

Minireview: The Link Between ER α Corepressors and Histone Deacetylases in Tamoxifen Resistance in Breast Cancer

Stéphanie Légaré and Mark Basik

Division of Experimental Medicine, Department of Oncology and Surgery, Segal Cancer Center, Lady Davis Institute for Medical Research, Sir Mortimer B. Davis Jewish General Hospital, McGill University, Montréal, Québec, Canada H3T 1E2

Approximately 70% of breast cancers express the estrogen receptor (ER) α and are treated with the ER α antagonist, tamoxifen. However, resistance to tamoxifen frequently develops in advanced breast cancer, in part due to a down-regulation of ER α corepressors. Nuclear receptor corepressors function by attenuating hormone responses and have been shown to potentiate tamoxifen action in various biological systems. Recent genomic data on breast cancers has revealed that genetic and/or genomic events target ER α corepressors in the majority of breast tumors, suggesting that the loss of nuclear receptor corepressor activity may represent an important mechanism that contributes to intrinsic and acquired tamoxifen resistance. Here, the biological functions of ER α corepressors are critically reviewed to elucidate their role in modifying endocrine sensitivity in breast cancer. We highlight a mechanism of gene repression common to corepressors previously shown to enhance the antitumorigenic effects of tamoxifen, which involves the recruitment of histone deacetylases (HDACs) to DNA. As an indicator of epigenetic disequilibrium, the loss of ER α corepressors may predispose cancer cells to the cytotoxic effects of HDAC inhibitors, a class of drug that has been shown to effectively reverse tamoxifen resistance in numerous studies. HDAC inhibition thus appears as a promising therapeutic approach that deserves to be further explored as an avenue to restore drug sensitivity in corepressor-deficient and tamoxifen-resistant breast cancers. (*Molecular Endocrinology* 30: 965–976, 2016)

With a lifetime risk estimated to be 1 in 8 in industrialized countries, breast cancer is the most frequent type of cancer among women worldwide and the second leading cause of cancer deaths in women (1). Breast cancer arises in epithelial cells of the mammary gland and is strongly influenced by hormone-dependent risk factors that include early menarche, late menopause and increasing number of productive hormonal cycles, which involve prolonged exposure to estrogen and progesterone, the 2 most dominant female hormones (2–8). Produced by the ovaries from puberty to menopause, estrogen and progesterone have pleiotropic effects in numerous tissues, including the cardiovascular and central

nervous system as well as being essential for the induction and maintenance of most female characteristics (9, 10). However, both also have potent mitogenic actions in breast tissues that may lead to genomic instability, favor the accumulation of genetic alterations, and contribute to the development of hormone-sensitive tumors (11–13).

Dependent on hormones for their growth, proliferation and survival, hormone-sensitive breast cancers account for more than 70% of newly diagnosed breast carcinomas and are commonly characterized by the expression of the estrogen receptor (ER) and/or its transcriptional target, the progesterone receptor (14, 15). The ER is a member of the nuclear hormone receptor family of

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Abbreviations: COUP-TFII, chicken ovalbumin upstream promoter transcription factor 2; ER, estrogen receptor; FOXO1, Forkhead box protein O1; HAT, histone acetyltransferase; HDAC, histone deacetylase; HDACi, HDAC inhibitor; HR, hazard ratio; NCOR, nuclear corepressor; NRIP1, nuclear receptor-interacting protein 1; PFN1, profilin 1; PHB2, Prohibitin-2; RIP140, receptor-interacting protein 140; SAFB1, scaffold attachment factor B1; SMRT, silencing mediator for retinoid or thyroid hormone receptor; SPEN, split ends; TAFII68, TATA element-binding protein-associated factor.

ligand-dependent transcription factors that exists in 2 closely related forms, usually referred to as ER α and ER β . Although close to 40% of ER α binding sites overlap with those of ER β , it is now clear that the 2 receptors regulate distinct transcriptional programs and have opposing actions at certain gene promoters (16). In fact, recent studies intended to characterize ER β functions in breast cancer revealed that it has antagonistic activities towards its α homolog, possibly through heterodimeric interactions with ER α , a finding that may explain their cooccurrence on chromatin. Although ER β is coexpressed with ER α in some tumors and has been identified as an independent prognostic marker in breast cancer, the ER α is the most clinically useful prognostic and predictive biomarker in patients with hormone receptor positive breast cancers (17–19).

The protumorigenic functions of ER α in breast cancer are mediated by genomic and nongenomic mechanisms. Typically initiated upon ligand binding, the genomic actions of the ER α involve its direct association with DNA at estrogen-responsive elements and/or genome-wide tethering to DNA by other transcription factors, such as Activator protein 1 (AP1) and Specificity protein 1 (SP1) (20–27). Chromatin-engaged ER α then recruits the tran-

scriptional machinery, including general transcription factors and RNA polymerase II and induces gene expression, a process tightly regulated by complex cyclical and coordinated interactions with coactivators and corepressors (28, 29). Although coactivators potentiate ER α -dependent transcription, ER α corepressors actively repress hormonal responses, in part by recruiting histone-modifying enzymes, competing with coactivators and interfering with ER α dimerization (30).

