramento, CA (MSW, JM); NRG Oncology and the University of Pittsburgh, Pittsburgh, PA (HB).

Correspondence to: Joy Melnikow, MD, MPH, Center for Healthcare Policy and Research, University of California, Davis, 2103 Stockton Blvd., Sacramento, CA 95817 (e-mail: iamelnikow@ucdavis.edu).

Abstract

Breast cancer is the most common cancer among women worldwide, and survival rates are increasing. Chemotherapyassociated peripheral neuropathy (PN) is clinically important because of effects on quality of life (QOL) and potential effects on dose limitations. This adverse drug reaction is associated with certain classes of chemotherapy and commonly presents as peripheral sensory neuropathy whose natural course is largely unknown. The literature was reviewed to determine the frequency and characteristics of PN associated with adjuvant chemotherapy in early-stage breast cancer (ESBC) to explore the potential impact on long-term (one or more years after diagnosis) health outcomes and QOL. MEDLINE, PubMed, Embase, and the Cochrane Library were searched for relevant English-language randomized controlled trials, systematic reviews, meta-analyses, and case-control and cohort studies published between January 1990 and July 1996. Included studies were limited to current adjuvant regimens (eg, anthracyclines, taxanes, cyclophosphamide, platinum compounds). Two investigators independently reviewed abstracts, full-text articles, and extracted data from fair- and good-quality studies. Discrepancies in quality assessment and data extraction were resolved by consensus. We identified 364 articles; 60 were eligible for full-text review. Only five reports of four studies provided data beyond one year post-treatment initiation. Studies used different measures to assess PN. Neuropathic symptoms persisted in 11.0% to more than 80% of participants at one to three years following treatment. There is a paucity of data describing persistent PN in ESBC patients. Consistent use of validated measures and well-conducted randomized clinical trials or observational studies are needed to evaluate the incidence, persistence, and QOL associated with the long-term effects of PN.

Increased survival for patients with early-stage breast cancer (ESBC) has steadily transformed the field of breast oncology to require a survivor-centered focus (1). Adjuvant chemotherapy use in the treatment of ESBC is well established (2–6). Adjuvant therapy regimen choice may need to consider toxicity, especially in ESBC patients, whose excellent prognosis requires careful consideration of long-term adverse effects. Current therapies provide meaningful benefits to patients; however,

they carry the risk of long-term adverse outcomes including cardiac, neurologic, and hematologic toxicity, as well as secondary malignancies.

Chemotherapy-associated peripheral neuropathy (PN) is a clinically important treatment toxicity, which may be doselimiting and carry the risk of long-term effects on quality of life (QOL) (7,8). Chemotherapy-associated PN results from specific chemotherapy agents and can cause disruption and

364 citations identified through

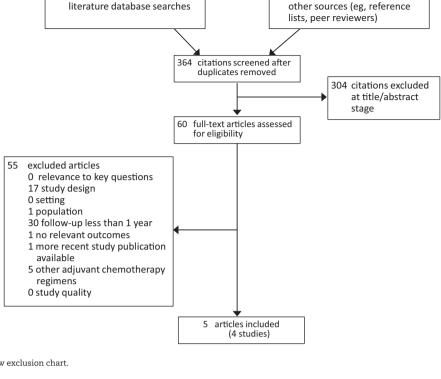


Figure 1. Systematic review exclusion chart.

dysfunction of the somatic (sensory or motor) and/or autonomic nervous systems, leading to discomfort and decreased QOL. Taxanes, platinum compounds, and vinca alkaloids are the major classes of oncology drugs where exposure produces the highest PN risk (9). Over the past two decades, randomized clinical trials (RCTs) have demonstrated the benefits of adding taxanes to adjuvant chemotherapy (2). Dose-dependent exposure relationships are established for these therapies and may result in both acute toxicity and persistent symptoms. PN is related to drug-specific factors, including mechanism of action, dose, schedule (intensity and cumulative dosing), and regimen selection (multi-agent synergy) (7-13).

The duration and prevalence of persistent PN are not well studied, and the underlying mechanisms are only partially understood. Estimates of chemotherapy-associated PN prevalence vary widely. Evidence-based strategies for PN clinical management are very limited, with no effective treatments, and the impact of preexisting comorbid conditions is unknown (7,14). Better information about predisposing factors and long-term treatment risks is important for shared decision-making between ESBC patients and physicians considering adjuvant chemotherapy (15). We conducted a systematic literature review to summarize current evidence on the 1) incidence and prevalence of PN present at one or more years from diagnosis of ESBC among women receiving adjuvant chemotherapy (doxorubicin, epirubicin, paclitaxel, docetaxel, and carboplatin) and 2) effects of PN on patient-reported outcomes (PROs) and QOL.

We conducted a comprehensive search of MEDLINE, PubMed, Embase, and the Cochrane Library for relevant Englishlanguage studies published between January 1990 and July 2016 to identify studies of PN following chemotherapy for ESBC. A full description of the key word search can be found in the Supplementary Materials (available online). Searches were supplemented with reference lists from other relevant systematic reviews and pertinent articles.

We included studies of women with ESBC (stages I-IIIA) receiving adjuvant chemotherapy regimens including anthracyclines, cyclophosphamide, taxanes, or platinum compounds with at least 12 months of follow-up. We excluded studies limited to neoadjuvant chemotherapy or older regimens. RCTs, case-control studies, cohort studies, systematic reviews, and meta-analyses were eligible for inclusion. We excluded studies conducted in countries not ranked as "very high human development" (16) or including women with advanced stage (IIIB-IV) or distant metastases.

14 citations identified through

Studies were rated as "fair" or "good" quality using the Newcastle-Ottawa Scale for nonrandomized studies and the Cochrane criteria for RCTs (17,18). Two investigators independently extracted data and reviewed for accuracy, resolving any discrepancies by consensus. Data extracted included demographics, treatment regimens, and any PN-related outcomes broadly defined to include incidence, prevalence, severity, symptoms, duration, and resolution. Pooled estimates were not possible because of the scant number of studies and variation in PN outcome measures.

We identified 364 articles, from which 60 potentially relevant full-text articles were considered for inclusion. Only five publications describing four studies provided data on relevant outcomes one or more years postdiagnosis (Figure 1). The most common reason for exclusion was follow-up of less than one year (29 studies). Key characteristics of the five included publications are shown in Table 1, and study outcomes are shown in Table 2. Three publications on two good-quality studies (19–21) and two fair-quality studies (22,23) measured PN for one to three years post-treatment.

In 2011, Hershman et al. examined the prevalence and severity of persistent PN in ESBC patients receiving taxane therapy in both a prospective cohort and a cross-sectional study (22). Adjuvant paclitaxel regimens at varying doses were evaluated (most common: 175 mg/m² every two weeks for four cycles).

 Table 1. Systematic review of peripheral neuropathy after breast cancer chemotherapy: Study characteristics*

Hotherson Prospective colorer (1941) at States 1 Co-colourable the providence and developed and some control and states of completing adjoining pathware and completing pathware and completing adjoining adjoining pathware and completing adjoining adjoining adjoining pathware and completing adjoining adjo	Study, y	Design	Country	Aim	Population	Quality rating
women with early-stage breast camer Gross-sectional United States To evaluate the prevalence and sever- study Gross-sectional United States To evaluate the prevalence and sever- study Cross-sectional United States To evaluate the prevalence and sever- study To compare outcomes and toodcites Open-label random- DOC vs FECCAMT Open-label modom- DOC vs FECCAMT Open-label modom- Doc mark To assess duration and severity of FN Vortey and the condense of decembary and severity of FN Vortey and the condense of septemblish 90 mg/m² Age, median (range), y Redian (range), range, y Redian (ra	Hershman et al., 2011 (22)	Prospective cohort study	United States	To evaluate the prevalence and severity of long-term symptoms of neuropathy following adjuvant taxane-based chemotherapy in	Women with a history of stage I–III breast cancer who were within 6 and 24 mo of completing adjuvant paclitaxel therapy and were seen between Feb 2007 and March 2008 Cohort (n = 50 patients)	Fair
Stace thinking State Sta				women with early-stage breast cancer	Age, median (range), y 48 (28–78)	
Cross-sectional United States row evaluate the prevalence and severatedy study To evaluate the prevalence and severatedy study To compare outcomes and toxicities bock by the strain of the strain o					Race/ethnicity, No. (%) White: 16 (32) Hispanic: 23 (46) Black: 8 (16) Other: 3 (6)	
study iny of long-term symptoms of a neuropath following adjuvant tax- ane-based chemotherapy in women and the arity-stage breast cancer and the arity and the arity arity arity and the arity arity arity arity arity and the arity		(ross-sectiona)	Imited States	To evaluate the prevalence and sever-	BMI, median (range), kg/m ² 28 (18–44) Cross-sertional (n = 50 natients)	
with early-stage breast cancer White: 20 (40) Hispanic: 15 (30) H		study		ity of long-term symptoms of neuropathy following adjuvant taxane-based chemotherapy in women	Age, median (range), y 51 (34–80)	
Open-label random- ized prospective of 4 cycles of epirubicin 90 mg/m² ized prospective of 4 cycles of epirubicin 90 mg/m² ized prospective of 4 cycles of epirubicin 90 mg/m² of 50 mg/m² of 60 m				with early-stage breast cancer	Race/ethnicity, No. (%) White: 20 (40) Hispanic: 15 (30) Black: 9 (18) Other: 6 (12)	
Open-label random- ized prospective phase III trial (WSG-AGO EC- DOC vs FEC/CMF)* Open-label random- Dennark Doc many Dennark Doc many Dennark Doc many Dennark Doc many To compare outcomes and toxicities of 4 cycles of epirubicin 90 mg/m² and cyclophosphamide 500 mg/m² tofloowed by 4 cycles of docetaxel Doc vs FEC/CMF)* Open-label random- Denmark To assess duration and severity of PN ized, prospective Open-label random- Denmark Dom many Denmark To assess duration and severity of PN ized, prospective Turnor grade at baseline, % 1/2: 63.3-68. 23: 53: 31.5-36. Women age 18 to 65 with histologically confirmed pTl-3 breast cancer meeting in the trial before 2011, relapse free, no disease that lyzing patient-reported outcomes in To assess duration and severity of PN Ized, prospective Ized, prospective To assess duration and severity of PN Ized, prospective Ized,					BMI, median (range), kg/m² 26 (17–44)	
Age, range, y 51.5–53.9 Median tumor size, cm 2 Tumor grade at baseline, % 1/2: 63.3–68. 53: 31.5–36.7 Open-label random- Denmark To assess duration and severity of PN Women with TOP2A normal early-stage breast cancer randomly after cessation of docetaxel by analassigned in the trial before 2011, relapse free, no disease that lyzing patient-reported outcomes in could mimic docetaxel-induced peripheral neuropathy	Nitz et al., 2014 (23)	Open-label random- ized prospective phase III trial (WSG-AGO EC- DOC vs FEC/CMF)*	Germany	To compare outcomes and toxicities of 4 cycles of epirubicin 90 mg/m² and cyclophosphamide 500 mg/m² followed by 4 cycles of docetaxel 100 mg/m² with nontaxane therapy (CMF or FEC)*	Women age 18 to 65 with histologically confirmed pT1-3 breast women age 18 to 65 with histologically confirmed pT1-3 breast cancer meeting inclusion criteria were enrolled within 42 days of surgery and randomly assigned using a stratified permuted block design $(n=1950\ patients)$	Fair
Median tumor size, cm 2 Tumor grade at baseline, % 1/2: 63.3–68. 53: 31.5–36.7 Open-label random- Denmark To assess duration and severity of PN Women with TOP2A normal early-stage breast cancer randomly after cessation of docetaxel by ana- ized, prospective after cessation of docetaxel by ana- lyzing patient-reported outcomes in could mimic docetaxel-induced peripheral neuropathy					Age, range, y 51.5-53.9	
Tumor grade at baseline, % 1/2: 63.3–68. 53: 31.5–36.7 Open-label random- Denmark To assess duration and severity of PN Women with TOP2A normal early-stage breast cancer randomly after cessation of docetaxel by anaized, prospective lyzing patient-reported outcomes in could mimic docetaxel-induced peripheral neuropathy					Median tumor size, cm 2	
Open-label random- Denmark To assess duration and severity of PN Women with TOP2A normal early-stage breast cancer randomly after cessation of docetaxel by ana- assigned in the trial before 2011, relapse free, no disease that lyzing patient-reported outcomes in could mimic docetaxel-induced peripheral neuropathy					Tumor grade at baseline, % 1/2: 63.3–68. 53:	
	Eckhoff et al., 2015 (19)	Open-label random- ized, prospective	Denmark	To assess duration and severity of PN after cessation of docetaxel by analyzing patient-reported outcomes in	Women with TOP2A normal early-stage breast cancer randomly assigned in the trial before 2011, relapse free, no disease that could mimic docetaxel-induced peripheral neuropathy	Good