The ER α is long considered as the main oncogenic driver in hormone-sensitive breast cancers. However, amplifications affecting the *ERS1* gene are only observed in 3% of ER α -positive breast cancers, whereas mutations are rare (<1%) in primary breast cancers and almost exclusively limited to metastatic lesions (31–37). Interestingly, recent next generation sequencing of breast cancers has revealed that genomic events appear to occur at much higher rates in corepressors of the ER α in primary breast tumors, with each ER α corepressor-encoding gene being lost by hetero- or homozygous deletion in up to 13%–55% of ER α -positive breast tumors (Table 1) (38–40). Indeed, the disruption by mutation and/or deletion of ER α corepressors may be intimately linked to the development of hormone-dependent breast cancers (30, 41–43).

Table 1. Frequency of Genetic and Genomic Events Affecting ER α Corepressors in Hormone-Receptor-Positive Breast Cancers

	Mutations (% Tumors)	CNV	
		Loss (% Tumors)	Gain (% Tumors)
DDX54 (DP97)	0.3	14.0	17.8
FOXO1 (FKHR)	0	42.1	7.6
LCOR	0.3	23.2	8.8
NCOR1	5.1	56.7	5.2
NCOR2	2.9	14.1	19.4
NEDD8	0.2	15.5	18.0
NROB1 (DAX1)	0	16.3	13.6
NROB2 (SHP)	0.2	40.7	3.0
NR2C1 (TR2)	0.2	12.0	20.2
NR2F2 (COUP-TFII)	0	21.2	13.8
NRIP1 (RIP140)	0.8	19.0	14.1
PFN1	0	56.4	5.9
PHB2 (REA)	0.2	13.1	19.2
RBFOX2 (RTA)	0.5	48.7	7.2
SAFB1	0.5	21.0	13.6
SLIRP	0.2	25.9	13.1
SMAD4	1.0	31.3	11.1
SPEN	4.9	39.1	3.0
TMEM54 (CAC1)	0.2	33.0	6.1

Prevalence of mutation and copy number variations (CNV) affecting genes coding for ER α corepressors in a cohort of 594 ER α -positive breast cancers from the TCGA (Cell 2015) dataset. Data for each genes was extracted on December 21, 2015 from the cBioportal platform. Shallow deletions and deep deletions were considered as copy number loss, whereas gains and amplifications were considered as copy number gains. DEAD (Asp-Glu-Ala-Asp); box polypeptide 54 (DDX54); ATP-dependent RNA helicase (DP97); Forkhead Transcription Factor (FKHR); Ligand Dependent Nuclear Receptor Corepressor (LCOR); Neural Precursor Cell Expressed, Developmentally Down-Regulated 8 (NEDD8); Nuclear Receptor Subfamily 0, Group B, Member 1 (NROB1); Dosage-sensitive sex reversal, adrenal hypoplasia critical region, on chromosome X, gene 1 (DAX1); Nuclear Receptor Subfamily 0, Group B, Member 2 (NROB2); Small Heterodimer Partner (SHP); Nuclear Receptor Subfamily 2, Group C, Member 1 (NR2C1); Testicular Receptor 2 (TR2); Repressor of ER activity (REA); RNA-Binding Protein, Fox-1 Homolog 2 (RBFOX2); Repressor of tamoxifen action (RTA); SRA stem-loop interacting RNA binding protein (SLIRP); SMAD homolog 4 (SMAD4); Transmembrane Protein 54 (TMEM54); CDK-associated Cullin 1 (CAC1).

The expression of the ER α in breast cancer also represents an important therapeutic target for the treatment of hormone-dependent tumors. Drugs antagonizing the ER α in breast cancer in clinical use include tamoxifen, an antiestrogen with mixed agonist and antagonist activities, fulvestrant and aromatase inhibitors. Tamoxifen functions by competing with estrogen for the ligand-binding domain of ER α and induces a conformational change favoring ER α 's interaction with corepressors (44, 45). Aided by transcriptional corepressors, tamoxifen represses ER α -mediated transcription, induces cell cycle arrest and leads to cell death (46–48). Although tamoxifen therapy has shown great efficacy in the prevention and treatment of breast cancer, a large proportion (>45%) of patients with advanced breast cancer on tamoxifen relapse during treatment due to adaptive mechanisms often involving the ER α , in which cancer cells become increasingly sensitive to the agonistic effects of tamoxifen and estradiol, or the activation of alternate growth factor pathways (49–54). Some examples of known mechanisms of tamoxifen resistance include an increase in the nongenomic actions of the ER α through cross talk with membrane-associated receptor tyrosine kinases, de novo production of local estrogen, and down-regulation of transcriptional corepressors (49, 54–57).

Due to compelling evidence for the role of ER α corepressors in modulating responses to antiestrogen therapies in

both experimental and clinical settings, it is likely that the genomic aberrations involving the ER α corepressors affect such responses (58–60). In this review, we discuss the biological functions of ER α corepressors in the context of tamoxifen resistance to highlight their promising prognostic and predictive value in the clinical management of ER α -positive breast cancers and provide clues to the mechanism underlying their modulation of tamoxifen response.

ER α Corepressors

Over the last 2 decades, a total of 19 transcriptional corepressors of the ER α have been identified and characterized, 12 of which have never been studied in the context of endocrine sensitivity, whereas 7 were shown to affect breast cancer cells' responses to antiestrogens in various biological systems (Table 2) (43, 61–78). Of those, 3 have still poorly defined and ambiguous roles in tamoxifen response (Forkhead box protein O1 [FOXO1], nuclear receptor-interacting protein 1 [NRIP1], and Prohibitin-2 [PHB2]), whereas 4 were shown to potentiate the antitumorigenic effects of tamoxifen in in vitro models (nuclear corepressor [NCOR]1, NCOR2, Nuclear Receptor Subfamily 2, Group F, Member 2 [NR2F2], and split ends

Table 2. ER α Corepressors Affect Tamoxifen Sensitivity in Breast Cancer

	Hazard Ratio RFS (95% CI)	P Value	Experimentally Observed	Clinically Observed
DDX54 (DP97)		0.70 (0.45–1.07) <i>0.095</i>		
FOXO1 (FKHR)		0.57 (0.34–0.95) <i>0.028*</i>	Conflicting results (61, 62)	
LCOR		N/A		
NCOR1		0.43 (0.26–0.72) <i>0.00091*</i>	Yes (63, 64)	Yes (65–67)
NCOR2		0.61 (0.39–0.95) <i>0.029*</i>	Yes (63, 69)	No (68, 70)
NEDD8		1.44 (0.95–2.19) <i>0.088</i>		
NROB1 (DAX1)		1.46 (0.94–2.24) <i>0.087</i>		
NROB2 (SHP)		0.57 (0.33–0.97) <i>0.035*</i>		
NR2C1 (TR2)		1.51 (0.85–2.03) <i>0.064</i>		
NR2F2 (COUP-TFII)		0.60 (0.39–0.93) <i>0.29</i>	Yes (71–73)	
NRIP1 (RIP140)		1.34 (0.85–2.12) <i>0.18</i>	Conflicting results (68, 74)	No (68)
PFN1		1.66 (1.08–2.55) <i>0.019</i>		
PHB2 (REA)		1.46 (0.95–2.26) <i>0.085</i>	Conflicting results (75–77)	
RBFOX2 (RTA)		0.78 (0.50–1.22) <i>0.27</i>		
SAFB1		0.70 (0.44–1.11) <i>0.12</i>		No (78)
SLIRP		1.61 (1.05–2.46) <i>0.028</i>		
SMAD4		0.57 (0.37–0.87) <i>0.008*</i>		
SPEN		0.55 (0.36–0.84) <i>0.005*</i>	Yes (43)	Yes (43)
TMEM54 (CAC1)		N/A		

Relapse-free survival HRs for each ER α corepressor in a cohort of 424 ER α -positive breast cancer patients (2012 release version) of the luminal A subtype (when identified as such) and treated with tamoxifen alone. The predictive value of each ER α corepressor in tamoxifen-treated breast cancer patients was assessed using an online survival analysis software available at www.kmplot.com. In bold is the hazard ratio, in parenthesis is the 95% confidence interval and in italic is the associated p-value. The average of all probe sets per gene was used for the analysis, and the best cutoff was chosen for each gene. HR marked with an asterisk indicate that high expression of the corresponding ER α corepressors significantly predicts good relapse-free survival ($P < .05$) in tamoxifen-treated ER α -positive breast cancer patients. The last 2 columns indicate whether the expression of the corresponding ER α corepressors has been experimentally or clinically shown to confer tamoxifen sensitivity in breast cancer. N/A indicates that data was not available.

[SPEN]). Providing evidence that ER α corepressors biologically impact the action of tamoxifen in breast cancer, these observations suggest that understanding how the ER α is being modulated by corepressors in tamoxifen-treated cells might help uncover new mechanisms of endocrine resistance and potentially new therapeutic targets in hormone-dependent cancers.

Nuclear Corepressor 1

The *NCOR1* (NCoR) is one of the first transcriptional corepressor to be identified and also represents the most frequently mutated and genomically altered transcriptional ER α corepressor in breast cancer. With 5% of tumors harboring a somatically acquired mutation in *NCOR1* and more than 55% of hormone-dependent breast carcinomas exhibiting copy number loss at the *NCOR1* locus according to the latest data in cBioPortal, it is expected that most breast cancers display genetic inactivation of *NCOR1* (39, 40). Associated with a generally poor prognosis, low protein levels of NCoR have been shown to confer tamoxifen resistance in *in vitro* and *in vivo* experiments and to predict lack of therapeutic response to tamoxifen in a number of clinical studies (66, 67). *In vitro*, reduced NCoR levels

relieve the inhibition of *MYC*, *CCND1*, and *SDF1* gene transcription and result in tamoxifen behaving as a partial agonist for cell cycle progression (63). Furthermore, decreased NCoR protein expression levels correlate with the development of tamoxifen resistance in a xenograft mouse model of breast cancer (64). Clinically, lower *NCOR1* mRNA and protein expression levels are associated with the acquisition of tamoxifen resistance and shorter relapse-free survival in several cohorts of hormone-dependent breast cancer patients treated with tamoxifen (66, 67).

NCoR is a large protein of 270 kDa that interacts with unliganded or tamoxifen-bound ER α . It regulates chromatin accessibility by recruiting and activating histone deacetylase (HDAC)3, which leads to histone deacetylation, chromatin condensation and the loss of RNA polymerase II from DNA-engaged ER α complexes (Figure 1A) (79–81). Cumulative evidence also demonstrates an interaction between NCoR and HDAC4–HDAC7, although only a small fraction of endogenous NCoR appears to be associated with these class II HDACs (82, 83). NCoR also represses gene expression through its inhibition of histone acetyltransferases (HATs), including the coactivating and HAT enzyme, cAMP response element-binding protein, which further favors the establishment of a compacted heterochromatin structure (84).