Table 1. (continued)	ned)				
Study, y	Design	Country	Aim	Population	Quality rating
	phase III trial (DBCG 07 READ Trial)		a longitudinal study and to evaluate the impact of persistence of PN on health-related quality of life	(n = 1031 patients) Age, median (range), y 52 (24–73)	
				BMI, No. (%), kg/m ² <25: 510 (49) 25-29: 330 (32) >30: 191 (19)	
				Tumor grade at baseline, No. (%) 1: 194 (19) 2: 468 (45) 3: 305 (30) Inhroum: 64 (6)	
Fontes et al., 2016 (20)	Prospective cohort study	Portugal	To estimate the incidence of neurologic complication after breast cancer treatment, including peripheral	Women proposed for surgical treatment of breast cancer, either as primary treatment or after neoadjuvant chemotherapy, with follow-up at the same hospital	Good
			neuropathy and neuropathic pain	(n=475 patients)	
				Age, median, y 55	
				Cancer stage at baseline, % DCIS: 6.5 I: 47.4 II: 30.7	
				III: 14.7 IV: 0.6	
Pereira et al., 2015 (21)	Prospective cohort study	Portugal	To estimate the incidence of chemotherapy-induced peripheral neurop-	Women proposed for surgery, either as primary treatment or after neoadjuvant chemotherapy, with follow-up at the same hospital	
(Suppleme- ntary to			athy and to identify its main determinants and impact in	(n = 296 patients)	
Fontes et al. [20])			patient-reported outcomes	Age (mean), y 52	
				Cancer stage at baseline, No. (%) I: 95 (32.1) II: 132 (44.6) III: 69 (23.3)	

***PMI = Body Mass Index; CMF = cyclophosphamide, methotrexate, and 5-fluorouracil; EC-DOC = epirubicin, cyclophosphamide, and docetaxel; FEC = 5-fluorouracil, epirubicin, and cyclophosphamide; WSG-AGO = West German Study Group-German Gynecological Oncology Group.

Table 2. Systematic review of peripheral neuropathy after breast cancer chemotherapy: Study outcomes and results*

Follow-up period	1 y		2-3 y	(continued)
Persistence	>80.0% experienced symptoms up to 2 y after treatment >25.0% experienced severe symptoms	Not reported	Persistent peripheral neuropathy of any grade, 2 y after follow-up: EC-Doc* 3.2% FEC*: 0.6%	
Grading	Highest grade of peripheral neuropathy during treatment (NCI-CTCAE v. 3.0*) Cohort, No. (%) Grade 0: 1 (20.0) Grade 2: 23 (46.0) Grade 2: 13 (26.0) Grade 3: 4 (8.0) Unknown: 0	Highest grade of peripheral neuropathy during treatment (NCI-CTCAE v. 3.0°) Cross-sectional, No. (%) Grade 0: 6 (12.0) Grade 1: 32 (64.0) Grade 2: 6 (12.0) Grade 3: 2 (4.0) Unknown: 4 (8.0)	Self-report of ne urotoxicity Grades 3-4 at end of treatment EC-Doc*.19.1% Control: 6.5% Any grade neurotoxicity after treatment EC-Doc: 6 mo: 14.2% 12 mo: 11.0% 24-36 mo: 7.4%	
Results	FACT/GOG-Ntx* (mean score) Neurotoxicity (0-44) Baseline: 37.5 12 mo: 33.0 (P < .05) Taxane (0-20) Baseline: 15.8 12 mo: 17.3	FACT/GOG-Ntx*, mean score (range) Neurotoxicity (0-44) Cross-sectional: 34.1 (18-44) Taxane (0-20) Cross-sectional: 16.8 (8-20)	Peripheral neuropathy measures not reported	
Treatment	Adjuvant paclitaxel treatment: Cohort study: followed to 12 mo from treatment	Gross-sectional study: 6–24 mo from treatment	Intervention: epirubicin 90 mg/m²/cyclophosphamide 600 mg/m² IV × 4 cycles q 3 wk followed by docetaxel 100 mg/m² IV q 3 wk × 4 cycles Control: cyclophosphamide 600 mg/m²/methotrexate 40 mg/m²/5-FU 500 mg/m² IV d 1/8 q 4 wk × 6 cycles or 5-FU 500 mg/m²/cyclophosphamide 500 mg/m²/cyclophosph	
Primary outcome(s)	Primary outcome(s): Self- report of neuropathy symptoms following tax- ane treatment and changes over time instrument(s): FACT/GOG- Ntx* Secondary outcome(s): well-being instrument(s): FACT* subscales		Primary outcome(s): event- free survival Secondary outcome(s): overall survival and quality of life Instrument(s): EORTC QLQ C-30* PN was reported as inci- dence of neurotoxicity during treatment and prevalence of neurotoxic- ity 2-3 y after treatment	
Study, y	Hershman et al., 2011 (22)		Nitz et al., 2014 (23)	