Nuclear Corepressor 2

NCOR2 also referred to as the silencing mediator for retinoid or thyroid hormone receptors (SMRTs) shows 41% amino acid sequence similarity with NCoR. Despite the high homology and shared mechanisms of actions between NCoR and SMRT, the frequency of genetic events to which each gene is subjected is very different. Indeed, *NCOR2* is much less commonly inactivated by mutation or chromosomal aberrations than *NCOR1*, with mutations and deletions occurring in 3% and 14% of ER α -positive breast cancers, respectively (39, 40). Functionally, SMRT and NCoR both actively repress the ER α through mechanisms involving interaction with the ligand binding domain of the receptor and depending

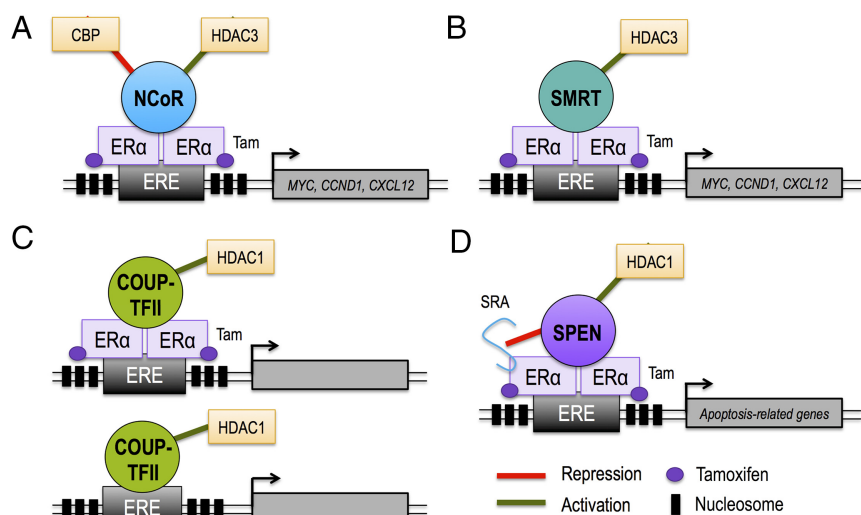


Figure 1. Mechanisms of transcriptional repression by ER α corepressors that confer tamoxifen sensitivity. A and B, NCoR (A) and SMRT (B) mediate transcriptional repression of the ER α by recruiting HDAC3 to the ER complex. NCoR (A) also represses hormonal responses by inhibiting cAMP response element-binding protein function. NCoR (A) and SMRT (B) confer tamoxifen sensitivity in part by interacting with tamoxifen-bound ER α and repressing the expression of ER α -target genes, including *MYC*, *CCND1*, and *CXCL12*. C, COUP-TFII attenuates hormone-dependent signaling by interacting with DNA at sites recognized by the ER α and by recruiting HDAC1. D, SPEN interacts with liganded and unliganded ER α and modulates hormonal responses by interacting with HDAC1 and repressing the activity of Steroid Receptor RNA Activator (SRA). SPEN-mediated repression of the ER α also up-regulates the expression of apoptosis-related genes.

on HDAC3 histone deacetylase activity (Figure 1B) (79, 85). Like NCoR, SMRT is recruited to tamoxifen-bound ER α and has been shown to play a role in tamoxifen response. Indeed, in vitro assays conducted with SMRT demonstrated that its overexpression confers tamoxifen sensitivity, whereas its silencing stimulates cell cycle progression in tamoxifen-treated MCF-7 cells (63, 69). Although established in vitro, a clinical role for SMRT protein expression in tamoxifen resistance could not be validated clinically in a cohort of 330 breast cancer patients treated with tamoxifen (70). SMRT RNA expression levels did, however, predict relapse-free survival (hazard ratio [HR] = 0.61; P = .029) in an independent cohort of 424 ER α -positive and luminal A breast cancer patients treated with tamoxifen alone (86).

NR2F2

The chicken ovalbumin upstream promoter transcription factor 2 (COUP-TFII) is encoded by the *NR2F2* gene, which is infrequently mutated in breast cancer but displays copy number loss in 21% of ER α -positive breast tumors. COUP-TFII is an orphan member of the nuclear receptor superfamily whose transcriptional repressive functions towards the ER α are still poorly defined. A proposed mechanism of gene repression involves the direct interaction of COUP-TFII with DNA at sites containing 5'-AGGTCA-3' repeats, which encompass the palindromic DNA sequence recognized by dimerized ER α (71). The binding of COUP-TFII at these genomic sites allows it to act as a platform for the recruitment of other corepressors, such as NCoR and SMRT in addition to preventing ER α engagement with chromatin and the subsequent recruitment of the transcriptional machinery (87). In addition, coimmunoprecipitation studies revealed an interaction between COUP-TFII and HDAC1, suggesting that it may also epigenetically repress gene transcription when recruited to DNA-bound ER α transcriptional complexes (88). Another mechanism by which COUP-TFII has been proposed to mediate transcriptional repression is through a direct interaction with the ER α and interference with the receptor's genomic activities (Figure 1C) (71). Interestingly, COUP-TFII's association with the ER α appears to be enhanced after tamoxifen treatment, whereas abrogation of this interaction by COUP-TFII knockdown has been shown to stimulate proliferation in tamoxifen-treated MCF-7 cells. Reciprocal experiments further consolidated a role for COUP-TFII in endocrine response, whereby COUP-TFII overexpression increased the antiproliferative effects of tamoxifen in MCF-7 cells (73). Of note, treatment with

fulvestrant, a drug that induces ER α degradation, mirrored these effects, suggesting that COUP-TFII may confer sensitivity to antiestrogens through mechanisms that may involve direct or indirect interaction with the ER α (73). Importantly, consistent with COUP-TFII influencing antiestrogen sensitivity, lower levels of the protein were measured in tamoxifen-resistant human breast cancer cell lines, although no clinical evidence for its expression having prognostic value has yet been reported (73).