Table 2. (continued)

Follow-up Persistence period	Overall: 1–3.2 y 43.0% had persistent peripheral neuropathy 1–3 y after treatment 15% had grade 2–4 PN
Grading	Max grade of neuropathy during treatment as a risk factor for persistent peripheral neuropathy: Persistent peripheral neuropathy: Persistent peripheral neuropathy, grades 0-1, No. (%) Grade 0: 276 (31.6) Grade 1: 357 (40.8) Grade 2: 169 (19.3) Grade 3-4: 72 (8.2) Grade 0: 12 (7.6) Grade 0: 12 (7.6) Grade 2: 67 (42.7) Grade 3-4: 39 (24.8)
Results	EORTC CIPN 20*: No. of patients (mean score) Sensory: Tingling fingers or hands? 1029 (1.51) Tingling toes or feet? 1028 (1.54) Numbness in fingers or hands? 1025 (1.27) Numbness in toes or feet? 1027 (1.33) Shooting or burning pain in fingers or hands? 1029 (1.20) Shooting or burning pain in fingers or hands? 1029 (1.21) Motor: Difficulty opening jar/bottle due to loss of strength in hands? 1029 (1.31) Muton: Difficulty opening jar/bottle due to loss of strength in hands? 1029 (1.38) Autonomic: Dizziness after standing up? 1031 (1.40) Blurry vision? 1031 (1.39) EORTC QLQ-C30* at 1-3 y (score 0-100) Grades 0-1 PN, 874 patients: (76) Grade 2 PN, 100 patients: (55) Grade 3-4 PN, 57 patients: (55)
Treatment	Three cycles of epirubicin 90 mg/m² and cyclophosphamide 600 mg/m² followed by 3 cycles of docetaxel 100 mg/m² (D100) or 6 cycles of cyclophosphamide 600 mg/m² and docetaxel 75 mg/m² (D75); all cycles given intravenously at 3-wk intervals Followed to 3 y from treatment
Primary outcome(s)	Primary outcome(s): persistence of peripheral neuropathy Instrument(s): EORTC CIPN20° Secondary outcome(s): quality of life Instrument(s): EORTC QLQ C-30°
Study, y	Eckhoff et al., 2015 (19)

Downloaded from https://academic.oup.cor

Table 2. (continued)

Follow-up period	1-3 y	1 y
Persistence	Peripheral neuropathy after chemotherapy (n = 288) 1 y: 23.3% 3 y: 20.5% Neuropathic pain 1 y: 21.1% 3 y: 23.6%	Cumulative incidence: 28.7% in the first y (38% in the docetaxel-based regimens) >80% had symptoms after 6 mo
Grading	CTCAE*: In patients with PN throughout follow-up: Grade 1–2 sensory neuropathy (%) Y1: (100) Y3: (98.1) Grade 1–3 motor neuropathy (%) Y1: (7.6) Y2: (15.1) Adjusted OR* of peripheral neuropathy for receipt of taxanebased therapy at 3 y: 14.76 (3.31–65.79) Adjusted OR* of peripheral neuropathy at 3 y for cancer stage III/N at baseline: 3.73 (1.70–8.14) Adjusted OR+ of neuropathic pain for receipt of chemotherapy at 3 y: 2.10 (1.20–8.47)	CTCAE* motor Baseline and 6 mo in PN cohort Present: 13 (18.6), 5 (7.1) Grade 1: 7 (10.0), 4 (5.7) Grade 2: 2 (7.1), 0 (0.0)*; P = .057 Grade 3: 1 (1.4), 1 (1.4) CTCAE* sensory Baseline and 6 mo in peripheral neuropathy cohort Present: 70 (100.0), 69 (98.6) Grade 1: 43 (61.4), 54 (77.1) Grade 2: 27 (38.6), 15 (21.4); P = .017
Results	TNSc*: Peripheral neuropathy only at 1 y of follow-up: Median score = 1.5 Peripheral neuropathy only at 3 y of follow-up: Median score = 10 Peripheral neuropathy at 1 and 3 y of follow-up, report at first fol- low-up (1 y): Median score = 5 Peripheral neuropathy at 1 and 3 y of follow-up, report at second fol- low-up (3 y): Median score = 4	TNSc*: Baseline and 6 mo in PN cohort Median = 7 (5-9), 4 (2-6); P < .001 Range = 3-12, 1-13
Treatment	Chemotherapy (several regimens reported): Doxorubicin + eyclophosphamide Doxorubicin + cyclophosphamide + docetaxel Doxorubicin + cyclophosphamide + paclitaxel Cyclophosphamide + docetaxel Cyclophosphamide + docetaxel Carboplatin + docetaxel FEC* FEC* FC* FC* FC* FC* FC* FC* FC* FC* FC* F	Same as above
Primary outcome(s)	Primary outcome(s): peripheral neuropathy, neuropaths, neuropaths, other neurologic outcomes at 1 and 3 y Instrument(s): TNSC*; CTCAE v. 4.0°; Neuropathic Pain-Brief Pain Inventory Short Form Measurements at baseline, 1 y, and 3 y	Primary outcome(s): PN was defined as PN beginning after chemotherapy or, if already preexisting, occurred if it worsened after therapy Instrument(s): CTCAE, TNSc Secondary outcome(s): patient-reported outcomes on anxiety, depression, sleep, and quality of life Instrument(s): EORTC* QLQ G-30*, PQSI*, HADS*
Study, y	Fontes et al., 2016 (20)	Pereira et al., 2015 (21) (Supplementary to Fontes et al. [20])