Split Ends

SPEN, also known as SMRT/HDAC1-associated repressor protein (*SHARP*), is located on the Ch1p36 locus and is inactivated by mutation and/or loss of heterozygosity in 4% and 39% of hormone-sensitive breast tumors, respectively, in the latest cBioPortal (39, 40). It encodes a large protein of 402 kDa able to integrate transcriptional activation and repression. Recently, we have characterized SPEN functions in hormone-receptor positive tumors and identified SPEN as an ER α corepressor owing to its capacity to repress the transcription of ER α target genes, including the expression of the progesterone receptor and apoptosis-related genes (43). It has been suggested that SPEN-mediated transrepression is achieved in part by its Spen paralog and ortholog C-terminal domain, which allows it to scaffold a number of ER α corepressors, such as NCoR and SMRT, as well as histone modifying enzymes, including HDAC1 (Figure 1D) (89, 90). Other structural domains that may be important for SPEN transcriptional repression of the ER α include its 4 N-terminal RNA recognition motifs, which allow it to sequester and inactivate the RNA molecule and ER α coactivator, Steroid Receptor RNA Activator (SRA) (89, 91–94). Interestingly, SPEN's ability to interact with both corepressors through its Spen paralog and ortholog C-terminal domain and coactivators via its RNA recognition motifs may explain its unique capacity to bind and repress both liganded and unliganded ER α (43, 89). This was underlined by coimmunoprecipitation studies, revealing that SPEN associates with the ER α in a ligand-independent manner although this interaction was enhanced in hormone-free or tamoxifen-treated conditions (43). In light of these observations, our group has addressed the effect of SPEN on tamoxifen response and found that its reexpression in T47D cells in which SPEN is inactivated by a nonsense mutation conferred tamoxifen sensitivity by increasing tamoxifen-induced cell death. These results could not be replicated with fulvestrant, a pure ER α antagonist, suggesting that SPEN's interaction with the ER α is necessary to predispose cells to apoptosis after treatment with ta-

moxifen in breast cancer (43). Importantly, both SPEN protein and RNA levels were predictive of relapse-free survival in breast cancer patients treated with tamoxifen alone, providing further evidence that SPEN has important biological roles in tamoxifen sensitivity (43, 86).

Although NCoR, SMRT, COUP-TFII, and SPEN are ER α corepressors that were shown to biologically impact cellular responses to tamoxifen, NRIP1 and SAFB1 are 2 examples of ER α corepressors whose expression levels do not impact tamoxifen sensitivity. Although they may be considered of lesser importance, understanding how they transcriptionally repress the ER α may allow us to better define the molecular mechanisms responsible for endocrine resistance emerging from the loss of NCoR, SMRT, COUP-TFII, and SPEN in breast cancer.

Nuclear Receptor-Interacting Protein 1

NRIP1, also known as the receptor-interacting protein 140 (RIP140), is encoded by the *NRIP1* gene, which is mutated in close to 1% of breast cancers and exhibits copy number loss in 9% of ER α -positive breast carcinomas (39, 40). Unlike most ER α corepressors, NRIP1 or RIP140 uniquely and exclusively associates with estrogen- or ligand-bound ER α and has mixed coregulatory functions (Figure 2A). Indeed, it was observed that RIP140 reduces ER α -dependent transcription in reporter systems (95–97). However, the work of Rosell et al (74) recently provided evidence that RIP140 is required for

ER α transcriptional complex formation as well as ER α -dependent gene expression, suggesting that RIP140 may act as a coactivator for some genes and as a corepressor for others (74, 95, 98). It has been proposed that the corepressive functions of RIP140 are achieved in part via an interaction with the C-terminal-binding protein 1, which also functions as a transcriptional corepressor (95). In addition, colocalization and glutathione S-transferase-pulldown experiments demonstrated that RIP140 associates with class I and II HDACs, including HDAC1, HDAC4–HDAC7, and HDAC9–HDAC11, suggesting that it may also repress gene transcription through epigenetic mechanisms (99). However, treatment with trichostatin A, an HDAC inhibitor (HDACi), did not reverse the repressive effects of RIP140 on ER α activities, suggesting that its ability to prevent ER α -dependent gene transcription is not dependent on HDAC enzymatic actions (98). Further studies are therefore required to better define and understand the molecular mechanisms underlying the mixed coregulatory functions of RIP140 with respect to the ER α . RIP140's ability to act both as a coactivator and a corepressor may nevertheless provide an explanation for the conflicting *in vitro* results obtained with RIP140 and tamoxifen. Indeed, it was reported that *NRIP1* knockdown using small-interfering RNAs does not affect MCF-7 cells' sensitivity to tamoxifen or fulvestrant (74). However, another study demonstrated that RIP140 is significantly less expressed in tamoxifen-resistant compared with parental MCF-7 cells (68). Although RIP140's contribution to endocrine resistance remains ambiguous *in vitro*

due to conflicting results, the assessment of RIP140's clinical significance revealed no change in *NRIP1* RNA levels in tumors from tamoxifen-treated compared with untreated breast cancer patients, suggesting that RIP140 has limited impact on tamoxifen sensitivity (68). Consistent with this data, *NRIP1* RNA expression levels had no predictive value when looking at relapse-free survival in a cohort of 424 breast cancer patients with luminal A tumors and treated with tamoxifen (86).