Peripheral Neuropathy 20; EORTC QLQ C-30 = European Organisation for Research and Treamment of Cancer Quality of Life; FACT = Functional Assessment of Cancer Therapy; FACT/GOG-Ntx = Functional Assessment of Cancer Therapy/Gynecologic Oncology Group-Neurotoxicity; EEC = 5-fluorouracil, epirubicin, and cyclophosphamide; HADS = Hospital Anxiety and Depression Scale; OR = odds ratio; PQSI = Pittsburgh Sleep Quality Index; TNSc = Total Neuropathy Score, clinical version. **CMF = cyclophosphamide, methotrexate and 5-fluorouracil; CTCAE = Common Terminology Criteria of Adverse Events; EORTC GIPN20 = European Organisation for Research and Treatment of Cancer Chemotherapy Induced

Table 3. Reported measures of chemotherapy-induced peripheral neuropathy across studies Scoring Functional Assessment of Cancer This measure incorporates the FACT G (29) subscales Responses are given on a 5-Therapy/Gynecologic Oncology OG-Ntx, a standardized self-report questionnaire for point scale, with 4 meaning "not at all" and 0 Group-Neurotoxicity (FACT/GOGneurotoxicity to score functioning as well as QOL. The Ntx) (30) FACT G assesses the areas of physical well-being, meaning "very much," in social/family well-being, emotional well-being, and which higher scores refunctional well-being, as well as 5 additional quesflect better quality of life. tions related to arthralgia, myalgia, and skin discolor-The maximum score is ation. The first 4 questions of the 11-question OG-Ntx ask about numbness/tingling and discomfort in the hands and feet. A group of sensory diagnostic tests for peripheral nerve Higher QST scores reflect Quantitative Neurosensory Testing (QST) (31) function, this particular version includes measures decreased sensation or for tactile threshold (TT) and vibration threshold (VT), worsening neuropathy. measured by technological evaluation. Common Terminology Criteria of CTCAE defines peripheral neuropathy as a disorder The adverse event is graded Adverse Events (CTCAE versions 3.0 characterized by functional disturbances of sensory on a scale of 1 to 5, with 5 and 4.0) (32,33) neurons resulting in abnormal cutaneous sensations being the worst. of tingling, numbness, pressure, cold, and warmth that are experienced in the absence of a stimulus. Total Neuropathy Score, clinical ver-This instrument tests strength, deep tendon reflexes, Each item is rated on a 0 to sion (TNSc) (34) vibration sensibility (128 Hz tuning fork), and pain 4 scale, with a higher sensation to assess neuropathy severity. Pain classiscore reflecting poorer fied by the International Association for the Study of functioning. Self-reported questionnaire based on This physician-guided measure was transformed to a The grading scale ranges the National Cancer Institute patient-reported assessment of peripheral neuropafrom 0 to 4, with 4 being Common Toxicity Criteria (NCI-CTC thy severity by assigning a grade. The questionnaire the worst. version 2.0) (35) was not validated at the time of assessment. For this study, scores were European Organisation for Research This 20-question instrument measures docetaxel-inand Treatment of Cancer duced peripheral neuropathy along three subscales transformed to a 100-Chemotherapy Induced Peripheral assessing sensory, motor, and autonomic symptoms. point scale. A higher Neuropathy 20 (EORTC QLQ CIPN 20) The items are scored from 1, meaning "not at all," to score indicates more (36)4, meaning "very much." experiences, symptoms, or complaints.

The cross-sectional study included 50 women treated six to 24 months prior to evaluation (median = 12 months). More than 80% reported ongoing numbness or discomfort in hands or feet; severe symptoms were reported in hands (27.0%) and feet (25.0%). Severe PN resulted in treatment alterations (10.0%) and discontinuation (4.0%). Outcomes were assessed using the FACT/GOG-Ntx, CTCAE, v. 3.0, and QST (defined in Table 3). FACT physical well-being scores were more likely to be lower for those reporting severe symptoms.

The FACT/GOG-Ntx was administered before and after treatment and every three months for one year post-treatment in the prospective study of 50 ESBC patients (22). Differences between baseline and 12-month post-treatment measures were statistically significant (Table 2). After 12 months, the social well-being and the neurotoxicity subscale scores decreased, indicating a reduced QOL (Table 3). The PRO and sensory evaluations showed statistically significant correlations one year posttreatment. At one year, 67.0% of patients reported persistent numbness in hands or feet; 27.0% reported severe PN symptoms.

In 2014, Nitz et al. reported on neurotoxicity with follow-up of up to three years in a phase III trial for intermediate-risk ESBC (23). In the docetaxel arm (EC-Doc) showing superior survival outcomes, 19.1% of patients reported grade 3 or 4 neurotoxicity at the end of treatment compared with 6.5% of those receiving CMF or FEC (control group). Persisting neurotoxicity of any grade was reported by EC-Doc patients: 14.2% at six months, 11.0% at one year, and 7.4% after two to three years. PN of any grade persisting after two years of follow-up was reported in 3.2% and 0.6% of the EC-Doc and FEC groups, respectively.

Studies by Eckhoff et al. (19) and Fontes et al. (20) explored risk factors and dose relationships related to PN onset and persistence in similarly aged cohorts of ESBC patients; Pereira et al. (21) provided supplementary data to the Fontes study (20). Eckhoff et al. administered an adapted NCI-CTC v. 2.0 (Table 3) to a cohort of 1174 patients in Denmark (1031 analyzed) to assess PROs for PN (20). Docetaxel-associated PN was evaluated by the EORTC QLQ-CIPN20 (Table 3), and health-related QOL was assessed by the European Organization for Research and Treatment of Cancer quality of life questionnaire (EORTC QLQ C-30 v. 3.0) (24). All treatment regimens included use of docetaxel administered for either three 100 mg/m² cycles or six 75 mg/m² cycles. At the initial assessment (immediately posttreatment), 241 patients (23.4%) reported grade 2 to 4 PN, which persisted for one to three years in 81 patients (33.6%). Among patients not reporting PN at the initial assessment, 9.6% developed PN within one to three years. Patients with PN at initial assessment were more likely to report persistent PN (P < .0001). In the entire cohort, 43.0% of women reported grades 1 to 4 PN at one to three years after treatment with docetaxel.

Among all treated patients completing the EORTC QLQ-CIPN20, chief sensory complaints were tingling of the fingers/ hands and toes/feet (Table 3). The most prominently reported motor symptoms were difficulty opening a jar/bottle and foot cramps. Statistically significant differences on all EORTC QLQ-C30 functioning and symptom subscales were observed between patient groups with grade 0 to 1, 2, or 3 to 4 PN. Clinically important differences between no PN and grade 3 or 4 PN were detected in the areas of role functioning, social functioning, global health status/QOL, fatigue, and pain (19).

Independent risk factors for PN persistence included age 55 years or older (odds ratio [OR] = 1.99, 95% confidence interval [CI] = 1.35 to 2.95) and maximum grade of neuropathy during treatment vs no PN: grade 1 (OR = 2.21, 95% CI = 1.12 to 4.39), grade 2 (OR = 7.49, 95% CI = 3.86 to 14.55), and grade 3 or 4 (OR = 9.94, 95% CI = 4.77 to 20.70). Grade severity of other symptoms (persistent muscle and joint pain, fatigue, and stomatitis) were also associated with persistent PN (P < .05).

Fontes et al. focused on determinants of the course of PN (20). In this prospective cohort study, 475 women (93.9%) completed the three-year evaluation (31 lost to follow-up). In the first year after diagnosis, 288 received either neoadjuvant (11.1%) or adjuvant (88.9%) chemotherapy. FEC-D (three cycles of concomitant 5-FU 500 mg/m,2 epirubicin 100 mg/m,2 and cyclophosphamide 500 mg/m,2 followed by three cycles of docetaxel 100 mg/m²) was the most commonly received regimen (59.4%).

In the overall cohort, PN symptoms were present in 14.1% at one year and 12.6% at three years. Of 288 patients who received chemotherapy, 23.3% were diagnosed with PN within one year after diagnosis and 20.5% had persisting symptoms at three years. Pereira reported an increased PN cumulative incidence of 38% at one year among patients in the same cohort receiving docetaxel-based regimens (21). Nearly all patients with PN at one and three years had a sensory neuropathy (98.1% with grade 1-2), whereas a smaller percentage had a motor neuropathy (15.1% with grade 1-3) using CTCAE v. 4.0 (Table 3). The severity of disease in patients first presenting with PN at threeyear follow-up was reflected in the high median TNSc score (Table 3) and percentage reporting sensory (100%) and motor (57.1%) PN. The adjusted odds ratio for PN among patients receiving taxane-based regimens was 14.76 (95% CI = 3.31 to 65.79). Among other factors evaluated, only cancer stage at baseline showed a statistically significant association with PN at three years (OR = 3.73, 95% CI = 1.70 to 8.14). After one year of follow-up, the use of docetaxel-based regimens with a cumulative dose of less than $300\,\text{mg/m}^2$ and greater than $300\,\text{mg/m}^2$ produced dose-dependent PN risk (relative risk [RR] = 6.96, 95% CI = 2.46 to 19.71; RR = 13.32, 95% CI = 4.11 to 43.14, respectively) compared with those without exposure (21).

We identified only five publications of chemotherapyassociated PN with at least 12 months of follow-up among ESBC patients in this systematic review. The paucity of reports is unexpected given the high frequency of neurotoxic adjuvant chemotherapy use and the expected long-term survival of the patients receiving these treatments. These studies had limited sample size and were conducted in four different countries. There was wide variation in study design, chemotherapy regimens, drug dosing, variables collected, follow-up time, outcome measures, and PN prevalence. Only one study evaluated the PN incidence between comparable women exposed and unexposed to adjuvant chemotherapy (20). More research is needed on this long-term toxicity of contemporary adjuvant chemotherapy in ESBC patients.

Within the included studies, frequency estimates of persistent PN one or more years post-treatment ranged from 11.0% to greater than 80%. Data synthesis and interpretation were limited by disparate measures of PN and lack of comparison control groups. There is no standard measurement approach for evaluating chemotherapy-associated PN, limiting interpretability. Various multimodal options include the use of clinician-rated instruments (eg, NCI-CTCAE), PRO and QOL instruments, and physical symptom assessments (eg, QST, TNSc) (Table 3). Clinician-rated scales may introduce bias due to the subjective mapping of symptoms to a grade (25), underestimating the severity of the patient experience, as seen in a comparative study of this question (26). Although not without limitations, PROs have face validity because PN manifestations are primarily subjective symptoms.