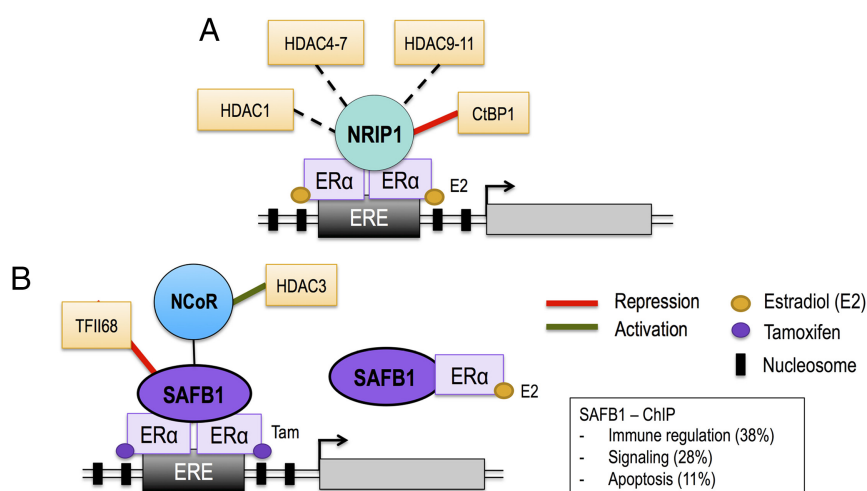


Figure 2. Mechanisms of transcriptional repression by ER corepressors that do not affect tamoxifen response. **A**, NRIP1 mediates transcriptional repression of the ER α by binding ligand-bound ER α . It also represses C-terminal-binding protein 1 (CtBP1) and interacts with numerous HDACs, but it has been shown that its transcriptional repressive actions are not dependent on histone deacetylation (indicated by the dashed line). **B**, SAFB1 inhibits ER α -dependent gene transcription, including genes involved in immune regulation, signaling and apoptosis by interacting directly with liganded and unliganded receptors. It also inhibits the actions of TFII68 and binds NCoR, which recruits and activates HDAC3. However, histone deacetylation is not required for SAFB1-mediated transcriptional repression of the ER α .

Scaffold Attachment Factor B1 (SAFB1)

SAFB1 is encoded by the *SAFB1* gene, which is rarely mutated in breast tumors and displays inactivation by loss of heterozygosity in

21% of ER α -positive breast cancers (39, 40). SAFB1 is a large multifunctional protein implicated in numerous processes, including chromatin organization, transcriptional regulation as well as RNA splicing and that has been identified as an ER α corepressor (Figure 2B) (42, 100). Indeed, it was reported that SAFB1 overexpression in cultured cells reduces ER α -mediated transcription, whereas its deletion in a mouse model increases ER α activity in vivo (100, 101). Hammerich-Hill et al expanded on these results, finding that SAFB1 loss causes derepression of estrogen-regulated genes, including known regulators of immunity, signaling and apoptosis (102). Based on structure-function analyses, it has also been shown that SAFB1 mediates transcriptional repression through its C-terminal domain, which is responsible for its association with the TATA element-binding protein-associated factor (TAFII68) (103). TAFII68, also known as RBP56 or TAF15, is a protein that has previously been shown to interact with the general transcription factor IID as well as RNA polymerase II (104, 105). Interestingly, abrogation of SAFB1's association with TAFII68 by deletion of its C-terminal domain resulted in loss of transcriptional repression, suggesting that SAFB1 may function, at least in part, by sequestering TAFII68 and preventing its recruitment of the basal transcription machinery. Other than TAFII68, the C-terminal domain of SAFB1 also mediates interaction with the NCoR/HDAC3 corepressive complex (106). Actually, it was demonstrated that SAFB1 interacts directly with NCoR and co-immunoprecipitates with HDAC3, although no direct association between SAFB1 and HDACs was detected. Of note, treatment with HDACis and the siRNA-mediated knockdown of *NCOR1* or *HDAC3* both partially relieved SAFB1 transcriptional repressive effects, suggesting that SAFB1-mediated attenuation of ER α transcriptional activity is partly dependent on histone deacetylation (106). It was also reported that SAFB1 has the ability to decrease the mobility of liganded ER α and to sequester estrogen-bound receptors to the nuclear matrix, providing an additional mechanism by which it may modulate ER α 's genomic actions (107). Although SAFB1 can interact with the ER α in the presence or absence of estrogen, it appears that its interaction with the ER α is significantly enhanced in the presence of antiestrogens, which may be suggestive of a role in tamoxifen resistance (100). However, a possible contribution for SAFB1 in endocrine sensitivity has not been reported in vitro thus far. Further studies are therefore required to address more thoroughly SAFB1's role in tamoxifen resistance even though clinical data from 2 independent cohorts suggest that its expression is not predictive of clinical outcomes in patients treated with tamoxifen-based regimens (78, 86).