No biomarkers are available to predict the relationship between a baseline score and length or severity of PN symptoms (higher-grade PN during treatment was shown to increase the risk of persistent PN) (24). Pharmacogenetic variants potentially associated with PN development are under evaluation (27,28). Integration of newer PROs and investigation of circulating biomarkers may identify risk stratification opportuni-(14). Further research employing standardized measurements of PN is needed to understand risk factors, persistence, and severity.

Improved understanding of PN incidence, prevalence, and severity would enable breast cancer patients and physicians to consider this potential adverse effect of adjuvant chemotherapy. Clinical trials play a role in capturing adverse events; however, longitudinal observational research is complementary, allowing for evaluation of late effects unable to be detected by RCTs of shorter duration. More precise treatment decision-making requires the availability of wellconducted, high-quality comparative longitudinal studies measuring long-term PN as an outcome (29). The development, collection, and synthesis of this evidence would ultimately enable tools for more informed treatment choices for ESBC patients.

We have shown that data describing long-term PN in ESBC patients are very sparse. Validated consensus measurement scales in well-conducted RCTs and observational studies are needed to evaluate the incidence, persistence, and QOL associated with persistent PN. Evidence on the risk of persistent PN is needed to facilitate more comprehensive decision-making about selection of adjuvant therapy regimens.

Funding

This review was supported by National Cancer Institute contract #HHSN261201400163P and by National Institute of Health NCI HHS PHS U10CA-180868, -180822, and UG1-CA-189867; and the Breast Cancer Research Foundation (PAG).

Notes

DRR contributed to the literature search, study design, figures, writing, methods, and data interpretation. PAG contributed to study design, figures, writing, methods, and data interpretation. HB contributed to writing and data interpretation. MSW contributed to the literature search, study design, figures, writing, and methods. JM contributed to the literature search, study design, figures, writing, methods, and data interpretation.

PAG discloses that she is a member of the Scientific Board of the Breast Cancer Research Foundation. All other authors declare no other potential conflict(s) of interest.

The authors thank Bruce Abbott, Medical Librarian, University of California, Davis, for literature search support.

References

- 1. Howlader N, Noone AM, Krapcho M, et al., eds. SEER Cancer Statistics Review. 1975-2013, Bethesda, MD; National Cancer Institute; 2016, http://seer.cancer. gov/csr/1975_2013/. Accessed January 9, 2017.
- 2. Denduluri N, Somerfield MR, Eisen A, et al. Selection of optimal adjuvant chemotherapy regimens for human epidermal growth factor receptor 2 (HER2) – negative and adjuvant targeted therapy for HER2-positive breast cancers: An American Society of Clinical Oncology guideline adaptation of the Cancer Care Ontario clinical practice guideline. J Clin Oncol. 2016;34(20):
- Lee KS, Ro J, Nam BH, et al. A randomized phase-III trial of docetaxel/capecitabine vs doxorubicin/cyclophosphamide as primary chemotherapy for patients with stage II/III breast cancer. Breast Cancer Res Treat. 2008;109(3):481-489.
- 4. Toledano A, Azria D, Garaud P, et al. Phase III trial of concurrent or sequential adjuvant chemoradiotherapy after conservative surgery for early-stage breast cancer: Final results of the ARCOSEIN trial. J Clin Oncol. 2007;25(4): 405-410. Erratum in: J Clin Oncol. 2007;25(16):2334.
- Loprinzi CL, Thomé SD. Understanding the utility of adjuvant systemic therapy for primary breast cancer. J Clin Oncol. 2001;19(4):972-979.
- Joensuu H, Kellokumpu-Lehtinen PL, Bono P, et al. Adjuvant docetaxel or vinorelbine with or without trastuzumab for breast cancer. N Engl J Med. 2006; 354(8):809-820.
- 7. Hershman DL, Lacchetti C, Dworkin RH, et al. Prevention and management of chemotherapy-induced peripheral neuropathy in survivors of adult cancers: American Society of Clinical Oncology clinical practice guideline. J Clin Oncol. 2014:32(18):1941-1967.
- 8. Sykes N, Bennet M, Yuan C. Clinical Pain Management Cancer Pain. 2nd ed. Boca Raton, FL: CRC Press; 2008. https://www.crcpress.com/Clinical-Pain-Management-Second-Edition-Cancer-Pain/Sykes-Bennet-Yuan/p/book/ 9780340940075. Accessed January 9, 2017.
- 9. Hausheer FH, Schilsky RL, Bain S, Berghorn EJ, Lieberman F. Diagnosis, management, and evaluation of chemotherapy-induced peripheral neuropathy. Semin Oncol. 2006;33(1):15-49.
- 10. Park SB, Goldstein D, Krishnan AV, et al. Chemotherapy-induced peripheral neurotoxicity: A critical analysis. CA Cancer J Clin. 2013;63(6):419-437
- 11. Eckhoff L, Knoop AS, Jensen MB, Ejlertsen B, Ewertz M. Risk of docetaxelinduced peripheral neuropathy among 1,725 Danish patients with early stage breast cancer. Breast Cancer Res Treat. 2013;142(1):109-118.
- 12. van Gerven JM, Moll JW, van den Bent MJ, et al. Paclitaxel (Taxol) induces cumulative mild neurotoxicity. Eur J Cancer. 1994;30A(8):1074-1077
- 13. Chaudhry V, Chaudhry M, Crawford TO, et al. Toxic neuropathy in patients with pre-existing neuropathy. Neurology. 2003;60(2):337-340.
- 14. Schneider BP, Hershman DL, Loprinzi C. Symptoms: Chemotherapy-induced peripheral neuropathy. Adv Exp Med Biol. 2015;862:77-87.
- 15. Wolf S, Barton D, Kottschade L, Grothey A, Loprinzi C. Chemotherapy-induced peripheral neuropathy: Prevention and treatment strategies. Eur J Cancer. 2008;44(11):1507-1515.
- 16. Jahan S. 2015 Human Development Report, United Nations Development Programme. http://hdr.undp.org/sites/default/files/2015_human_develop ment_report.pdf. Accessed January 16, 2017.
- 17. Wells GA, Shea B, O'Connell D, et al. The Newcastle-Ottawa Scale (NOS) for assessing the quality if nonrandomized studies in meta-analyses Department of Epidemiology and Community Medicine, University of