Of note, all nuclear receptor corepressors under study are posttranslationally modified and a large body of evidence suggest that these modifications can alter protein functions and localization. However, the literature is very limited when it comes to evaluating the role of posttranslational modifications on the functions of ER α corepressors in drug response. In addition, there is no evidence to support a role for nuclear receptor corepressors in the nongenomic actions of the ER α nor in the recruitment of histone methyltransferases or demethylases to DNA, and thus these fields of investigation remain wide open.

However, from the analysis of the molecular mechanisms underlying the transcriptional repressive functions of NCoR, SMRT, COUP-TFII, SPEN, NRIP1, and SAFB1, which differently impact tamoxifen sensitivity, we can speculate about possible biological processes affecting antiestrogen responses in hormone-receptor positive breast cancers. Very interestingly, it appears that NCoR, SMRT, COUP-TFII, and SPEN all interact with HDAC enzymes and largely mediate transrepression by altering chromatin structure and promoter accessibility. Although it should not be excluded that the aforementioned ER α corepressors may confer tamoxifen sensitivity through totally independent modes of action, the fact that they all rely, at least in part, on HDACs for gene repression is noteworthy and deserves further investigation. Of particular interest is the fact that this epigenetic-based mechanism of repression is not shared with NRIP1 and SAFB1, whose expression levels have not been shown to have predictive value in tamoxifen-treated breast cancer patients. Indeed, although NRIP1 does associate with HDACs, it has become clear from the work of Castet et al (108) that gene repression by the full length NRIP1, which exclusively interacts with estrogen-bound ER α , is not dependent on histone deacetylation. Similarly, although SAFB1-mediated transrepression is partly dependent on HDAC enzyme activity, no direct association between SAFB1 and HDACs exists. Rather, SAFB1 interacts directly with NCoR, which recruits and activates HDAC3. Importantly, this interaction appears to be required for SAFB1-mediated attenuation of ER α genomic activities as *NCOR1* or *HDAC3* knockdown both equally relieved transrepression achieved by SAFB1. Hence, SAFB1's dependency on the NCoR/HDAC3 complex for gene repression may explain why NCoR but not SAFB1 expression predicts clinical outcomes in tamoxifen-treated breast cancer patients.

Decreased histone acetylation has long been recognized to mediate prolonged attenuation of hormonal responses (109). Histone acetylation is a reversible epigenetic modification that governs gene transcription by altering chromatin structure and promoter accessibility.

The acetylation state of histones is influenced by the competing enzymatic activity of HATs and HDACs, which are generally recruited to DNA by transcriptional coactivators and corepressors, respectively. Dysregulation of HATs or HDACs enzymes impairs the homeostatic balance existing between histone acetylation and deacetylation and accelerates tumorigenesis. Since the early 2000s, our understanding that epigenetic changes, such as those arising from the abnormal recruitment of HDAC enzymes to DNA, greatly affect gene expression and contribute to tumorigenesis has provided a rationale for the use of HDACi as anticancer therapies (110).

HDACi are a class of drugs with broad antiproliferative and proapoptotic effects in breast cancer that antagonize the activity of HDACs. After treatment with HDACi, histones become more acetylated and have less affinity for the negatively charged DNA backbone, thereby facilitating the recruitment of transcription factors and other components of the transcriptional machinery at gene promoters (110). Although it is widely accepted that hyperacetylated and hypoacetylated histones are associated with gene transcription and repression, respectively, recent findings indicate that pharmacological inhibition of HDAC does not necessarily translate into increased gene transcription and protein synthesis. In fact, it appears that HDACi also lead to the acetylation of nonhistone proteins, such as DNA-binding proteins, transcription factors and heat shock proteins. Such posttranslational modifications have been shown to greatly alter protein stability and functions and to have important consequences on a multitude of cellular processes. Indeed, with more than 50 nonhistone targets, HDACi were shown to affect a wide range of biological responses, in-

cluding gene transcription, cell-cycle progression, autophagy as well as migration and to predispose cancer cells to apoptosis (110).

The recruitment of HDACs to DNA is a mechanism of transcriptional repression shared by NCoR, SMRT, COUP-TFII, and SPEN. The loss of any of the latter, due to genetic and/or genomic events, is predicted to impede the recruitment of HDACs to ER α -target genes and alter the epigenetic equilibrium existing between histone acetylation and deacetylation. Although this hypothesis needs to be verified by future studies, we propose that owing to a homeostatic disequilibrium, the loss of NCoR, SMRT, COUP-TFII, or SPEN may predispose cells to the antitumorigenic effects of HDACi. Although the role of transcriptional corepressors in HDACi sensitivity remains to be fully defined, numerous *in vitro* and *in vivo* studies have already assessed the efficacy of HDACi in tamoxifen-resistant breast cancer cells and promising results were reported. Indeed, it was showed that suberoylanilide hydroxamic acid, an HDACi, induces G₂/M cell cycle arrest *in vitro* and potently inhibits the growth of tamoxifen-resistant MCF-7 cells *in vivo* (111). More recently, Raha et al demonstrated that HDACi reverse B-cell lymphoma 2 (Bcl2) overexpression in tamoxifen-resistant MCF-7 and T47D cells and restore drug sensitivity by causing cell cycle arrest and predisposing cells to apoptosis (112). Underlined by many groups, the concept that HDACi are therapeutic agents able to effectively reverse tamoxifen resistance in breast cancer was examined in a phase II study of vorinostat combined with tamoxifen in patients with endocrine-resistant breast cancers (113–116). Although lacking an appropriate control cohort, this study demonstrated that the combination of vorinostat and tamoxifen in patients that had progressed on tamoxifen therapy resulted in interesting clinical responses, with 12% and 20% of patients displaying partial response and stable disease for more than 24 months, respectively (115). In both experimental and clinical settings, HDACi were well tolerated and very limited adverse side effects were reported. Altogether, this data establishes the use of HDACi as a promising therapeutic strategy in breast cancer that should be further explored for the treatment of tamoxifen-resistant breast cancers (Figure 3) and specifically for ER α corepressor-deficient breast cancers. Thus, NCoR, SMRT, COUP-TFII, and SPEN, which are to-

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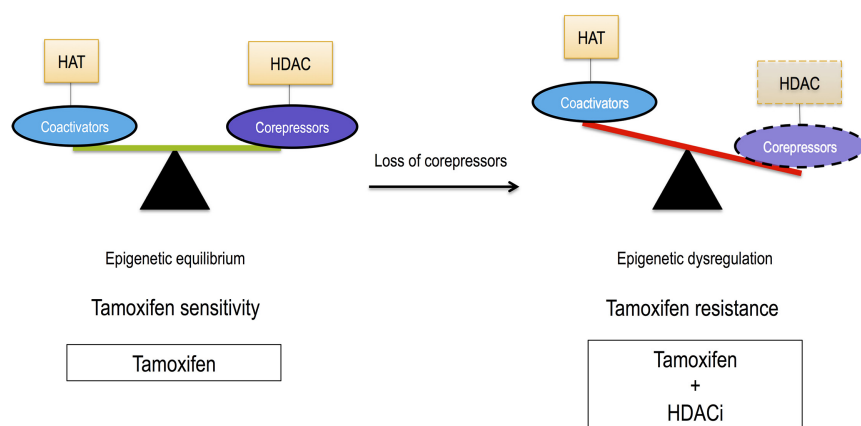


Figure 3. Loss of ER α corepressors disrupts the epigenetic equilibrium. The left panel shows that coactivators and corepressors, which recruit HATs and HDACs to DNA, respectively, establish an epigenetic equilibrium between histone acetylation and deacetylation, which is predicted to confer tamoxifen sensitivity. However, the loss of ER α corepressors due to genetic and/or genomic events may disrupt this homeostatic balance (right panel), favoring the acetylation of histones and nonhistone proteins. Thus the epigenetic dysregulation arising from the loss of transcriptional corepressors may predispose cancer cells to the cytotoxic effects of HDACi

gether mutated in 13% of hormone-receptor positive tumors and lost by deletion in more than 70% of ER α -positive breast cancers, may be revealed as candidate biomarkers of HDACi activity in tamoxifen-resistant tumors.

Conclusion

In the present review, the mechanisms employed by ER α corepressors to attenuate hormonal responses was analyzed to identify biological processes that may confer tamoxifen resistance in breast cancer and expose potentially effective therapeutic strategies to restore drug sensitivity. We noted a mechanism of transcriptional repression common to NCoR, SMRT, COUP-TFII, and SPEN, 4 ER α corepressors that were shown to confer tamoxifen sensitivity, and that involves the recruitment of HDACs to DNA. This mechanism of gene repression is not shared with NRIP1 and SAFB1, whose expression levels do not affect tamoxifen response. It is therefore suggested that histone deacetylation may potentiate tamoxifen actions in breast cancer, whereas the abnormal recruitment of HDACs to tamoxifen-bound ER α , as a consequence to the loss of corepressors, may result in drug resistance. We thus propose that the inactivation of ER α corepressors, as a marker of epigenetic disequilibrium, could predispose cancer cells to the antitumorigenic effects of HDACi and that genomic alterations in ER α corepressors are candidate biomarkers that may predict response to HDACi in tamoxifen-resistant breast cancers. Many groups have already reported reversal of tamoxifen resistance by HDAC inhibition in breast cancer, supporting the concept of evaluating HDACi as a strategy to restore drug sensitivity in ER α corepressor-deficient and tamoxifen-resistant breast cancer cells. Future preclinical and clinical studies evaluating the efficacy of HDACi at reversing tamoxifen resistance in corepressor-deficient breast cancer cells will facilitate our understanding of ER α corepressors' roles in this process. Although the present analysis was restricted to corepressors that had previously been studied in the context of tamoxifen response, more research into the role of ER α coregulators in endocrine response is required to strengthen the link between corepressors, HDACs and tamoxifen resistance. Of particular interest are corepressors whose mRNA expression levels were predictive of relapse-free survival in a cohort of 424 breast cancer patients treated with tamoxifen alone but for which no biological data currently exists (FOXO1, SMAD4, and profilin 1 [PFN1]) (Table 2) (86). In addition to consolidating our current knowledge on ER α corepressors, such studies would also expand our understanding of the mechanisms responsible for endo-

crine resistance in breast cancer and possibly other hormone-dependent cancers, such as prostate cancer in addition to identifying more clinically relevant ways to stratify patients and ultimately lead to new strategies to treat breast cancer patients with a poor prognosis.

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Address all correspondence and requests for reprints to: Mark Basik, MD, Department of Surgical Oncology and Experimental Medicine, McGill University, Lady Davis Institute for Medical Research, 3755 Chemin de la Côte Ste-Catherine, Montréal, Québec, Canada H3T 1E2. E-mail: markbasik@gmail.com.

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