- Ottawa, Canada. http://www.ohri.ca/programs/clinical_epidemiology/ox ford.htm. Accessed January 9, 2017.
- 18. Higgins JP, Altman DG, Gøtzsche PC; Cochrane Bias Methods Group; Cochrane Statistical Methods Group. The Cochrane Collaboration's tool for assessing risk of bias in randomised trials, BMJ, 2011:343:d5928.
- 19. Eckhoff L. Knoop A. Jensen MB. Ewertz M. Persistence of docetaxel-induced neuropathy and impact on quality of life among breast cancer survivors. Eur J Cancer. 2015;51(3):292-300.
- 20. Fontes F, Pereira S, Castro-Lopes JM, Lunet N. A prospective study on the neurological complications of breast cancer and its treatment: Updated analysis three years after cancer diagnosis. Breast. 2016;29:31-38.
- 21. Pereira S, Fontes F, Sonin T, et al. Chemotherapy-induced peripheral neuropathy after neoadjuvant or adjuvant treatment of breast cancer: A prospective cohort study. Support Care Cancer. 2016;24(4):1571-1581.
- 22. Hershman DL, Weimer LH, Wang A, et al. Association between patient reported outcomes and quantitative sensory tests for measuring long-term neurotoxicity in breast cancer survivors treated with adjuvant paclitaxel chemotherapy. Breast Cancer Res Treat. 2011;125(3):767-774.
- 23. Nitz U, Gluz O, Huober J, et al. Final analysis of the prospective WSG-AGO EC-Doc versus FEC phase III trial in intermediate-risk (pN1) early breast cancer: Efficacy and predictive value of Ki67 expression. Ann Oncol. 2014;25(8): 1551-1557.
- 24. Aaronson NK, Ahmedzai S, Bergman B, et al. The European Organization for Research and Treatment of Cancer QLQ-C30: A quality-of-life instrument for use in international clinical trials in oncology. J Natl Cancer Inst. 1993;85(5):365-376.
- 25. Atkinson TM, Li Y, Coffey CW, et al. Reliability of adverse symptom event reporting by clinicians. Qual Life Res. 2012;21(7):1159-1164
- 26. Shimozuma K, Ohashi Y, Takeuchi A, et al. Feasibility and validity of the Patient Neurotoxicity Questionnaire during taxane chemotherapy in a phase III randomized trial in patients with breast cancer: N-SAS BC 02. Support Care Cancer. 2009;17(12):1483-1491
- 27. Eckhoff L, Feddersen S, Knoop AS, Ewertz M, Bergmann TK. Docetaxel-induced neuropathy: A pharmacogenetic case-control study of 150 women with early-stage breast cancer. Acta Oncol. 2015;54(4):530-537.
- 28. Schneider BP. Li L. Radovich M. et al. Genome-wide association studies for taxane-induced peripheral neuropathy in ECOG-5103 and ECOG-1199. Clin Cancer Res. 2015;21(22):5082-5091.
- 29. Bandos H, Melnikow J, Rivera DR, et al. Long-term peripheral neuropathy in breast cancer patients treated with adjuvant chemotherapy: NRG Oncology/ NSABP B-30. J Natl Cancer Inst. 2018;110(2):149-156.
- 30. Calhoun EA, Welshman EE, Chang CH, et al. Psychometric evaluation of the Functional Assessment of Cancer Therapy/Gynecologic Oncology Group-Neurotoxicity (Fact/GOG-Ntx) questionnaire for patients receiving systemic chemotherapy. Int J Gynecol Cancer. 2003;13(6):741-748.
- 31. Goldberg JM, Lindblom U. Standardised method of determining vibratory perception thresholds for diagnosis and screening in neurological investigation. J Neurol Neurosurg Psychiatr. 1979;42(9):793–803.
- 32. Cancer Therapy Evaluation Program. Common Terminology Criteria for Adverse Events, version 3.0. DCTD, NCI, NIH, DHHS. 2006. https://ctep.cancer. gov/protocoldevelopment/electronic_applications/docs/ctcaev3.pdf. Accessed January 16, 2017.
- 33. Cancer Therapy Evaluation Program. Common Terminology Criteria for Adverse Events, version 4.0. DCTD, NCI, NIH, DHHS. 2010. Available at: http:// ctep.cancer.gov/. Accessed: January 16, 2017.
- 34. Cornblath DR, Chaudhry V, Carter K, et al. Total neuropathy score: Validation and reliability study. Neurology. 1999;53(8):1660-1664.
- 35. Cancer Therapy Evaluation Program in coordination with the Common Toxicity Criteria Review Committee. NCI Common Toxicity Criteria (CTC), version 2.0. DCTD, NCI, NIH, DHHS. 1999. https://ctep.cancer.gov/ protocolDevelopment/electronic_applications/docs/ctcmanual_v4_10-4-99. pdf. Accessed January 16, 2017.
- 36. Postma TJ, Aaronson NK, Heimans JJ, et al. The development of an EORTC quality of life questionnaire to assess chemotherapy-induced peripheral neuropathy: The OLO-CIPN20, Eur J Cancer, 2005;41(8):1135-1139.